

# Examples and applications on SSSP and MST

Dan (Doris) He & Junhao Gan

ITEE  
University of Queensland

## Dijkstra's Algorithm

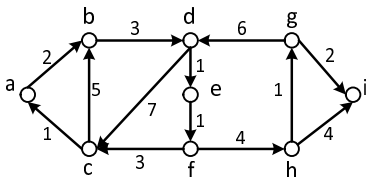
The algorithm solves the single-source shortest-paths (SSSP) problem on a directed graph  $G = (V, E)$  with **positive** edge weights.

Let  $V' \subseteq V$  be the current set of vertices whose shortest paths from the source vertex  $s$  have been found and  $S = V \setminus V'$ .

The crucial part of the algorithm is the **edge relaxation** idea. Essentially, it is to maintain, for each  $v \in S$ , the “**current shortest**” distance from  $s$  **only through** the vertices in  $V'$ .

## Example

Suppose that the source vertex is  $a$ .



vertex $v$	$dist(v)$	$parent(v)$
$a$	0	nil
$b$	$\infty$	nil
$c$	$\infty$	nil
$d$	$\infty$	nil
$e$	$\infty$	nil
$f$	$\infty$	nil
$g$	$\infty$	nil
$h$	$\infty$	nil
$i$	$\infty$	nil

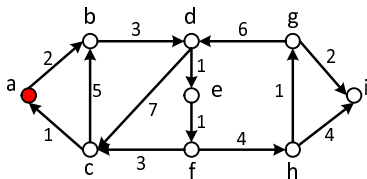
$V' = \emptyset$  and

$S = \{a, b, c, d, e, f, g, h, i\}$ .

Since  $dist(a)$  is the **smallest** among those of vertices in  $S$ , pick  $a$ .

## Example

Relax the out-going edges of  $a$ :



$V' = \{a\}$  and

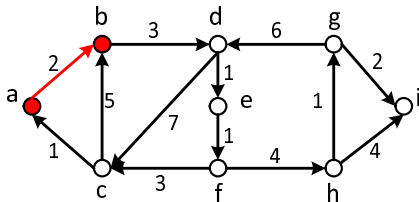
$S = \{b, c, d, e, f, g, h, i\}$ .

The “current shortest” distance of  $b$  from  $a$  only through the vertices in  $V'$  is updated. After then,  $dist(b)$  is the smallest among those of vertices in  $S$ . Pick  $b$ .

vertex $v$	$dist(v)$	$parent(v)$
$a$	0	nil
$b$	$\infty \rightarrow 2$	nil $\rightarrow a$
$c$	$\infty$	nil
$d$	$\infty$	nil
$e$	$\infty$	nil
$f$	$\infty$	nil
$g$	$\infty$	nil
$h$	$\infty$	nil
$i$	$\infty$	nil

## Example

Relax the out-going edges of  $b$ :



$V' = \{a, b\}$  and

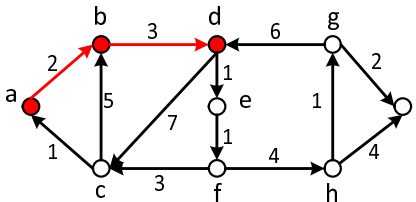
$S = \{c, d, e, f, g, h, i\}$ .

Similarly, update the “current shortest” distance of  $d$  from  $a$  only through the vertices in  $V'$ . And  $dist(d)$  is the smallest among those in  $S$ . Pick  $d$ .

vertex $v$	$dist(v)$	$parent(v)$
$a$	0	nil
$b$	2	$a$
$c$	$\infty$	nil
$d$	$\infty \rightarrow 5$	nil $\rightarrow b$
$e$	$\infty$	nil
$f$	$\infty$	nil
$g$	$\infty$	nil
$h$	$\infty$	nil
$i$	$\infty$	nil

## Example

Relax the out-going edges of  $d$ :



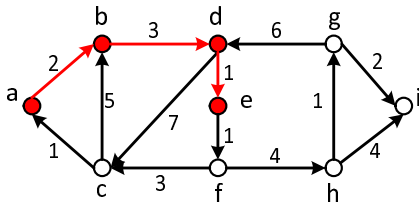
$V' = \{a, b, d\}$  and  
 $S = \{c, e, f, g, h, i\}$ .

