

#### Ph.D. Oral Defense

# Software Obfuscation with Layered Security

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### The Problem of Software IP Protection

□ Software intellectual property:

- Server side (secure)
- Client side (vulnerable)



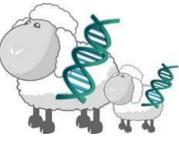
MATE (Man-At-The-End) attack [Collberg'11]: reverse engineer



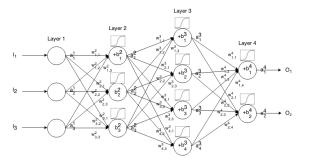
#### □ Examples of MATE attacks:



Disable License Checking



**Clone Codes** 

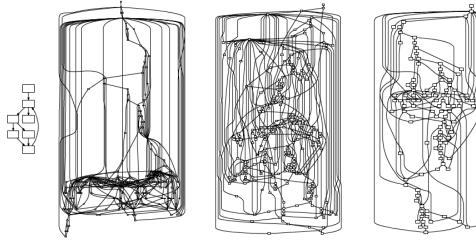


**Steal Algorithms** 

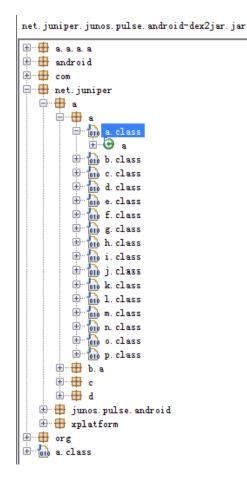
# Software Obfuscation for IP Protection

□Transform codes to a new version:

- Difficult to read.
- Preserve the semantics.
- Incur little overhead.



Examples of control-flow obfuscation [Yadegari'15]



Example of lexical obfuscation

[Yadegari'15] B. Yadegari, et al. A generic approach to automatic deobfuscation of executable code. IEEE S&P, 2015.

# **Critical Challenges for Obfuscation**

**Problem:** Obfuscation is not as secure as other security primitives.



#### Questions:

- What is the best security capability of software obfuscation?
- How to design reliable obfuscation solutions?

## Survey Results: Theoretical Area

□[Barak'01]: Negative result for black-box obfuscation.

□[Garg'13]: Positive result for indistinguishability obfuscation based on graded encoding (noisy multi-linear maps).

□ Problems of graded encoding:

- Only applicable to circuits: pure arithmetic.
- Inefficient: polynomial overhead (several Gigabytes to obfuscate a 16-bit point function [Apon'14]).

### Survey Results: Practical Area

□Most papers assume software written in particular languages, *e.g.,* Java/C/Assembly.

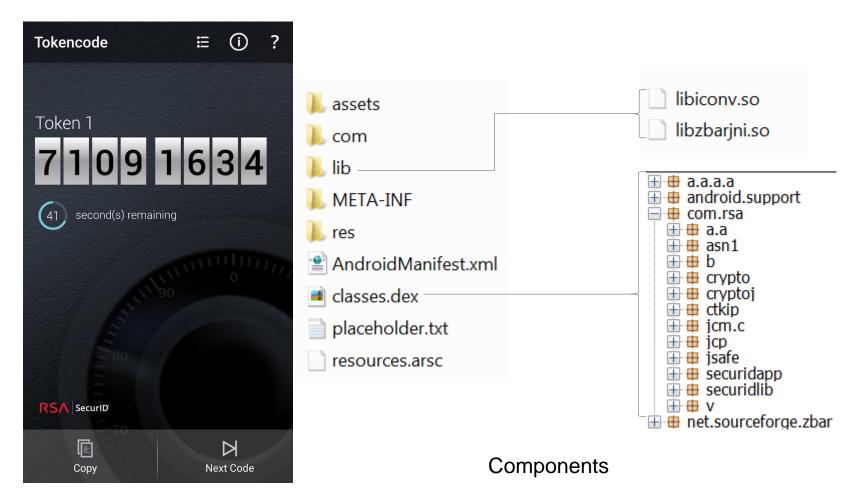
But real-world software is more complicated with heterogeneous components.

Two types of software applications:

- Client-server mode (e.g., Android applications).
- Browser-server mode, *i.e.*, web applications.

