Knowing The Spec to Explore The Design via Transformed Bayesian Optimization

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Abstract

AI chip scales expediently in the large language models (LLMs) era. In contrast, the existing chip design space exploration (DSE) methods, aimed at discovering optimal yet often infeasible or unproduceable Pareto-front designs, are hindered by neglect of design specifications. In this paper, we propose a novel Spec-driven transformed Bayesian optimization framework to find expected optimal RISC-V SoC architecture designs for LLM tasks. The highlights of our framework lie in a tailored transformed Gaussian process (GP) model prioritizing specified target metrics and a customized acquisition function (EHRM) in multi-objective optimization. Extensive experiments on large-scale RISC-V SoC architecture design explorations for LLMs, such as Transformer, BERT, and GPT-1, demonstrate that our method not only can effectively find the design according to QoR values from the spec, but also outperforms 34.59% in ADRS over state-of-the-art approach with only 66.67% runtime overhead.

1 Introduction

The astonishing advancement of artificial intelligence (AI) has brought about tremendous changes in computing and associated hardware design paradigms. In particular, generative AI and large language models (LLMs), such as the OpenAI GPT family, which kindled the entire academia and industry, require a large amount of computation for training and deployment. This has led to an explosive increase in demand for AI hardware compatibility.

Efficient inference of large models requires customized accelerators and System-on-Chip (SoC) designs to reach the satisfying quality of result (QoR) metrics. However, such design is highly complex and resource-intensive, involving collaborative efforts from a sizeable team to systematically address issues and progressively refine the design across several iterations. This dramatically increases costs and time, with an initial design phase spanning 1 to 2 years, followed by several years of optimization. Consequently, agile development is gradually being adopted to reduce chip design costs and time, with an initial design phase spanning 1 to 2 years, followed by several years of optimization. This has led to an explosive increase in demand for AI hardware compatibility.

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However, these works ignore the critical fact that the Pareto-optimal designs are quite likely to be unknown, cost-unacceptable, and unreachable in practice. Typically, anticipated Quality of Results (QoR) metrics are delineated within the design specification (abbr., spec) prior to initiating the design process, transforming the search for a design that meets these QoR values into a guided endeavor. As extra information, the given spec is underutilized in previous works. We propose a transformed Bayesian optimization-based framework to efficiently find the parameterized SoC architecture that meets the design spec. A transformed Gaussian process model is developed to integrate the QoR metric values given in the spec so that enables efficient exploration. An acquisition function, EHARM, is well designed to enable multi-objective optimization and guide the search based on the transformed model.

Our main contributions are as follows.

- To the best of our knowledge, it is the first exploration framework to efficiently find the parameterized SoC architectures with QoR metric values given in the spec.
- We construct a transformed Gaussian process model that utilizes the information of the given spec.
- A customized acquisition function EHARM is developed to enable multi-objective optimization based on the transformed model.
- We conduct comprehensive experiments on the design space exploration for parameterized RISC-V SoC, benchmarked on some popular LLMs. Experimental results demonstrate the high efficacy and quality of our method, outperforming the previous arts significantly.

The rest of the paper is organized as follows. Section 2 introduces prior knowledge of RISC-V SoC and multi-objective Bayesian optimization and proposes a problem formulation. Section 3 sketches the whole optimization flow. Section 4 describes the technical details of the transformed Bayesian optimization, while Section 5 presents the experimental results followed by a conclusion in Section 6.

2 Preliminaries

2.1 RISC-V SoC and LLM Acceleration

RISC-V SoC: The RISC-V architecture, a reduced instruction set computer architecture, offers efficient hardware design and implementation with a minimal instruction set [13], and has been more and more popular in recent years for its outstanding power efficiency. A notable RISC-V SoC framework is Chipyard [5], an open-source platform enabling SoC customization, integrating various RISC-V CPUs and accelerators, such as BOOM [3], Rocket Core [2], Gemmini [4], and Hwacha [14]. A typical RISC-V SoC orchestrated using Chipyard is shown in Figure 2, integrating a Gemmini accelerator, a RISC-V CPU, an L2 Cache, and DRAM. The Gemmini accelerator comprises a configurable systolic array arranged as tiles of PEs. The scratchpad is the in-accelerator storage made up of configurable SRAMs. The controller is responsible for scheduling, sending, and receiving the data from the external DRAM with the DMA channel. Queue is implemented for access-execute decoupling, which contains the load, store, and execute instruction queues. The framework can be configured to use one or more RISC-V synthesizable CPUs. The CPUs communicate with accelerators by sending commands to the Rocket custom co-processor (RoCC), a component that can be added to the CPU for communication support. Several configurable hierarchical caches can be added, including L1 and L2 caches.