Since after the updates,  $dist(e)$  is the smallest among those in  $S$ , pick  $e$ .

vertex $v$	$dist(v)$	$parent(v)$
$a$	0	nil
$b$	2	$a$
$c$	$\infty \rightarrow 12$	nil $\rightarrow d$
$d$	5	$b$
$e$	$\infty \rightarrow 6$	nil $\rightarrow d$
$f$	$\infty$	nil
$g$	$\infty$	nil
$h$	$\infty$	nil
$i$	$\infty$	nil

## Example

Relax the out-going edges of  $e$ :

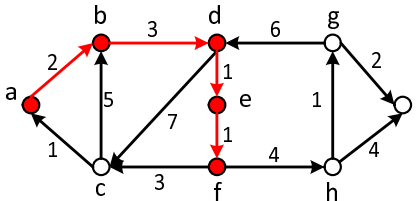


$V' = \{a, b, d, e\}$  and  
 $S = \{c, f, g, h, i\}$ .

vertex $v$	$dist(v)$	$parent(v)$
$a$	0	nil
$b$	2	$a$
$c$	12	$d$
$d$	5	$b$
$e$	6	$d$
$f$	$\infty \rightarrow 7$	nil $\rightarrow e$
$g$	$\infty$	nil
$h$	$\infty$	nil
$i$	$\infty$	nil

## Example

Relax the out-going edges of  $f$ :



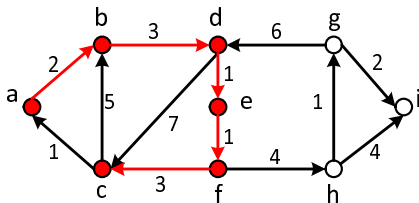
$V' = \{a, b, d, e, f\}$  and  
 $S = \{c, g, h, i\}$ .

vertex $v$	$dist(v)$	$parent(v)$
$a$	0	nil
$b$	2	$a$
$c$	$12 \rightarrow 10$	$d \rightarrow f$
$d$	5	$b$
$e$	6	$d$
$f$	7	$e$
$g$	$\infty$	nil
$h$	$\infty \rightarrow 11$	nil $\rightarrow f$
$i$	$\infty$	nil



## Example

Relax the out-going edges of  $c$ :

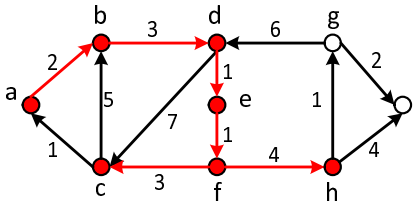


$V' = \{a, b, c, d, e, f\}$  and  
 $S = \{g, h, i\}$ .

vertex $v$	$dist(v)$	$parent(v)$
$a$	0	nil
$b$	2	$a$
$c$	10	$f$
$d$	5	$b$
$e$	6	$d$
$f$	7	$e$
$g$	$\infty$	nil
$h$	11	$f$
$i$	$\infty$	nil

## Example

Relax the out-going edges of  $h$ :

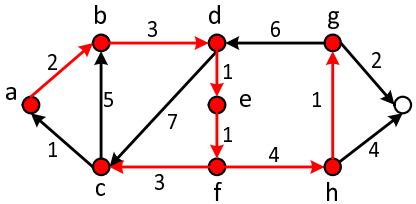


$V' = \{a, b, c, d, e, f, h\}$  and  
 $S = \{g, i\}$ .