# Example of Android Apps

#### **RSA SecureID Software Token**

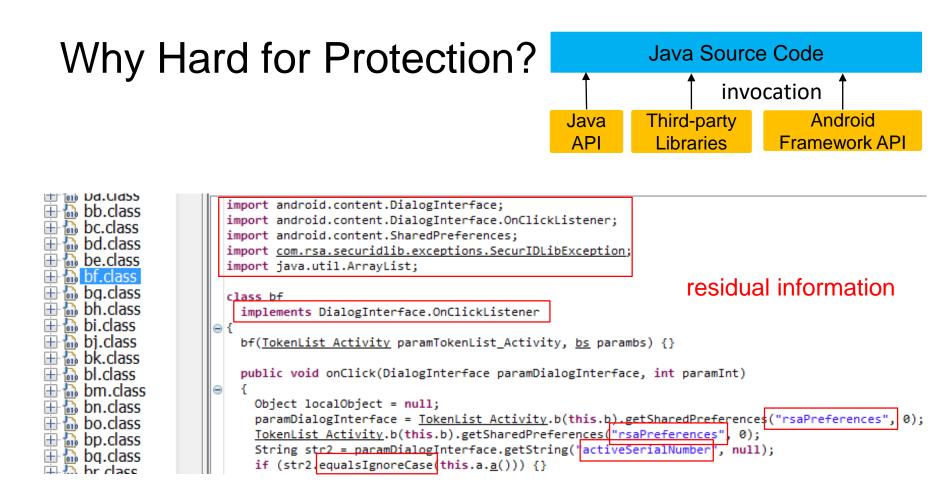


### **Example of Web Applications**

#### Performance RNN

# **Performance RNN**

| 🛃 Http\ | HttpWatch [performance_rnn - Chrome] |        |                   |          |   |
|---------|--------------------------------------|--------|-------------------|----------|---|
| 🥚 Reco  | rd                                   | 🔲 Stop | <sub>星</sub> Clea | ar 📴     | View 🕶 😂 Summary 🔍 Find 💌 🏹 Filter 💌 🔚 Save 💌 🚔 💌 💼 Tools 💌 🏈 Help 💌  |
| Started | 1 P                                  | Method | Result            | Туре     | URL   |
| ∃ 00    | ∃ 00 performance_rnn                 |        |                   |          |   |
| + 0.047 | !                                    | GET    | (Cac              | {}       | https://fonts.googleapis.com/css?family=Roboto:300,400,500,700  |
| + 0.000 |                                      | GET    | (Cac              | <b>P</b> | https://magenta.tensorflow.org/demos/performance_rnn/index.html   |
| + 0.072 |                                      | GET    | (Cac              | •        | https://ssl.google-analytics.com/ga.js  |
| + 0.185 | !                                    | GET    | 200               | Carl     | $https://ssl.google-analytics.com/r/\_utm.gif?utmwv=5.7.2 \\ \&utms=3 \\ \&utmn=376382845 \\ \&utmhn=magenta.tensorflow.org \\ \&utmcs=UTF-8 \\ \&utmsr=15 \\ \&utmsr$  |
| + 0.049 | !                                    | GET    | 200               | •        | https://storage.googleapis.com/download.magenta.tensorflow.org/demos/performance_rnn/bundle.js  |
| + 0.049 | !                                    | GET    | 200               | ENG.     | https://storage.googleapis.com/download.magenta.tensorflow.org/demos/performance_rnn/images/magenta-logo-bottom-text2.png   |
| + 3.754 | !                                    | GET    | 200               | •        | https://storage.googleapis.com/download.magenta.tensorflow.org/models/performance_rnn/dljs/fully_connected_biases   |
| + 3.755 | !                                    | GET    | 200               | •        | https://storage.googleapis.com/download.magenta.tensorflow.org/models/performance_rnn/dljs/fully_connected_weights  |
| + 3.564 | !                                    | GET    | 200               |          | https://storage.googleapis.com/download.magenta.tensorflow.org/models/performance_rnn/dljs/manifest.json  |
| + 3.755 | !                                    | GET    | 200               | 0        | https://storage.googleapis.com/download.magenta.tensorflow.org/models/performance_rnn/dljs/rnn_multi_rnn_cell_cell_0_basic_lstm_cell_bias   |
| + 3.756 | !                                    | GET    | 200               | 0        | $https://storage.googleap is.com/download.magenta.tensorflow.org/models/performance\_rnn/dljs/rnn\_multi\_rnn\_cell\_cell\_0\_basic\_lstm\_cell\_kernel_cell\_vernel_cell\_vernel_cell\_vernel_cell\_vernel_vernel_cell\_vernel_ve$   |
| + 3.756 | !                                    | GET    | 200               | 0        | https://storage.googleapis.com/download.magenta.tensorflow.org/models/performance_rnn/dljs/rnn_multi_rnn_cell_cell_1_basic_lstm_cell_bias   |
| + 3.757 | !                                    | GET    | 200               | 0        | $https://storage.googleap is.com/download.magenta.tensorflow.org/models/performance\_rnn/dljs/rnn\_multi\_rnn\_cell\_cell\_1\_basic\_lstm\_cell\_kernel\_cell\_1\_basic\_lstm\_cell\_1\_basic\_1\_basic\_lstm\_cell\_1\_basic\_lstm\_cell\_1\_basic\_lstm\_cell\_1\_basic\_lstm\_cell\_1\_basic\_lstm\_cell\_1\_basic\_1\_basic\_1\_basic\_lstm\_cell\_1\_basic\_lstm\_cell\_1\_basic\_1\_basic\_1\_basic\_1\_basic\_1\_basic\_1\_basic\_1\_basic\_1\_basic\_1\_basic\_1\_basic\_1\_basic\_lstm\_cell\_1\_basic\_1\_basibasic\_1\_basic\_1\_basic\_1\_basic\_1\_basic\_1\_ba$ |
| + 3.757 | !                                    | GET    | 200               | •        | https://storage.googleapis.com/download.magenta.tensorflow.org/models/performance_rnn/dljs/rnn_multi_rnn_cell_cell_2_basic_lstm_cell_bias   |
| + 3.758 | !                                    | GET    | 200               | 0        | https://storage.googleapis.com/download.magenta.tensorflow.org/models/performance_rnn/dljs/rnn_multi_rnn_cell_cell_2_basic_lstm_cell_kernel   |
| + 0.048 |                                      | GET    | (Cac              | •        | https://www.google.com/js/gweb/analytics/autotrack.js   |
| + 0.048 |                                      | GET    | (Cac              | {}       | https://www.gstatic.com/external_hosted/material_design_lite/mdl_css-indigo-blue-bundle.css   |
|         |                                      |        |                   |          |   |



[Bichsel'16]: We can recover a large portion of lexical information based on the residual information, *e.g.*, names of invoked methods and strings.

[Bichsel'16] B. Bichsel, et al. Statistical deobfuscation of android applications, CCS, 2016.

### Layered Security

□Principle: Swiss cheese model:

- Mitigate risks through different layers.
- Avoid single point of failure.

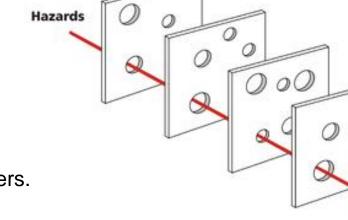
Employed in aviation safety, healthcare, etc.

- Safety-critical or security-critical.
- The risks cannot be fully avoided.

Each area has multiple

layers of protections

□Introduced in IATF3.1.





[IATF'02] Information Assurance Technical Framework Release 3.1, Department of Defense, 2002

Losses

# Layered Security for Software Obfuscation

#### □Why?

- Software is very complicated.
- Secure-against-all obfuscation techniques do not exist.

How?

- Based on risk management.
- Integrate multiple obfuscation techniques to mitigate risks.
- Each obfuscation technique only corresponds to particular threats.

### **Thesis Contributions**

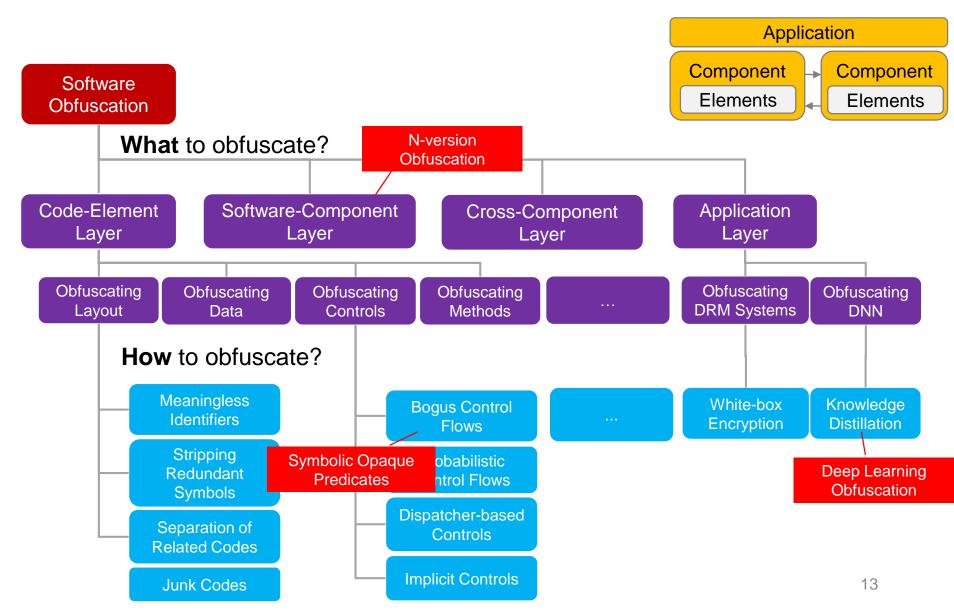
**Develop a taxonomy** of obfuscation for layered security.

Assist developers in designing layered obfuscation solutions.

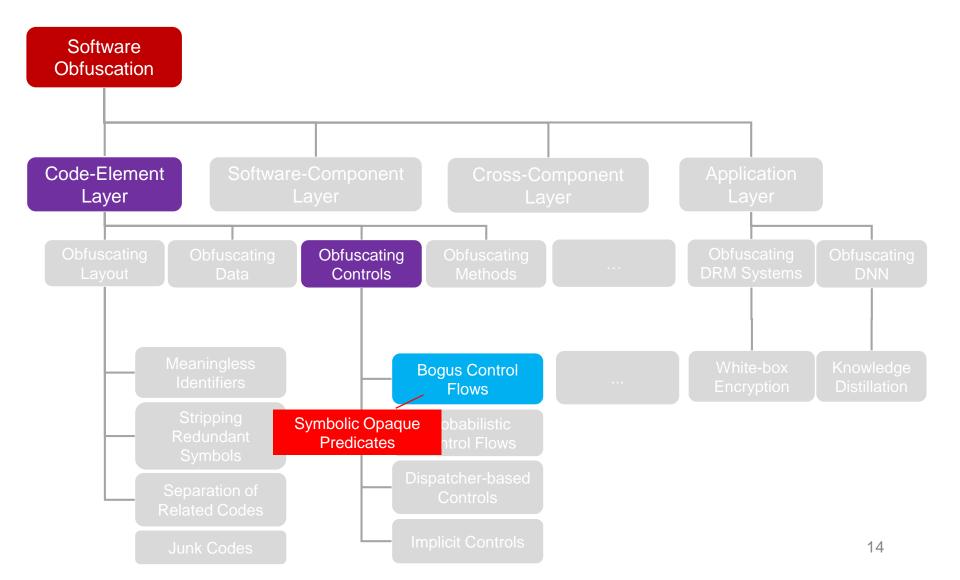
**Enrich the taxonomy** with three novel obfuscation techniques.

- Symbolic Opaque Predicates
  - Enhance the security of control-flow obfuscation.
- N-version Obfuscation
  - Enable the software with resilience to large-scale tampering attacks.
- Deep Learning Obfuscation
  - Application-level obfuscation technique for deep learning software.

