## INFS 4205/7205: Exercise Set 7

Prepared by Yufei Tao and Junhao Gan

Problem 1. Consider the set $P$ of points as shown in the figure. Suppose that we run the closest pair algorithm on $P$. Recall that the algorithm first divides $P$ in halves along the x-dimension using a vertical line $\ell$ (see the figure), recursively solves each half, and then builds a grid. Answer the following questions:


1. Draw the grid in the figure.
2. Consider the cell $c_{1}$ of the grid that covers point $p_{6}$. Recall that the algorithm needs to pair up $c_{1}$ with certain cells $c_{2}$ on the right of $\ell$, in order to compute the distance of $(p, q)$ for every pair of points $p, q$ covered by $c_{1}$ and $c_{2}$, respectively. List the center coordiantes of all such cells $c_{2}$.

## Solution.



The center coordinates of all such cells $c_{2}$ are: $(9,7),(9,11),(9,15),(11,7)$ and $(11,11)$.
Problem 2. Let $P$ be a set of points in $\mathbb{R}^{d}$. Give an $O(n \log n)$ expected time algorithm to find the 2nd closest pair of $P$. Formally, define $T=\{\{p, q\} \mid p, q \in P \wedge p \neq q\}$. The 2nd closest pair is the $\{p, q\} \in T$ that has the second smallest $\operatorname{dist}(p, q)$ (i.e., Euclidean distance between $p, q$ ).

For instance, in the example dataset Problem 1, the 2nd closest pair is ( $p_{6}, p_{9}$ ) (note that the first closest pair is $\left.\left(p_{1}, p_{3}\right)\right)$.

Solution. First find the closet pair $\left(p_{1}, p_{2}\right)$. Then, remove $p_{1}$ from $P$, and find the closest pair ( $p_{1}^{\prime}, p_{2}^{\prime}$ ) of the remaining points. Now, put back $p_{1}$, but remove $p_{2}$ from $P$, and find the closest pair $\left(p_{1}^{\prime \prime}, p_{2}^{\prime \prime}\right)$ of the remaining points. The second closest pair must be either $\left(p_{1}^{\prime}, p_{2}^{\prime}\right)$ or $\left(p_{1}^{\prime \prime}, p_{2}^{\prime \prime}\right)$

Problem 3. Let $\ell$ be a vertical line. Let $p$ be a point on the left of $\ell$, and $P$ be a set of points on the right of $\ell$. Define $r$ as the distance of the closest pair of $P$. We throw away from $P$ all the points whose distances to $\ell$ are greater than $r$. Define $P^{\prime}$ to be the set of remaining points in $P$.

For $p$, we define its $r$-bounded nearest neighbor (NN) as the point $q$ in $P$ that is closest to $p$, among all the points whose distances to $p$ are at most $r$ (if no such points exist, then $p$ has no $r$-nearest neighbor).

For example, in the figure below, the closest pair in $P=\left\{p_{1}, \ldots, p_{10}\right\}$ is ( $p_{5}, p_{7}$ ) whose distance is $2 \sqrt{2}$. Thus, $r=2 \sqrt{2}$ and $P^{\prime}=\left\{p_{1}, p_{2}, p_{3}, p_{4}\right\}$. If $p=p_{1}^{*}$, then $p$ has no $r$-bounded NNs, while if $p=p_{2}^{*}$, the $r$-bounded NN of $p$ is $p_{1}$.


Consider the following approach of finding the $r$-bounded NN of $p$. First, sort $P^{\prime} \cup\{p\}$ by y -coordinate. Then, identify the position of $p$ in the sorted list. Inspect the 20 points before and after $p$, respectively (namely, in total 40 points are inspected). Prove that the $r$-bounded NN (if exists) must be among those 40 points.

Proof. Impose an arbitrary grid in the data space such that: (i) each cell is an axis-paralleled square with side length $r / \sqrt{2}$, and (ii) $\ell$ is a line in the grid. In the class, we showed that: (i) each cell covers at most 2 points of $P$, and (ii) the cell containing $p$ (on the left of $\ell$ ) has at most 10 $r$-neighbors on the right of $\ell$. Thus, at most 20 points can possibly be within distance $r$ from $p$. Furthermore, in the sorted list of $P^{\prime} \cup\{p\}$ by y-coordinate, all these (at most) 20 points must be stored consecutively around the position of $p$ in the list. This completes the proof.