vertex $v$	$dist(v)$	$parent(v)$
$a$	0	nil
$b$	2	$a$
$c$	10	$f$
$d$	5	$b$
$e$	6	$d$
$f$	7	$e$
$g$	$\infty \rightarrow 12$	nil $\rightarrow h$
$h$	11	$f$
$i$	$\infty \rightarrow 15$	nil $\rightarrow h$

## Example

Relax the out-going edges of  $g$ :

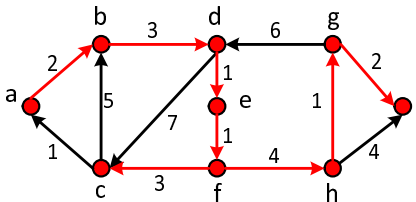


$V' = \{a, b, c, d, e, f, g, h\}$  and  
 $S = \{i\}$ .

vertex $v$	$dist(v)$	$parent(v)$
$a$	0	nil
$b$	2	$a$
$c$	10	$f$
$d$	5	$b$
$e$	6	$d$
$f$	7	$e$
$g$	12	$h$
$h$	11	$f$
$i$	$15 \rightarrow 14$	$h \rightarrow g$

## Example

Relax the out-going edges of  $i$ :



$V' = \{a, b, c, d, e, f, g, h, i\}$  and  
 $S = \{\}$ .

Done.

vertex $v$	$dist(v)$	$parent(v)$
$a$	0	nil
$b$	2	$a$
$c$	10	$f$
$d$	5	$b$
$e$	6	$d$
$f$	7	$e$
$g$	12	$h$
$h$	11	$f$
$i$	14	$g$

## Prim's Algorithm

The algorithm grows a tree  $T_{mst}$  by including one vertex at a time. At any moment, it divides the vertex set  $V$  into two parts:

- The set  $S$  of vertices that are already in  $T_{mst}$ .
- The set of other vertices:  $V \setminus S$

At the end of the algorithm,  $S = V$ .

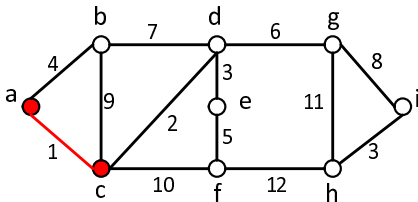
If an edge connects a vertex in  $V$  and a vertex in  $V \setminus S$ , we call it an **extension edge**.

At all times, the algorithm enforces the following lightest extension principle:

- For every vertex  $v \in V \setminus S$ , it remembers which extension edge of  $v$  has the smallest weight — referred to as the **lightest extension edge** of  $v$ , and denoted as  $best-ext(v)$ .

## Example

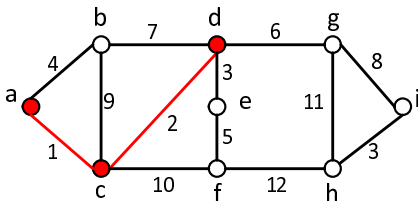
Edge  $\{a, c\}$  is the lightest of all.  $S = \{a, c\}$ . The MST has one edge  $\{a, c\}$ .



vertex $v$	$best-ext(v)$ and weight
$a$	n/a
$b$	$\{b, a\}, 4$
$c$	n/a
$d$	$\{d, c\}, 2$
$e$	nil, $\infty$
$f$	$\{f, c\}, 10$
$g$	nil, $\infty$
$h$	nil, $\infty$
$i$	nil, $\infty$

## Example

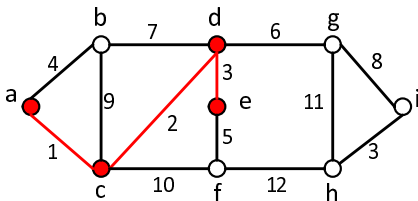
Edge  $\{d, c\}$  is the lightest of all.  $S = \{a, c, d\}$ . Add edge  $\{d, c\}$  into the MST.



vertex $v$	$best-ext(v)$ and weight
$a$	n/a
$b$	$\{b, a\}, 4$
$c$	n/a
$d$	n/a
$e$	$\{e, d\}, 3$
$f$	$\{f, c\}, 10$
$g$	$\{g, d\}, 6$
$h$	nil, $\infty$
$i$	nil, $\infty$

## Example

Edge  $\{e, d\}$  is the lightest of all.  $S = \{a, c, d, e\}$ . Add edge  $\{e, d\}$  into the MST.