#### **Taxonomy for Layered Obfuscation**



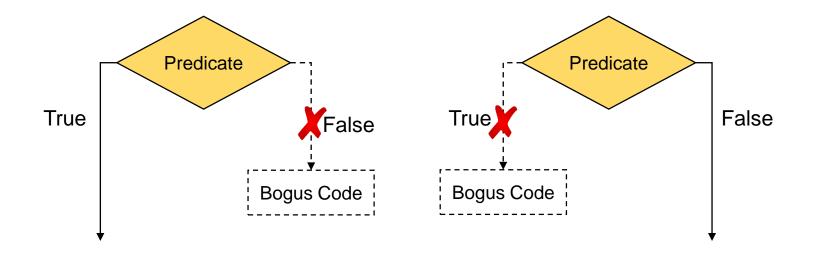
### Symbolic Opaque Predicates



#### **Opaque Predicate**

**Definition** [Collberg'97] :

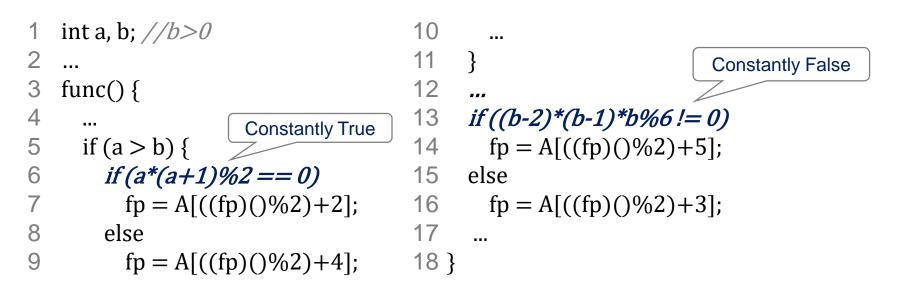
- The value is known before compilation time.
- Reverse analysis is difficult.
- □ **Application**: Control-flow obfuscation.



[Collberg'97] Collberg, et al. "A taxonomy of obfuscating transformations." Department of Computer Science, 1997.

### Vulnerable Example 1

**Problem:** Real-world opaque predicates are vulnerable.



Example in [Ogiso'03]

Vulnerable to automated program analysis tools.

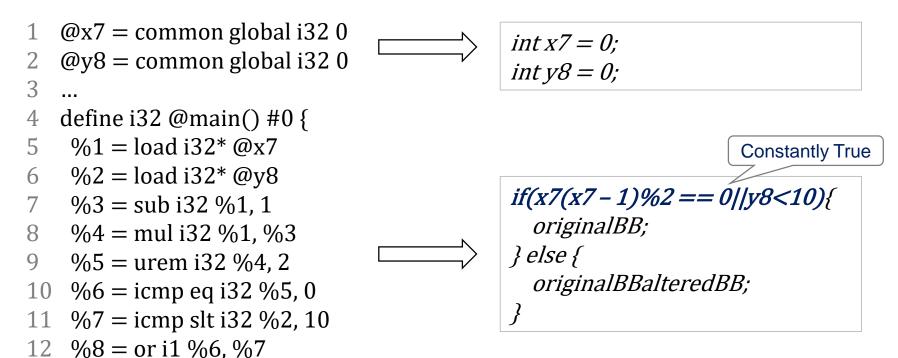
[Ogiso'03] Ogiso, et al. "Software obfuscation on a theoretical basis and its implementation." 2003

### Vulnerable Example 2

Default opaque predicate generated by Obfuscator-LLVM [Junod'15]

#### LLVM IR Code:

Source Code:

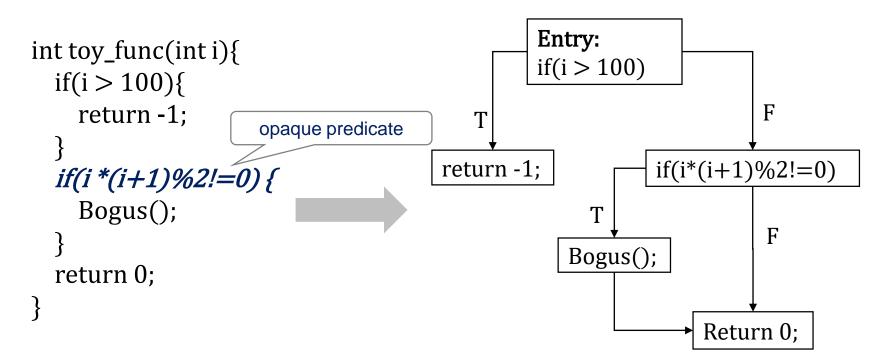


13 br i1 %8, label %originalBB, label %originalBBalteredBB

[ollvm'15] Junod, et al. "Obfuscator-LLVM--software protection for the masses." 2015

### **Adversarial Symbolic Execution**

Symbolic execution can detect opaque predicates by traversing the control-flow graph.

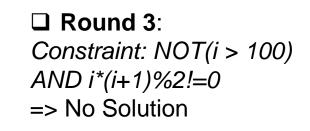


Control-flow Graph

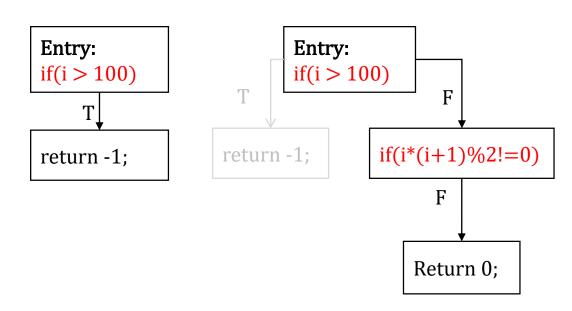
### Symbolic Execution Steps

**Round1**: Random Input: i = 1000

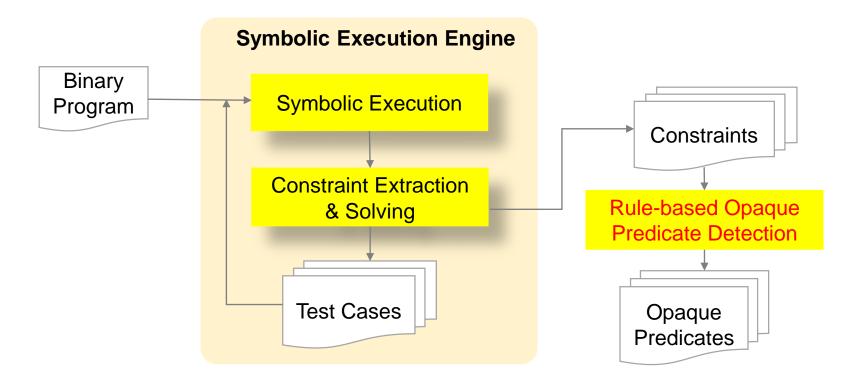
**Round 2:** Constraint: *NOT (i > 100)* => i = 0



It implies opaque predicates and bogus codes.



#### **Adversary Model**



The constraints are in the form of CNF:  $\psi_1 \wedge \psi_2 \wedge ... \wedge \psi_n$ 

[Ming'15] Ming, *et al.* "Loop: Logic-oriented opaque predicate detection in obfuscated binary code." CCS'15. [Yadegari'15] Yadegari, *et al.* "Symbolic execution of obfuscated code." CCS'15. [Yadegari'15] Yadegari, *et al.* "A generic approach to automatic deobfuscation of executable code." S&P'15. [Xu'17] Xu, *et al.* "Cryptographic function detection in obfuscated binaries via bit-precise symbolic loop mapping." S&P'17.

# **Our Objective and Approach**

**Objective** of Symbolic Opaque Predicates:

- Enhance the security of opaque predicates.
- Combat symbolic execution-based attackers.

#### **D**Approach:

- Step 1: Investigate the limitations of symbolic execution tools.
- Step 2: Employ these limitations to obfuscate software.