LLM Acceleration: The RISC-V SoC has demonstrated remarkable capabilities in the acceleration of convolution networks, supporting a variety of complex model computations and requirements [4]. Divergent from traditional convolutional networks, LLM tasks exhibit a more regularized or uniform model structure, with large-scale matrix multiplication constituting the primary module and performance bottleneck. To adapt the architecture depicted in Figure 2 for LLM tasks, numerous factors must be considered. These include the selection of an appropriate CPU, cache sizes, accelerator configurations, etc., to achieve a synergistic optimization of performance, area, and power consumption, thereby fulfilling the design specification.

2.2 Multi-objective Bayesian Optimization

Bayesian optimization (BO) [15] is a sequential design strategy for the global optimization of noisy black-box functions. It builds surrogate models to mimic the unknown black-box objective functions, i.e., the complicated SoC architecture in our context. The Gaussian process (GP) model is widely used as the surrogate model, which provides a posterior distribution of functions given prior and observed data.

Real-world optimization problems often involve multiple conflicting objectives. Multi-objective Bayesian optimization (MOBO) extends the standard BO to tackle such multi-objective problems. Let functions $\{f_i(x)\}_{i=1}^{m}$ denote the $m$-dimension QoR metrics to be minimized and $X$ denotes the parameter space. A parameter vector $x^* \in X$ is said to dominate $x' \in X$, denoted as $x^* \succeq x'$, if the following two conditions are met in this minimization problem:

1. $f_i(x^*) \geq f_i(x')$, $\forall i \in \{1, \ldots, m\}$
2. There exists at least one $j$ such that $f_j(x^*) > f_j(x')$

The set of parameter vectors that are not dominated by other vectors is called the Pareto-optimal set, denoted as $X_{\text{Pareto}}$ which is...
the target of MOBO:

$$X_{\text{Pareto}} = \{ x^* \in X | \exists x' \in X, x' \geq x^* \}.$$  \hspace{1cm} (1)

If \( y^* \geq y' \), it means that \((x', y')\) dominate \((x', y')\). For the problem with \( m \) optimization objectives, Bayesian optimization builds \( m \) surrogate models for these objectives, denoted as \( M \), to mimic the unknown black-box objective functions. Further, an acquisition function \( \text{AC}(M, x) \) is built based on the surrogate models and estimates the quality of a parameter configuration \( x \) with respect to finding the Pareto set, without going through the time-consuming EDA flow.

### 2.3 Problem Formulation

**Definition 1** (Hypervolume). In multi-objective problems, the notion of solution is that of Pareto fronts. The quality of such fronts can be measured by hypervolume:

$$\text{HV}(f^{\text{ref}}, X) = \lambda \left( \bigcup_{x_n \in X} f_1(x_n), f_1^{\text{ref}} \right) \cdots \left( f_m(x_n), f_m^{\text{ref}} \right),$$  \hspace{1cm} (2)

where \( f^{\text{ref}} \) refers to a chosen reference point, generally, it is set as a very bad performance value point. \( f_m \) means the \( m \) th metric i.e. cycles, power, and area. And \( \lambda \) refers to the Lebesgue measure.

**Definition 2** (ADRS). Another metric to measure the quality of Pareto fronts is the average distance to reference set (ADRS):

$$\text{ADRS}(\Gamma, \Omega) = \frac{1}{|\Gamma|} \sum_{\gamma \in \Gamma} \min_{\omega \in \Omega} D(\gamma, \omega),$$  \hspace{1cm} (3)

where \( D \) is the Euclidean distance function. \( \Gamma \) is the real Pareto-optimal set and \( \Omega \) is the learned Pareto-optimal set. ADRS is often exploited to measure how close a learned Pareto-optimal set is to the real Pareto-optimal set of the design space.

In industrial scenarios, a set of expected values of QoR metrics are usually provided by specifications in advance. With the above knowledge, our problem can be formulated as follows.

**Problem 1** (Spec-driven SoC design space exploration). Given the expected value of QoR metrics from specifications, the objective of SoC architecture design space exploration is to automatically search parameterized designs whose associated performance is closest to expected metric values.