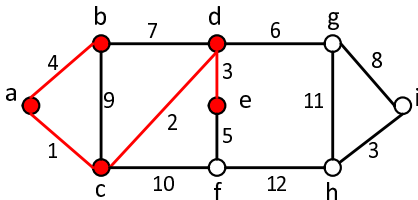


vertex $v$	$best-ext(v)$ and weight
$a$	n/a
$b$	$\{b, a\}, 4$
$c$	n/a
$d$	n/a
$e$	n/a
$f$	$\{f, e\}, 5$
$g$	$\{g, d\}, 6$
$h$	nil, $\infty$
$i$	nil, $\infty$



### Example

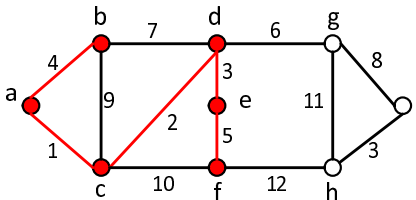
Edge  $\{b, a\}$  is the lightest of all.  $S = \{a, c, d, e, b\}$ . Add edge  $\{b, a\}$  into the MST.



vertex $v$	$best-ext(v)$ and weight
$a$	n/a
$b$	n/a
$c$	n/a
$d$	n/a
$e$	n/a
$f$	$\{f, e\}, 5$
$g$	$\{g, d\}, 6$
$h$	nil, $\infty$
$i$	nil, $\infty$

## Example

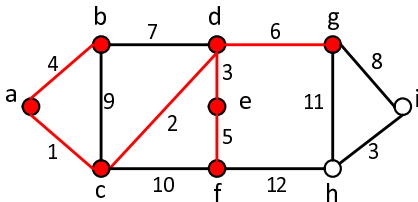
Edge  $\{f, e\}$  is the lightest of all.  $S = \{a, c, d, e, b, f\}$ . Add edge  $\{f, e\}$  into the MST.



vertex $v$	$best-ext(v)$ and weight
$a$	$n/a$
$b$	$n/a$
$c$	$n/a$
$d$	$n/a$
$e$	$n/a$
$f$	$n/a$
$g$	$\{g, d\}, 6$
$h$	$\{h, f\}, 12$
$i$	$nil, \infty$

## Example

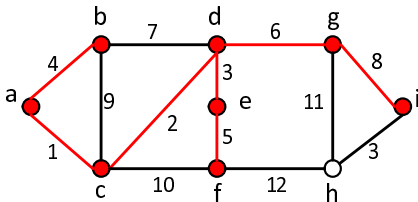
Edge  $\{g, d\}$  is the lightest of all.  $S = \{a, c, d, e, b, f, g\}$ . Add edge  $\{g, d\}$  into the MST.



vertex $v$	$best-ext(v)$ and weight
$a$	n/a
$b$	n/a
$c$	n/a
$d$	n/a
$e$	n/a
$f$	n/a
$g$	n/a
$h$	$\{h, f\}, 12$
$i$	$\{i, g\}, 8$

## Example

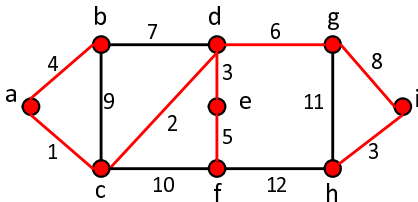
Edge  $\{i, g\}$  is the lightest of all.  $S = \{a, c, d, e, b, f, g, i\}$ . Add edge  $\{i, g\}$  into the MST.