# Challenges of Symbolic Execution

|                              | Challenge                     | Description  |  |  |
|------------------------------|-------------------------------|--|--|--|
|                              | Symbolic Variable Declaration | Contextual variables other than arguments          |  |  |
|                              | Covert Propagations           | Propagating symbolic values in covert ways         |  |  |
|                              | Buffer Overflows              | Without proper boundary check                      |  |  |
| Symbolic-                    | Parallel Executions           | Processing symbolic values in parallel codes       |  |  |
| Reasoning                    | Symbolic Memories             | Symbolic values as the offset of memories          |  |  |
| Challenges                   | Contextual Symbolic Values    | Retrieving contextual values with symbolic values  |  |  |
|                              | Symbolic Jumps                | Symbolic values as the address of jump             |  |  |
|                              | Floating-Point Numbers        | Symbolic values in float/double                    |  |  |
|                              | Arithmetic Overflows          | Beyond the scope of an integer type                |  |  |
|                              | Loops                         | Change symbolic values within loops                |  |  |
| Path-Explosion<br>Challenges | Crypto Functions              | Processing symbolic values with crypto functions   |  |  |
| 5                            | External Function Calls       | Processing symbolic values with external functions |  |  |

[Hui'18] Hui Xu, Zirui Zhao, Yangfan Zhou, and Michael R. Lyu, "Benchmarking the Capability of Symbolic Execution Tools," TDSC, 2018:22

# **Example of Symbolic Memories**

□ Use symbolic values as the offsets to access memory.

□ Theoretical challenge: some pointer analysis problems are NP-hard.

| 1 | <pre>void func(int symvar){</pre>         | symbolic variable      |
|---|---|------------------------|
| 2 | int $l1_ary[] = \{1, 2, 3, 4, 5, 6, 7\};$ | a challenging problem: |
| 3 | int l2_ary[] = {symvar,1,2,3,4,5,6,7};    | 2-leveled array        |
| 4 | int i = $l2_ary[l1_ary[symvar\%7]];$      |                        |
| 5 | if(i == 1)                                | condition              |
| 6 | foobar();                                 |                        |
| 7 | }   |                        |

Can symbolic execution tools find a test case for triggering foobar()?

# Example of Floating-point Numbers

- □ Floating-point numbers are approximations of real numbers.
- Defined in IEEE-754: Interval for 32-bit float: [1.401298464324817e-45, 32767.9990234].

# Examining the Prevalence of Challenges

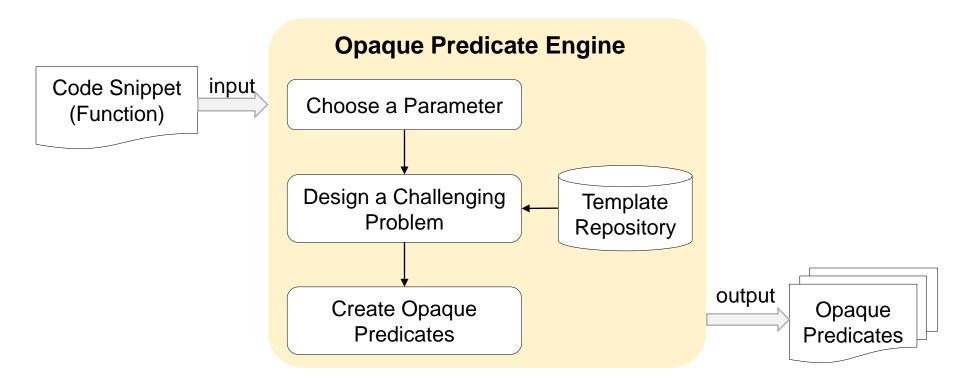
| Lagic_bombs                         | O Unwatch →         3         ★ Unstar         34         % Fork         3 |  |  |  |
|-------------------------------------|--|--|--|--|
| ♦ Code ① Issues 0 ⑦ Pull requests 0 | 🏴 Projects 0 🔳 Wiki 🔟 Insights   | Settings   |  |  |
| Branch: stable  Iogic_bombs / src / |  | Create new file Upload files Find file History                 |  |  |
| Tree source dir, fix heap overflow  |  | Latest commit b977274 on Jun 10                                |  |  |
|                                     |  |  |  |  |
| buffer_overflow                     |  |  |  |  |
| contextual_symbolic_value           |  |  |  |  |
| covert_propogation                  |  | Developed at Stanford (2008)<br>https://klee.github.io/        |  |  |
| crypto_functions                    |  |  |  |  |
| <pre>external_functions</pre>       |  | Developed at UCSB (2016)<br>http://angr.io/                    |  |  |
| floating_point                      | angr   |  |  |  |
| integer_overflow                    |  |  |  |  |
| 🖿 loop                              |  | Developed at Quarkslab (2015)<br>https:// triton.quarkslab.com |  |  |
| parallel_program                    |  |  |  |  |
| symbolic_jump                       | Dynamic Binary Analysis  |  |  |  |
| symbolic_memory                     |  |  |  |  |

### **Benchmarking Results**

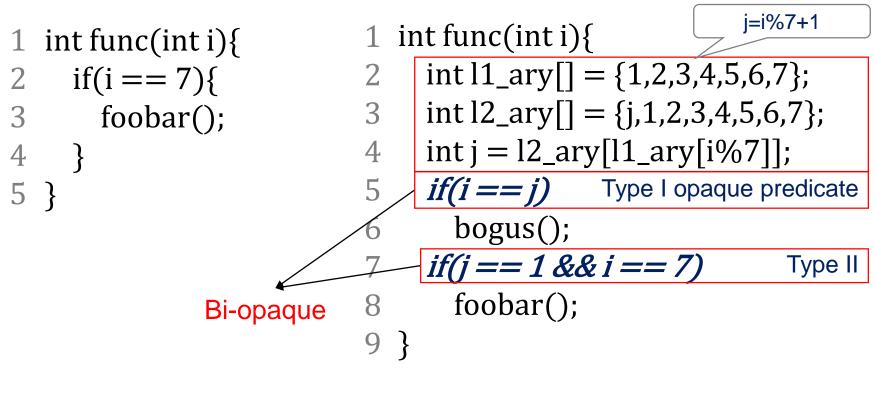
#### These tools failed most of the test cases.

| Challenge  |                               | Result (pass #/ total #) |        |                     |
|------------|-------------------------------|--------------------------|--------|---------------------|
|            |                               | KLEE                     | Triton | Angr                |
|            | Symbolic Variable Declaration | 0/7                      | 0/7    | 0/7                 |
|            | Covert Propagations           | 1/5                      | 1/9    | 4/9                 |
|            | Buffer Overflows              | 0/4                      | 0/4    | 2/4                 |
| Symbolic-  | Parallel Executions           | 0/5                      | 0/5    | 0/5                 |
| Reasoning  | Symbolic Memories             | 5/6                      | 0/8    | 7/8                 |
| Challenges | Contextual Symbolic Values    | 0/7                      | 0/7    | 0/7                 |
|            | Symbolic Jumps                | 1/1                      | 0/4    | 2/4                 |
|            | Floating-Point Numbers        | 0/5                      | 0/5    | 2/5                 |
|            | Arithmetic Overflows          | 2/2                      | 1/2    | 2/2                 |
| Path-      | Loops                         | 0/5                      | 0/5    | 0/5                 |
| Explosion  | Crypto Functions              | 0/2                      | 0/2    | 0/2                 |
| Challenges | External Function Calls       | 0/8                      | 1/8    | 3/8                 |
|            | Total                         | 9/54                     | 3/63   | 22/63 <sub>26</sub> |

# **Design Symbolic Opaque Predicates**



### **Template of Symbolic Memories**



Source Code

**Obfuscated Code** 

#### **Evaluation**

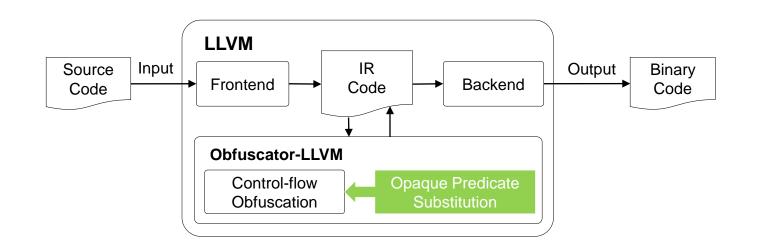
#### □ Performance Metrics:

- Space overhead
- Execution overhead

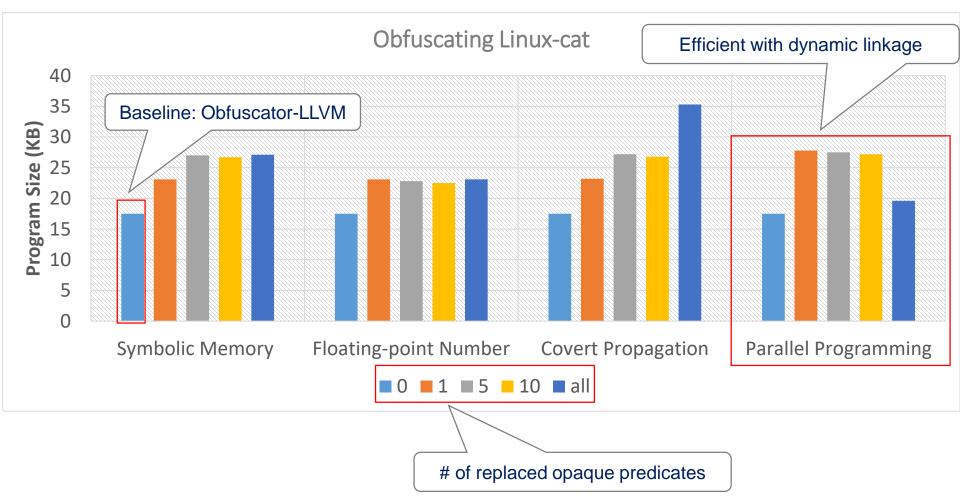
#### □ Target Programs:

- Linux Busybox (*e.g.*, cat)
- Encryption programs (*e.g.*, AES)

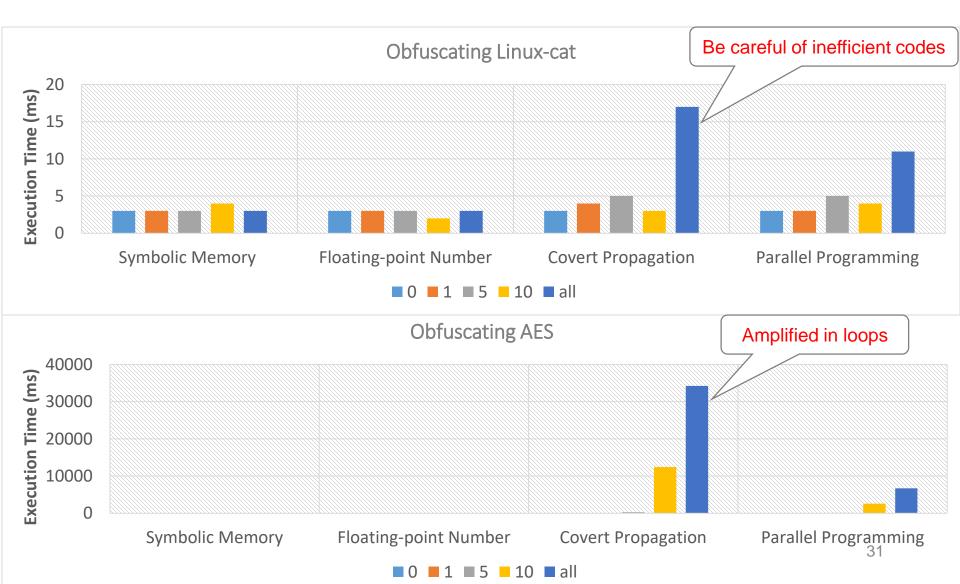
#### □ **Prototype** based on Obfuscator-LLVM.



# **Space Overhead**



# **Execution Overhead**



#### Summary of Symbolic Opaque Predicates

#### Objective:

• To secure control-flow obfuscation against symbolic execution.

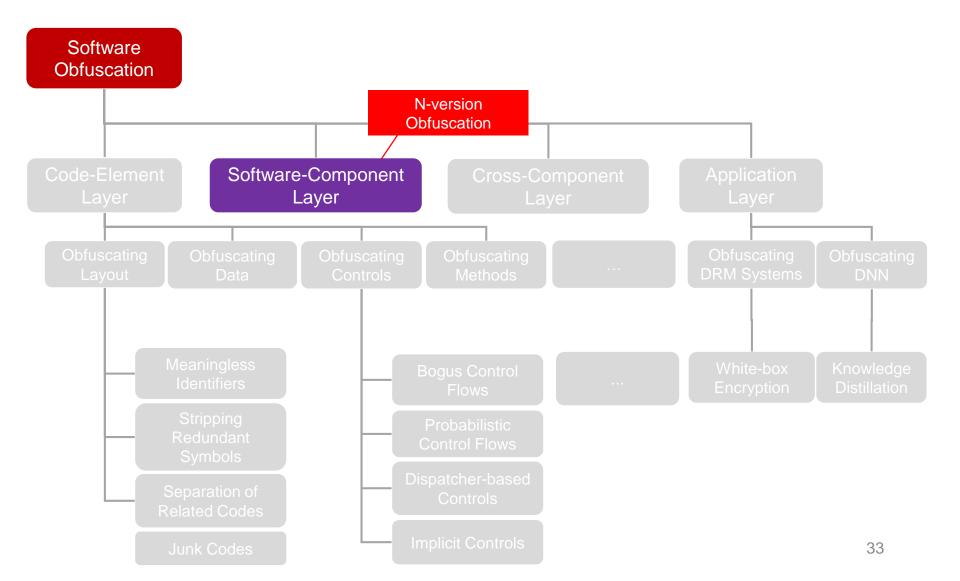
#### **Our Contribution**:

- We investigated the vulnerabilities of symbolic execution and developed a dataset to benchmark symbolic execution tools.
- We proposed a framework to compose opaque predicates leveraging these vulnerabilities.

#### **Current Work**:

- Enrich the template repository with more diversified samples.
- Develop a systematic strategy of opaque predicate insertion with small overhead.

#### **N-version Obfuscation**



#### Motivation

#### Software tampering attack is popular for smartphones, especially Android.

#### Static App Repack:



**Dynamic Injection:** 7/10 Android security apps in China inject payloads into their "protected" apps.

|     | root@android:/ # cat /proc/3789/maps<br>2a8cd000-2a8e0000p 00000000 00:00 0<br>2a8e0000-2a8f0000 rw-p 00000000 00:00 0<br>2a8f00000-2b300000p 00000000 00:00 0<br>2b300000-2b400000 rw-p 00000000 00:00 0<br>2b400000-2b800000p 00000000 00:00 0<br>2b400000-2b800000p 00000000 00:00 0<br>2e800000-2e821000 rw-p 00000000 00:00 0<br>3af00000-3af49000 rw-p 00000000 00:00 0<br>3c900000-3c929000 rw-p 00000000 00:00 0 |  |
|-----|--|--|
|     | 40000000-4000c000 гw-р 00000000 00:00 0  |  |
|     | 4000c000-4000d000 Γs 00019000 103:0d 32635   | /data/data/com.lbe.security/app_hips/client.jar  |
|     | 4000d000-4000e000 rs 00000000 00:04 5228   | /dev/ashmem/SurfaceFlinger read-only heap (delet |
| e - | 4000e000-4000f000 rs 0029f000 103:0d 99723   | /data/data/com.sankuai.meituan/code_cache/second |

[Zhou'14] Rongyu Zhou, Leave The App Alone! - Attack and Defense of Android App, RSA2014

#### The Protection Challenge

□We can have a bunch of solutions, but none is overwhelming.

"Given enough time, effort and determination, a competent programmer will always be able to reverse engineer any application."