### 3 Overall Flow

In this section, we introduce a multi-objective Bayesian optimization approach. The primary goal of the approach is to optimize the parameterized SoC architecture to meet the expected metrics.

The overall architecture of our approach is shown in Figure 3. Chipyard takes in the suggested parameterized SoC architecture as Chisel files and generates corresponding Verilog files. Then, performance information is generated using the simulator. After the Verilog files are synthesized with EDA tools, power and area information can be read from report files. The QoR metrics, including performance, power, and area, are appended to the data \( Y \), along with the parameterized SoC architecture as \( X \). A transformed Gaussian process regression model which utilizes the expected value from the spec is built for each metric. These models offer mean and variance information for the acquisition function to select the next set of parameterized SoC architecture.

Algorithm 1 outlines the steps taken in each iteration of the optimization process. In line 1, the algorithm begins by randomly sampling the initial parameter configurations \( X_0 \) from the parameter search space. \( X_0 \) is then simulated and synthesized using the simulator and EDA tools to get the corresponding performance, power, and area values. For simplicity, we denote the simulator as \( \text{SIM} \) and EDA tools as \( \text{EDA} \). In each iteration (line 2 to 7), a transformed GP model, which takes in the knowledge of expected value \( f^{\text{ref}} \) in spec, is built for each metric (line 3). A corresponding acquisition function, which also needs \( f^{\text{ref}} \), selects the next candidate to be evaluated (line 4). After that candidate is simulated and synthesized, the results are added to the sample set \( D \) for the next iteration (line 5 to 6). This process is repeated until the maximum number of iterations is reached. Finally, the algorithm returns the parameterized SoC architecture closest to the given spec.

In a nutshell, the proposed algorithm takes in the knowledge of the spec to explore and exploit the SoC architecture design space.
using the Gaussian process model, thereby providing a satisfactory SoC architecture.

4 Bayesian Optimization With Given Spec
As aforementioned, each parameter in a parameterized SoC architecture has its elusive effect on metrics like performance, power, and area. Some parameters may conflict, making it even harder to pick an ideal SoC architecture from the design space. This section details the methodology we employed to carry out Bayesian optimization (BO) for optimizing QoR with its values given in spec. We aim to utilize the knowledge of that given value \( f^* \) in spec to find a corresponding SoC architecture quickly.

4.1 Transformed Gaussian process
The key idea of the transformation is that the function value \( f(x) \) should reach the value \( f^* \) in spec but does not need to be greater than \( f^* \). Based on the idea, the Gaussian process is transformed as:

\[
    f(x) = f^* - \frac{1}{2} g^2(x), \quad g(x) \sim GP (m_0, K),
\]

where \( m_0 \) and \( K \) are the prior mean and covariance of \( g(x) \) respectively, so \( f(x) \) will not beyond the given spec’s value \( f^* \). Doing so can save lots of effort from the attempt to go greater than \( f^* \). Given a set of single-objective observations \( D = \{(x_i, y_i)\}_{i=1}^N \), we can compute the observations as \( D_g = \{(x_i, g_i)\}_{i=1}^N \), where \( g_i = \sqrt{2} (f^* - y_i) \). Then the posterior distribution of the transformed Gaussian process can be described as:

\[
    p (g | D_G, f^*) \sim \mathcal{N} (\mu_g(x), \sigma_g(x)),
\]

However, the posterior distribution becomes a non-central process because of the transformation. An approximation technique is performed to overcome the problem. A local-linearization of the transformation \( t(x) = f^* - \frac{1}{2} g^2(x) \) is taken around \( g_0 \) and \( f \) is obtained as:

\[
    f \approx t (g_0) + t' (g_0) (g - g_0),
\]

where the gradient \( t' (g_0) \) equals \( -g \). We set \( g_0 = \mu_g \) to model the posterior distribution, so \( f \) can be expressed as:

\[
    f(x) \approx f^* - \frac{1}{2} \mu_g^2(x) - \mu_g (g(x) - \mu_g(x))
\]

\[
    = f^* + \frac{1}{2} \mu_g^2(x) - \mu_g (g(x) - \mu_g(x)).
\]

Now, the posterior distribution has a form for \( p (f | .) = \mathcal{N} (f | \mu, \sigma) \), where

\[
    \mu(x) = f^* - \frac{1}{2} \mu_g^2(x),
\]

and

\[
    \sigma(x) = \mu_g(x) \sigma_g(x) \mu_g(x).
\]

By implementing such transformations, we can exploit the information of the given spec and force the Gaussian process to get close to \( f^* \) without getting above it, as shown in Figure 4.