Problem 4. Let $\ell$ be a vertical line. Let $P_{1}$ be a set of points on the left of $\ell$, and $P_{2}$ be a set of points on the right of $\ell$. Define $r_{1}$ (or $r_{2}$ ) as the distance of the closest pair in $P_{1}$ (or $P_{2}$, resp.), and $r=\min \left\{r_{1}, r_{2}\right\}$. Suppose that $P_{1}$ and $P_{2}$ have been sorted by y-coordinate. Give an $O(n)$ time (where $n=\left|P_{1}\right|+\left|P_{2}\right|$ ) algorithm to find, for each $p_{1} \in P_{1}$, its $r$-bounded NN in $P_{2}$.

Solution. Scan $P_{1}$ (or $P_{2}$ ) to obtain a sorted list $P_{1}^{\prime}$ (or $P_{2}^{\prime}$, resp.) containing only the points of $P_{1}$ (or $P_{2}$, resp.) whose distances to $\ell$ are at most $r$. Merge $P_{1}^{\prime}$ and $P_{2}^{\prime}$ into one list $P^{\prime}$, sorted by y -coordinate. The cost so far is $O(n)$.

Now scan $P^{\prime}$. At any moment, keep the last 20 points seen from $P_{1}^{\prime}$ : call it the $P_{1}^{\prime}$-buffer. Similarly a $P_{2}^{\prime}$-buffer defined in the same way. Every time a point in $P_{1}^{\prime}$ is encountered, calculate
its distances to the points in the $P_{2}^{\prime}$-buffer, and decide its $r$-bounded NN accordingly. Every time a point $p_{2} \in P_{2}^{\prime}$ is encountered, calculate its distance to each point $p_{1}$ in the $P_{1}^{\prime}$-buffer. If $p_{2}$ is closer to $p_{1}$ than the $r$-bounded NN of $p_{1}$, update the $r$-bounded NN to $p_{2}$. In this way, each point is processed in $O(1)$ time. The total time is therefore $O(n)$.

Problem 5. Let $P$ be a set of points in $\mathbb{R}^{2}$. Give an algorithm to find the closest pair of $P$ in $O(n \log n)$ worst case time.

Solution. The algorithm is the same as the one taught in the class, except that we apply the solution in Problem 4 to find the "crossing" closest pair. A bit of care is used to maintain the sorted lists, in order to avoid repeated sorting.

- Sort $P$ into separately by x- and y-coordinate, respectively. This gives two sorted lists $L_{x}(P)$ and $L_{y}(P)$ (each point duplicated twice, once in each list).
- Divide $P$ into two equal halves $P_{1}$ and $P_{2}$ by a vertical line $\ell$. Partition $L_{x}(P)$ into $L_{x}\left(P_{1}\right), L_{x}\left(P_{2}\right)$ and $L_{y}(P)$ into $L_{y}\left(P_{1}\right), L_{y}\left(P_{2}\right)$. The meanings of $L_{x}\left(P_{1}\right), L_{x}\left(P_{2}\right), L_{y}\left(P_{1}\right), L_{y}\left(P_{2}\right)$ follow those of $L_{x}(P)$ and $L_{y}(P)$.
- Recursively find the closest pairs in $P_{1}$ and $P_{2}$, respectively. Define $r_{1}$ (or $r_{2}$ ) as the distance of the closest pair in $P_{1}$ (or $P_{2}$, resp.), and $r=\min \left\{r_{1}, r_{2}\right\}$.
- For each point $p$ of $P_{1}$, compute the $r$-bounded NN $q$ in $P_{2}$ with respect to $\ell$ by utilizing the sorted lists $L_{y}\left(P_{1}\right)$ and $L_{y}\left(P_{2}\right)$. Pick the closest one among all such pairs $(p, q)$ as the crossing closest pair.
- Return the best among the three pairs: the one reported in $P_{1}$, the one reported in $P_{2}$ and the crossing closest pair.

By the algorithm in Problem 4, the crossing closest pair between $P_{1}$ and $P_{2}$ can be computed in $O\left(\left|P_{1}\right|+\left|P_{2}\right|\right)$ worst case time. The total running time is therefore bounded by $O(n \log n)$ worst case time.