--Christian Collberg

## **Our Objective and Approach**

**Objective** of N-version obfuscation:

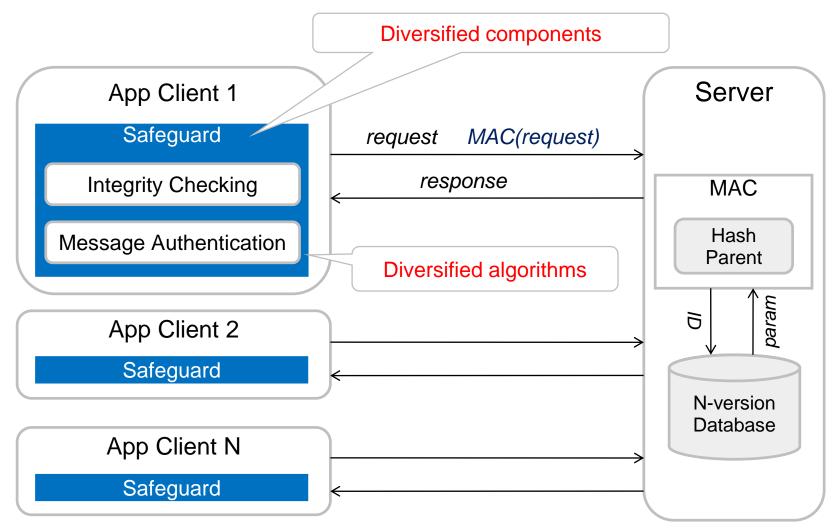
- Defend apps against software tampering attack.
- We focus on impeding large-scale attacks only.

#### **D**Approach:

- Create diversified apps for different clients.
- Impede the replication of an attack on multiple hosts.



## A Candidate Solution for Networked Apps



### Diversified MAC Algorithms based on SHA1

```
Data: w[80]
// blocks of plaintext
for i = 0; i < 80; i + 4 do
     if 0 < i < 19 then
          f \leftarrow (b \text{ AND } c) \text{ OR } ((\text{NOT } b) \text{ AND } d);
          k \leftarrow 0X5A827999;
     end
     if 20 \le i \le 39 then
          f \leftarrow b \text{ XOR } c \text{ XOR } d;
          k \leftarrow 0X6ED9EBA1;
     end
     if 40 < i < 59 then
          f \leftarrow (b \text{ AND } c) \text{ OR } (b \text{ AND } d) \text{ OR } (c \text{ AND } d);
          k \leftarrow 0X8F1BBCDC;
     end
     if 60 < i < 79 then
          f \leftarrow b \text{ XOR } c \text{ XOR } d;
          k \leftarrow 0XCA62C1D6;
     end
     temp \leftarrow (a \text{ LEFTROTATE 5}) + f + e + k + w[i];
     e \leftarrow d; Each client employs a random combination
     d \leftarrow c;
     c \leftarrow b LEFTROTATE 30;
     b \leftarrow a;
     a \leftarrow temp:
end
                        SHA1
```

```
Data: f_{genes}[80], k_{genes}[80], w[80]
for i = 0; i < 80; i + 40
     Call f\_genes[i];
                                                 2^160
     // Pointer to F0, F1, F2 or F0
     F_TAIL(k_genes[i], w[i]);
end
Function F\theta()
     f \leftarrow (b \text{ AND } c) \text{ OR } ((\text{NOT } b) \text{ AND } d);
Function F1()
     f \leftarrow b \text{ XOR } c \text{ XOR } d;
Function F2()
     f \leftarrow (b \text{ AND } c) \text{ OR } (b \text{ AND } d) \text{ OR } (c \text{ AND } d);
Function F3()
     f \leftarrow b \text{ XOR } c \text{ XOR } d;
Function F_TAIL(k, w)
     temp \leftarrow (a \text{ LEFTROTATE 5}) + f + e + k + w;
     e \leftarrow d;
     d \leftarrow c;
     c \leftarrow b LEFTROTATE 30;
     b \leftarrow a:
     a \leftarrow temp;
```

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# Feasibility of Automation

□Automation of N-version Generation:

Can be implemented as a compiler pass.

□Automation of N-version Delivery:

- Server delivers the safeguard as a dynamic library to each client at the first time of launch.
- Clients register their versions on the server.

### Summary of N-version Obfuscation

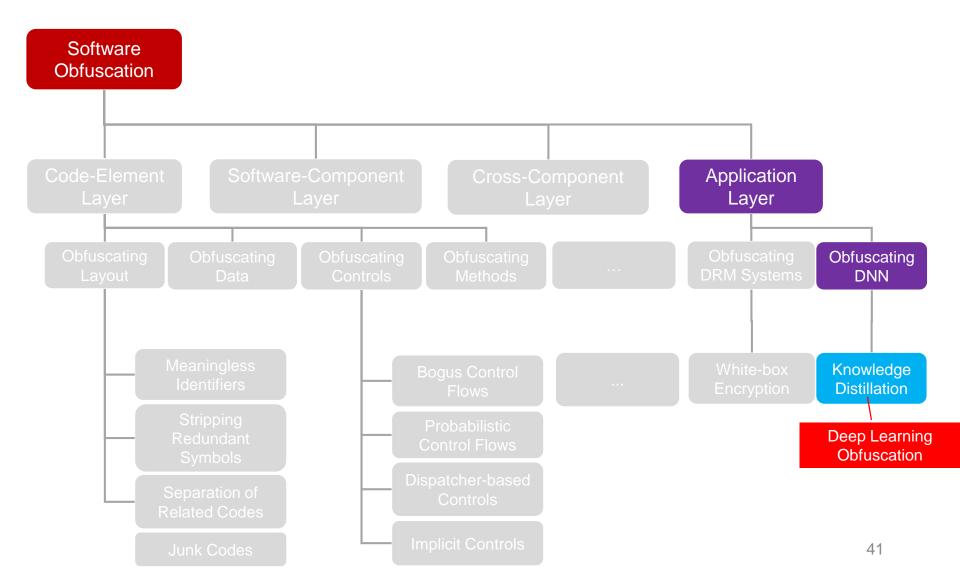
#### **Objective:**

- To defend software against tampering attacks.
- We focus on impeding large-scale attacks.

#### **Our Contribution:**

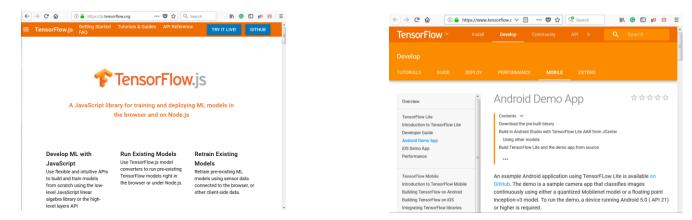
- We proposed an N-version obfuscation solution for networked apps.
- It is efficient to automatically generate and deliver N software versions.

### Our Proposed Approaches in the Taxonomy

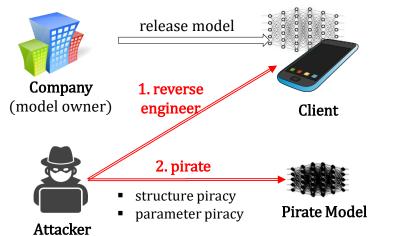


### Motivation

#### □ Running deep learning models on client sides is a trend.



#### Deep learning models are vulnerable to piracy.



|      | Attps://datascience.stackexchange.com/questions/13175/neural-network-o | ۲  | 200% | *** |
|------|--|----|------|-----|
| Nei  | ural network obfuscation   |    |      |     |
| 1101 |  |    |      |     |
|      | Neural networks are trained to minimize                                | SO | me   |     |

error function over the weights of the neural connections. In some applications, these weights
 could be considered intellectual property. Is there
 a way to encrypt these weights and still have an operational neural network?

Some context: I'm trying to scale a neural network algorithm, but right now we're doing all the computations on a centralized server and it's getting bogged down. We can shift the computation to the client side, but we don't want someone to unpack the executable and obtain the weights of the network. Is there some way to distribute an "encrypted neural network" such that our IP is protected?