4.2 Expected hyper-regret minimization
The acquisition function is crucial in Bayesian optimization, determining where to sample next. Based on the idea of getting close to the given value \( f^* \) in spec, a multi-objective acquisition function is developed, called expected hyper-regret minimization (EHRM).

Figure 4: Comparison between ordinary Gaussian process and our transformed Gaussian process. The brown square in the figure is the evaluated point, and the blue curve is the real function \( f(x) \). The dotted line and the cyan area represent the predicted mean and variance, respectively. It can be seen that the predicted mean (dotted line) and variance (cyan area) do not go above the target value from the spec in the transformed Gaussian process, while the ordinary Gaussian process does.

Here, the word ‘regret’ means the miss-distance between the metrics of the current parameter configuration and \( f^* \), which is defined as:

\[
    r(x) = f^* - f(x).
\]

In a normal posterior distribution, the probability of \( r(x) \) is

\[
    P(r) = \frac{1}{\sqrt{2\pi\sigma(x)}} \exp \left(-\frac{1}{2} \frac{(f^* - \mu(x) - r(x))^2}{\sigma^2(x)} \right).
\]

When it comes to multiple QoR metrics to be optimized, which means \( f^* \) is now a vector, so we introduce hyper-regret \( Hr(x) \) to express the regret in high-dimension circumstances.

Hyper-regret measures the distance between the current metrics and the spec’s value in a normalized space. It is used to quantify the disappointment of the metrics of a specific SoC architecture when the spec is given.

Since the information of each metric’s mean and variance can be calculated from the transformed Gaussian process, the expected hyper-regret is defined as the expectation of \( Hr(x) \) with respect to the posterior predictive distribution of the transformed GP. EHRM aims to find a configuration of SoC architecture parameters with an expectation closet to the given spec, in other words, with the smallest expected hyper-regret:

\[
    x_{t+1} = \arg \min_{x \in \mathcal{X}} \text{EHRM}(x) = \arg \min_{x \in \mathcal{X}} \text{E}[Hr(x)].
\]

EHRM utilizes mean and variance similarly to EHVI (Expected Hypervolume Improvement) [16], while the ideas behind them are different. EHVI prefers high means and high variance, which means it always desires to find a better QoR result in high-variance areas. However, the same desire for EHRM decreases with the result reaching the given value in the spec.

5 Experiment
5.1 Experimental Setup and Benchmarks
We test our flow on a Gemini-based RISC-V SoC benchmarked on some popular LLMs, including Transformer-small [17], Bert-base [18], Transformer-large, Bert-large, and GPT1-small [19]. Chipyard 1.9.1 is leveraged to generate RTL code from parameterized Chisel.
Table 1: Examples of Parameters

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<tr>
<th>Parameters</th>
<th>Stage</th>
<th>Candidates</th>
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<tr>
<td>cpu_type</td>
<td>CPU core</td>
<td>RocketBig/BoomMed/BoomLarge</td>
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<tr>
<td>L2TLBs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>nWays</td>
<td></td>
<td></td>
</tr>
<tr>
<td>capacityKB</td>
<td></td>
<td></td>
</tr>
<tr>
<td>tile_rows/columns</td>
<td></td>
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<tr>
<td>mesh_rows/columns</td>
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<tr>
<td>sp_capacity</td>
<td></td>
<td></td>
</tr>
<tr>
<td>dma_buswidth</td>
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</tr>
</tbody>
</table>

The multiple optimization objectives include power, area, and cycles. Pareto designs and the ground-truth Pareto designs. The cycle here set (ADRS). ADRS computes the average distance between the found configurations in our offline dataset. Table 1 illustrates the parameters. Their definitions are shown below:

- **cpu_type** specifies the type of CPU cores, which is selected between different BOOM and Rocket cores.
- **L2TLBs** assigns the number of entries of translation lookaside buffer in L2 Cache.
- **nWays** assigns the number of associate sets of L2 Cache.
- **capacityKB** specifies the capacity of L2 Cache in Kilobytes.
- **tile_rows/columns** and **mesh_rows/columns** specify the dimensions of systolic arraies. Each tile is an array of PEs and each mesh is an array of tiles with connecting registers.
- **sp_capacity** determines the capacity of scratchpad memory.
- **dma_buswidth** assigns the bus width of the accelerator’s DMA transaction.