vertex $v$	$best-ext(v)$ and weight
$a$	n/a
$b$	n/a
$c$	n/a
$d$	n/a
$e$	n/a
$f$	n/a
$g$	n/a
$h$	$\{h, i\}, 3$
$i$	n/a

## Example

At the end, edge  $\{h, i\}$  is the lightest of all.  $S = \{a, c, d, e, b, f, g, i, h\}$ .  
Add edge  $\{h, i\}$  into the MST and we get the final MST.



vertex $v$	$best-ext(v)$ and weight
$a$	n/a
$b$	n/a
$c$	n/a
$d$	n/a
$e$	n/a
$f$	n/a
$g$	n/a
$h$	n/a
$i$	n/a

## Facility Allocation — Application of Dijkstra's Algorithm

Let  $G = (V, E)$  be an undirected graph with positive edge weights, where each vertex  $v \in V$  represents either a **user** or a **facility**.

For a user  $u$  and a facility  $f$ , the distance between  $u$  and  $f$  is the shortest distance from  $u$  to  $f$  on  $G$  denoted by  $\text{dist}(u, f)$ .

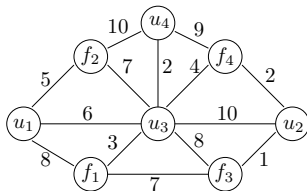
Our goal is to assign the **nearest** facility  $f$  to each user  $u$  such that:

- There is no facility  $f' \neq f$  such that  $\text{dist}(f', u) < \text{dist}(f, u)$ .

Furthermore, we say  $f = \text{nearest-fac}(u)$ .

### Example

Given a graph below,  $f_i$  (for  $i \in [1, 4]$ ) represents a facility and  $u_j$  (for  $j \in [1, 4]$ ) represents a user.



Then we have:

- $\text{nearest-fac}(u_1) = f_2$ ,
- $\text{nearest-fac}(u_2) = f_3$ ,
- $\text{nearest-fac}(u_3) = f_1$ ,
- $\text{nearest-fac}(u_4) = f_1$ .

## Facility Allocation — Application of Dijkstra's Algorithm

To solve the problem, the most trivial way is to run one Dijkstra's algorithm for each facility. And then, for each user  $u$ , pick the facility  $f$  with  $\text{dist}(f, u)$  smallest.

However, we can do much better. In fact, only one Dijkstra is enough!

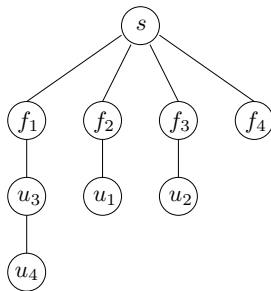
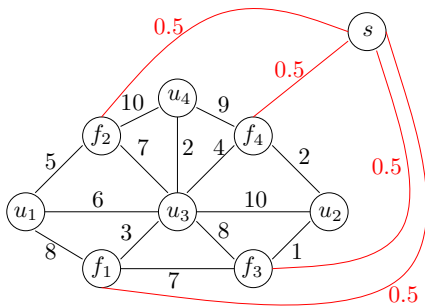


## Facility Allocation — Application of Dijkstra's Algorithm

The algorithm is as follows:

- Add an **auxiliary vertex**  $s$  to  $G$ . Create an edge  $\{s, f\}$  for each facility  $f$  with edge weight  $\alpha$ , where  $\alpha > 0$  is smaller than any edge weight in  $G$ .
- Perform Dijkstra's algorithm with source vertex  $s$  on the augmented graph.
- In the resulted SSSP tree, for each facility  $f$ , assign  $f$  to each user in the subtree of  $f$ .

## Example



## Correctness

The correctness of the algorithm follows the facts below:

- All the nodes at level-1 (assume  $s$  is at level-0) in the SSSP tree are the facility vertices.
- All the user nodes  $u$  in the subtree of a facility  $f$  satisfy:  
 $\text{dist}(f, u) \leq \text{dist}(f', u)$  for all facilities  $f' \neq f$ .