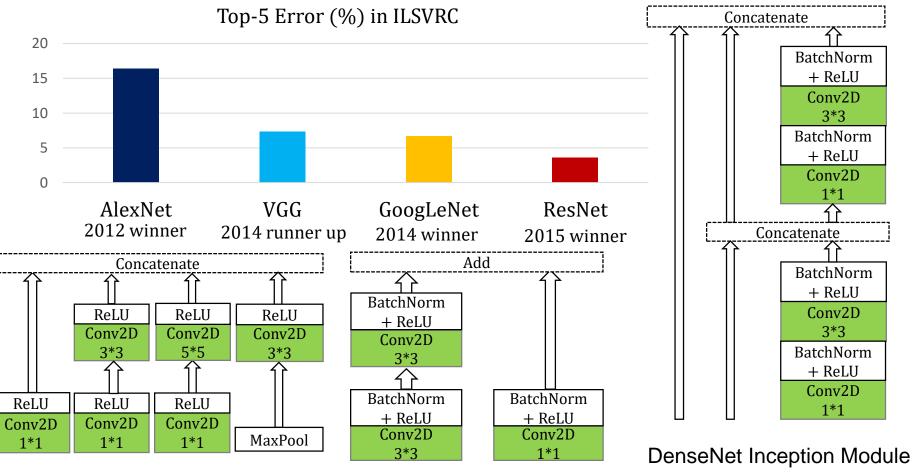
#### https://datascience.stackexchange.com/questions/13175/neural-network-obfuscation

(2017)

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### Structure Piracy

#### □ Structure is the key factor for improving accuracy.



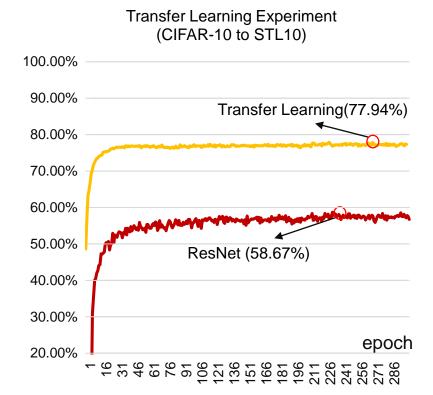
GoogLeNet Inception Module

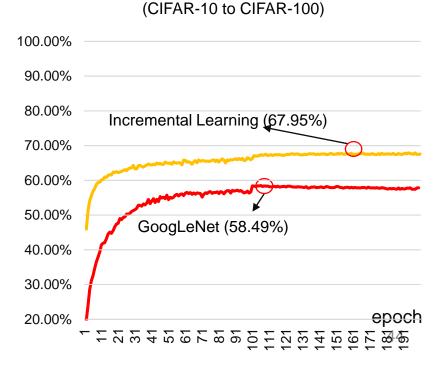
**ResNet Inception Module** 

### **Parameter Piracy**

□ Employ a well-trained model as the initial state to create new models.

- Transfer learning.
- Incremental learning.





Incremental Learning Experiment

## Our Objective and Approach

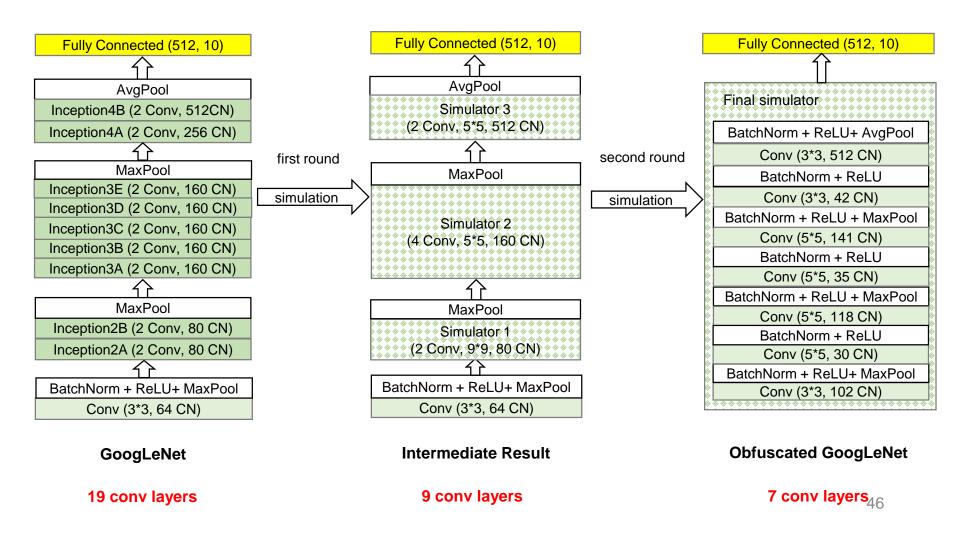
**Objective**: defend deep learning models against piracy attacks.

- Structure piracy.
- Parameter piracy.

**Approach**: simulate the model with a shallow network.

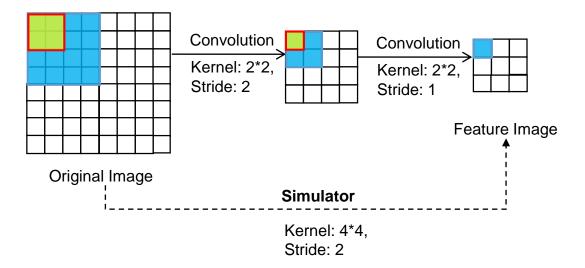
- Combat structure piracy by hiding the critical structures.
- Combat parameter piracy by degrading the learning ability.
- We should obtain a simulation network with zero accuracy loss.
  - Recursive simulation.
  - Joint training.

## **Recursive Simulation of GoogLeNet**



# Principle of Simulator Design

Features should be computed from the same (or super) set of pixels.



Kernel size of the simulation network:

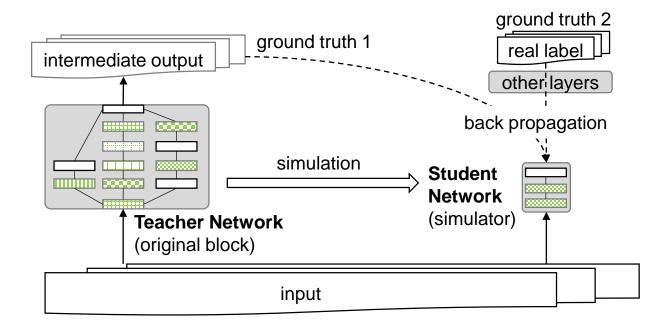
Compress 2 layers to 1 layer:  $h = h_1 + (h_2 - 1) \times s_1$ 

Compress n layers to 1 layer:  $h = h_1 + (h_n - 1) \times \cdots \times (h_2 - 1) \times s_{n-1} \times \cdots \times s_1$ 

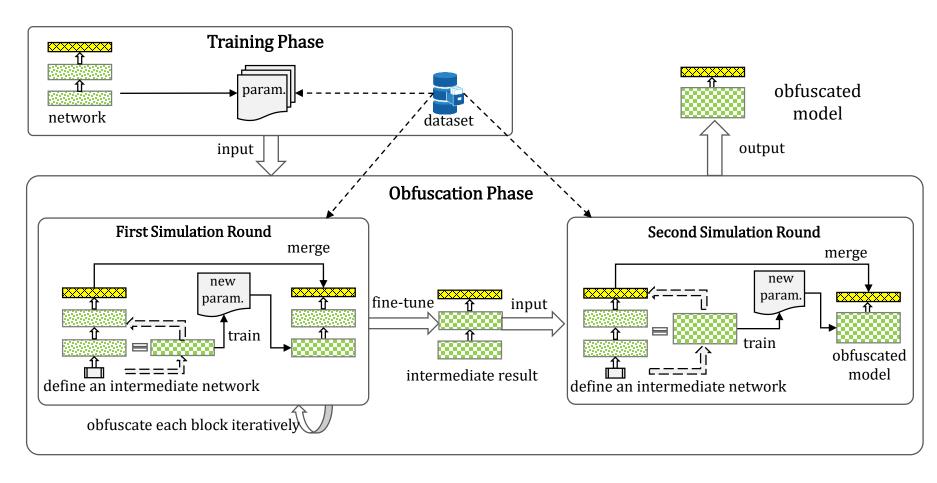
# Joint Training

□ An improvement based on the teacher-student network.