The following metrics are employed to compare each SoC DSE method: hypervolume (HV), cycles-power hypervolume (HV0,1), cycles-area hypervolume (HV0,2), and average distance to reference set (ADRS). ADRS computes the average distance between the found Pareto designs and the ground-truth Pareto designs. The cycle here is the average cycle of the benchmarks’ inference performance on the SoC. We compare our method with the following baselines, each with a 150-hour execution quota (including EDA tool runtimes):

- **GLSVLSI’07** [20]: Support vector machine-based method.
- **HPCA’07** [7]: Regression-based model with non-linear transformation.
- **DAC’16** [9]: Method based on AdaBoost learning.
- **ASPDAC’20** [21]: XGBoost-based method.

Figure 5: Cycles of language model tests on different methods’ output optimal parameters.

Figures 6(a) and 6(b) show the increase of hypervolume and the decrease of ADRS of each method. The red curve rises faster than others in hypervolume and falls faster than others in ADRS, which means our method outperforms others remarkably. In ADRS, our method converged to 0.107 after 40 hours of runtime, while DAC’16 [9] converged to 0.154 after 60 hours of runtime. It indicates that our method is more efficient in exploring the design space.

The associated performance of suggestion and the given spec QoR metric values are visualized in Figure 8(a). Color of the suggestion’s performance is related to the time it is suggested. The later it is suggested, the darker its color is. It can be seen that the suggestion keeps getting close to the given spec QoR values and finally reaches them. The area ratio of the suggested SoC design’s components is listed in Figure 8(b). The systolic mesh and the scratchpad occupy

5.2 Comparisons Against SOTA Works

Table 2 shows the comparison of our method with existing methods on RISC-V SoC. Although these methods have the same runtime quota, our method can better explore the search space. In the normalized space of the 3 metrics (cycles, power, and area), our method acquires the highest hypervolume in all subspace (HV0,1, HV0,2 and HV). On average of these three metrics, our method is 9.02% higher than GLSVLSI’07 [20], 7.03% higher than HPCA’07 [7], 4.17% higher than DAC’16 [9], 6.30% higher than ASPDAC’20 [21], 4.02% higher than ICCAD’21 [12], 9.54% higher than ISCA’23 [22]. Visualization of the Pareto frontier in two QoR metrics is shown in Figure 7. Some Pareto results of different language model tests given by each method are shown in Figure 5. The SoC design output by our method can reach the least cycles in each of the language model tests.

- **ICCAD’21** [12]: Method with active learning-based initial dataset sampling and Gaussian process with deep kernel learning.
- **ISCA’23** [22]: Method based on randomized decision forests.

Our method and the baselines share the same randomly initialized parameter configurations, except ICCAD’21 [12] which utilizes its meticulously selected initial configurations. To evaluate our exploration ability and simultaneously perform a fair comparison against other Pareto-driven methods, the associated QoR metric values of Pareto-optimal designs are given in several separate specifications to feed into our approach. Our method is invoked in parallel, and the total time cost is summed up for each process.
most of the design’s area. The systolic mesh size of the suggested SoC design is 32, which is better fitted to the hidden dimensions and heads of LLMs on the benchmark. The capacity of the scratchpad is 2048 (Kib), large enough to store the result of the LLMs’ inference.

6 Conclusion

In this paper, we have proposed an architecture design space exploration method based on the transformed Bayesian optimization approach to find an ideal RISC-V SoC design with given QoR metric values from the specification. The constructed model utilizes the given spec QoR metric values as additional information to learn and force the Gaussian process to get close to the target QoR values without beyond them. A tailored acquisition function is developed for optimization in multiple metrics (e.g., cycles, power, and area). Experiments on 5 large language models under an advanced 7nm technology node have demonstrated the efficiency and effectiveness of the proposed framework.

Acknowledgment

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References


Table 2: Comparisons against SOTA works

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Figure 7: (a) The visualizations of selected Pareto frontiers in cycles-area metric space. (b) The visualizations of selected Pareto frontiers in cycles-power metric space.

Figure 8: (a) The visualizations of transformed Bayesian optimization’s suggestion getting close to given spec. (b) The area ratio of each component in our method’s suggested design.