A short proof for the second bullet:

Suppose that there does exist a facility  $f'$  such that  $\text{dist}(f', u) < \text{dist}(f, u)$ . Then the path from  $s$  to  $u$  through  $f'$  is shorter than that through  $f$ . It contradicts with the fact that  $u$  is in the subtree of  $f$  in the SSSP tree.

## Traveling Salesman — Application of MST

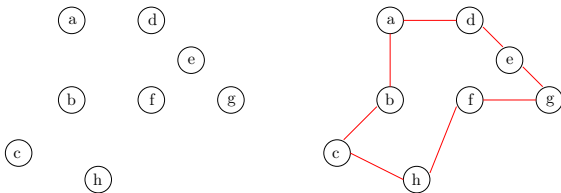
Given a weighted undirected graph  $G = (V, E)$  satisfying the followings:

- $G$  is **complete**: for  $\forall u, v \in V$ ,  $\{u, v\}$  is in  $E$ .
- The weight of each edge is **positive**.
- All the weights satisfy the **triangle inequality**:  
 $w(u, v) \leq w(u, x) + w(x, v)$  for  $\forall u, v, x \in V$ , where  $w(u, v)$  is the weight of edge  $\{u, v\}$ .

The Traveling Salesman Problem (TSP) is to find a route  $H^*$  such that:

- $H^*$  is a **cycle**.
- Each vertex  $v \in V$  is visited by  $H^*$  **once and exactly once**.
- The total weight of the edges on  $H^*$  is **smallest**.

## Example



For simple illustration, we show the input graph on the left **without** drawing all the edges explicitly. Instead, we embed the graph on the plan such that the weight of each edge is the **euclidean distance** between its two end points.

The red cycle shown on the right is the optimal solution  $H^*$ .

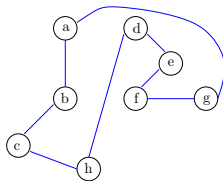
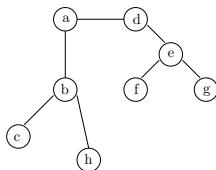
The TSP problem is **NP-hard**!

## Traveling Salesman — Application of MST

For a cycle  $H$  in the input graph, denote the total weight of edges on  $H$  by  $w(H)$ .

In the following, we will introduce a **2-approximate** algorithm for the TSP problem in the sense that it always finds a solution  $H$  with  $w(H) \leq 2 \times w(H^*)$ , where  $H^*$  is the optimal solution.

- Compute an MST  $T$  of  $G$ .
- Perform DFS on  $T$  from an arbitrary node and record the node visiting sequence  $S$ :  
 $a, b, c, b, h, b, a, d, e, f, e, g, e, d, a$ .
- Only keep the **first occurrence** of each vertex in the sequence  $S$ :  
 $a, b, c, h, d, e, f, g$ .
- Let  $H$  be the route of this first occurrence sequence. Return  $H$  as an **approximate** solution.



We claim that  $w(H) \leq 2 \times w(H^*)$ .

## Proof sketch:

- Since  $H^*$  is a cycle on all the vertices, removing any edge from  $H^*$  will result to a spanning tree  $T'$ . As all the edge weights are positive and  $T$  is an MST, we have  $w(T) \leq w(T') < w(H^*)$ .
- Since the DFS traverses each edge of  $T$  only twice, the total weight of all the edges on the visit sequence  $S$ , denoted by  $w(S)$ , is  $2 \times w(T)$ .
- Consider any two consecutive vertices  $u$  and  $v$  on  $H$ . By the triangle inequality, we know that  $w(u, v)$  is **no more** than the total weight of all the edges on the sub-sequence of  $S$  between their first occurrence. Therefore,  $w(H) \leq w(S) = 2 \times w(T) < 2 \times w(H^*)$ .