- The loss of student network cannot be zero.
- The teacher network itself has errors.



## **Overall Framework of Obfuscation**



simulation network (obfuscated)



## **Evaluation Experiments**

#### □Evaluation Purposes:

- Accuracy: can we obfuscate the model with zero accuracy loss?
- Overhead: size and execution cost.
- Security: resilience to parameter piracy.

#### □Models for Obfuscation:

- GoogLeNet, ResNet, and DenseNet trained with CIFAR-10.
- ResNet and DenseNet trained with ImageNet (five classes).

## **Results of Obfuscated Models**

| Model (CIFAR-10) |            | Performance |              |              | Overhead |      |
|------------------|------------|-------------|--------------|--------------|----------|------|
|                  |            | Accuracy    | Size<br>(MB) | Time<br>(us) | Size     | Time |
| GoogLeN<br>et    | Original   | 90.83%      | 2.51         | 17.85        | -        | -    |
|                  | Obfuscated | 90.92%      | 2.49         | 7.01         | -1%      | -63% |
| ResNet           | Original   | 90.94%      | 43.36        | 10.50        | -        | -    |
|                  | Obfuscated | 91.04%      | 11.38        | 5.17         | -74%     | -51% |
| DenseNet         | Original   | 90.14%      | 4.24         | 35.53        | -        | -    |
|                  | Obfuscated | 90.31%      | 4.21         | 5.52         | -1%      | -84% |

□ No accuracy loss.

□ More efficient.

| Model (ImageNet) |            | Performance |              |              | Overhead |      |  |
|------------------|------------|-------------|--------------|--------------|----------|------|--|
|                  |            | Accuracy    | Size<br>(MB) | Time<br>(us) | Size     | Time |  |
| ResNet           | Original   | 92.4%       | 43.37        | 89           | -        | -    |  |
|                  | Obfuscated | 92.4%       | 36.72        | 59           | -15%     | -34% |  |
| DenseNet         | Original   | 91.6%       | 4.27         | 154          | -        | -    |  |
|                  | Obfuscated | 92.8%       | 2.94         | 56           | -31%     | -64% |  |

## **Resilience to Parameter Piracy**

The accuracy of pirated models based on the obfuscated models declines obviously than based on the original ones.

| Model     |            |          | al Learning<br>o CIFAR-100) | <b>Transfer Learning</b><br>(CIFAR-10 to STL10) |             |  |
|-----------|------------|----------|-----------------------------|---|-------------|--|
|           |            | Accuracy | Degradation                 | Accuracy  | Degradation |  |
| GoogLeNet | Original   | 66.5%    | -                           | 79.15%  | -           |  |
|           | Obfuscated | 63.59%   | -4.4%                       | 77.95%  | -1.5%       |  |
| ResNet    | Original   | 66.92%   | -                           | 78.86%  | -           |  |
|           | Obfuscated | 64.77%   | -3.2%                       | 75.97%  | -3.7%       |  |
| DenseNet  | Original   | 67.16%   | -                           | 78.45%  | -           |  |
|           | Obfuscated | 62.91%   | -6.3%                       | 76.90%  | -2.0%       |  |

### Summary of Deep Learning Obfuscation

**Objective**: to secure deep learning models against piracy.

### **Our Contribution**:

- We proposed a simulation-based obfuscation approach.
- We conducted real-world experiments and achieved promising results.
  - No accuracy loss.
  - No overhead.
  - Resilient to parameter piracy.

# Conclusion

□We proposed layered obfuscation as a promising way for software obfuscation.

□We presented a taxonomy of obfuscation techniques for layered obfuscation.

□We discussed three novel obfuscation techniques.

- Symbolic opaque predicates.
- N-version obfuscation.
- Deep learning obfuscation.

## Future Work

□Practice layered obfuscation with more real-world software.

Develop a methodology for implementing layered obfuscation.

□Propose new obfuscation techniques for new security issues.

Develop a practical obfuscation tool integrating multiple techniques.

#### **Publications Related to the Thesis**

#### Motivation and Taxonomy (Chapter 2):

[1] "Assessing the Security Properties of Software Obfuscation," **Hui Xu**, and Michael R. Lyu, in *IEEE Security & Privacy Magazine*, Oct, 2016.

[2] "Layered Obfuscation: A Taxonomy of Software Obfuscation Techniques for Layered Security," **Hui Xu**, Jiang Ming, Yangfan Zhou, and Michael R. Lyu, *under review by Elsevier Computers & Security*.

#### □ Newly Proposed Approaches (Chapter 3,4, 5):

[3] "Manufacturing Resilient Bi-Opaque Predicates against Symbolic Execution," **Hui Xu**, Yangfan Zhou, Yu Kang, Fengzhi Tu, and Michael R. Lyu, in *Proc. of the 48th IEEE/IFIP International Conference on Dependable Systems and Networks (DSN)*, 2018.

[4] "Concolic Execution on Small-Size Binary Codes: Challenges and Empirical Study," **Hui Xu**, Yangfan Zhou, Yu Kang, and Michael R. Lyu, in *Proc. of the 47th IEEE/IFIP International Conference on Dependable Systems and Networks (DSN)*, 2017.

[5] "Benchmarking the Capability of Symbolic Execution Tools," **Hui Xu**, Zirui Zhao, Yangfan Zhou, and Michael R. Lyu, under review (minor revision) in *IEEE Transactions on Dependable and Secure Computing (TDSC)*, 2018.

[6] "N-version Obfuscation," **Hui Xu**, Yangfan Zhou, and Michael R. Lyu, in *Proc. of the 2nd Cyber-Phsical System Security Workshop (in conjunction with AsiaCCS)*, 2016.

[7] "DeepObfuscation: Securing the Structure of Convolutional Neural Networks via Obfuscation," **Hui Xu**, Yuxin Su, Zirui Zhao, Yangfan Zhou, Michael R. Lyu, and Irwin King, *under review by NDSS*, 2019.

#### Other Publications Related to Software Engineering and Cybersecurity

[1] "IntelliAd: Assisting Mobile App Developers in Measuring Ad Costs Automatically [Poster]," Cuiyun Gao, Yichuan Man, **Hui Xu**, Jieming Zhu, Yangfan Zhou and Michael R. Lyu, in *the 39th International Conference on Software Engineering* (*ICSE-C*), 2017.

[2] "DiagDroid: Android Performance Diagnosis via Anatomizing Asynchronous Executions," Yu Kang, Yangfan Zhou, **Hui Xu**, and Michael R. Lyu, in *Proc. of the 24th ACM SIGSOFT International Symposium on the Foundations of Software Engineering (FSE)*, 2016.

[3] "SpyAware: Investigating the Privacy Leakage Signatures in App Execution Traces," **Hui Xu**, Yangfan Zhou, Cuiyun Gao, Yu Kang, Michael R. Lyu, in *Proc. of the 26th IEEE International Symposium on Software Reliability Engineering (ISSRE)*, 2015.

[4] "AR-Tracker: Track the Dynamics of Mobile Apps via User Review Mining," Cuiyun Gao, **Hui Xu**, Junjie Hu, and Yangfan Zhou, in Proc. of the *International Workshop on Internet-based Virtual Computing Environment (IVCE)*, 2015.

[5] "Towards Continuous and Passive Authentication via Touch Biometrics: An Experimental Study on Smartphones," **Hui Xu**, Yangfan Zhou, and Michael R. Lyu, in *Proc. of the USENIX Symposium on Usable Privacy and Security (SOUPS)*, 2014.

[6] "Towards Designing Fault-Tolerant Deep Learning Systems via N-version Programming," **Hui Xu**, Zhuangbin Chen, Weibin Wu, Irwin King, Michael Lyu, under review.

[7] "Toward Detecting Real-world Adversarial Corner Cases in Deep Neural Networks," Weibin Wu, **Hui Xu**, Sanqiang Zhong, Michael, R. Lyu, Irwin King, under review.

