## CSCI3160: Regular Exercise Set 3

Prepared by Yufei Tao

**Problem 1.** Let S be a set of n intervals  $\{[s_i, f_i] \mid 1 \le i \le n\}$ , satisfying  $f_1 \le f_2 \le \dots \le f_n$ . Denote by S' the set of intervals in S that are disjoint with  $[s_1, f_1]$ . Prove: if  $T' \subseteq S'$  is an optimal solution to the activity selection problem on S', then  $T' \cup \{[s_1, f_1]\}$  is an optimal solution to the activity selection problem on S.

**Solution.** We will prove the claim by contradiction. Suppose that  $T' \cup \{[s_1, f_1]\}$  is not an optimal solution to the activity selection problem on S. As proved in the class, there exists an optimal solution T (to the activity selection problem on S) which includes  $[s_1, f_1]$ . It thus follows that  $|T' \cup \{[s_1, f_1]\}| < |T|$  (otherwise,  $T' \cup \{[s_1, f_1]\}$  would be an optimal solution to the activity selection problem on S).

Since every interval in  $T \setminus \{[s_1, f_1]\}$  is disjoint with  $[s_1, f_1]$ , all the intervals in  $T \setminus \{[s_1, f_1]\}$  must come from S'. As T' is an *optimal* solution the activity selection problem on S', we know:

$$|T'| \geq |T \setminus \{[s_1, f_1]\}|$$
  
$$\Rightarrow |T' \cup \{[s_1, f_1]\}| \geq |T|$$

thus causing a contradiction.

**Problem 2.** Describe how to implement the activity selection algorithm discussed in the lecture in  $O(n \log n)$  time, where n is the number of input intervals.

**Solution.** Let S be the set of n intervals given, where each interval has the form [s, f]. Sort the intervals in ascending order the f-value. Denote the sorted order as  $[s_1, f_1], [s_2, f_2], ..., [s_n, f_n]$  where  $f_1 \leq f_2 \leq ... \leq f_n$ . Proceed as follows:

1.  $T = \{[s_1, f_1]\}; \ last = 1$ 2. for i = 2 to n3. if  $s_i > f_{last}$  then 4. add  $[s_i, f_i]$  into  $T; \ last = i$ 

After sorting, the above algorithm runs in O(n) time.

**Problem 3.** Prof. Goofy proposes the following greedy algorithm to "solve" the activity selection problem. Let S be the input set of intervals. Initialize an empty T, and then repeat the following steps until S is empty:

- (Step 1) Add to T the interval I = [s, f] in S that has the smallest s-value.
- (Step 2) Remove from S all the intervals overlapping with I (including I itself).

Finally, return T as the answer.

Prove: the above algorithm does not guarantee an optimal solution.

**Solution.** Here is a counterexample:  $S = \{[1, 10], [2, 3], [4, 5]\}$ . Prof. Goofy's algorithm returns  $\{[1, 10]\}$ , while the optimal solution is  $S = \{[2, 3], [4, 5]\}$ .

**Problem 4\*\*.** Prof. Goofy just won't give up! This time he proposes a more sophisticated greedy algorithm. Again, let S be the input set of intervals. Initialize an empty T, and then repeat the following steps until S is empty:

- (Step 1) Add to T the interval  $I \in S$  that overlaps with the *fewest* other intervals in S.
- (Step 2) Remove from S the interval I as well as all the intervals that overlap with I.

Finally, return T as the answer.

Prove: the above algorithm does not guarantee an optimal solution.

**Solution.** The following nice counterexample is by courtesy of the site http://mypathtothe4.blogspot.com/2013/03/greedy-algorithms-activity-selection.html.

 $S = \{ [1, 10], [2, 22], [3, 23], [20, 30], [25, 45], [40, 50], [47, 62], [48, 63], [60, 70] \}$ 

Prof. Goofy's algorithm returns 3 intervals (one of them must be [25, 45]), while the optimal solution consists of 4 intervals.

**Problem 5\* (Fractional Knapsack).** Let  $(w_1, v_1)$ ,  $(w_2, v_2)$ , ...,  $(w_n, v_n)$  be *n* pairs of positive real values. Given a real value  $W \leq \sum_{i=1}^{n} w_i$ , design an algorithm to find  $x_1, x_2, ..., x_n$  to maximize the *objective function* 

$$\sum_{i=1} \frac{x_i}{w_i} \cdot v_i$$

subject to

- $0 \le x_i \le w_i$  for every  $i \in [1, n];$
- $\sum_{i=1}^{n} x_i \leq W$ .

Remark: You can imagine that, for each  $i \in [1, n]$ , the value  $w_i$  is the 'weight' of a certain item, and  $v_i$  is the item's 'value'. The goal is to maximize the total value of the items we collect, subject to the constraint that all the items must weight no more than W in total. For each item, we are allowed to take only a fraction of it, which reduces its weight and value by proportion.

**Solution.** Assume, w.l.o.g., that  $\frac{v_1}{w_1} \ge \frac{v_2}{w_2} \ge \dots \ge \frac{v_n}{w_n}$ . Our algorithm runs as follows:

1. for  $i \leftarrow 1$  to n do 2.  $x_i \leftarrow \min\{W, w_i\}$ 3.  $W \leftarrow W - x_i$ 

Next, we prove the algorithm returns an optimal solution. Consider an arbitrary optimal solution  $x_1^*, x_2^*, ..., x_n^*$ . Observe that  $\sum_{i=1}^n x_i^*$  must be exactly W (think: why?).

Suppose that the optimal solution differs from the solution returned by our algorithm. Let t be the smallest integer such that  $x_t \neq x_t^*$  (this means  $x_1 = x_1^*, ..., x_{t-1} = x_{t-1}^*$ ). By how our algorithm runs, we know  $x_t > x_t^*$ . Define  $\Delta = x_t - x_t^*$ .

We argue that  $x_{t+1}^* + x_{t+2}^* + \ldots + x_n^* \ge \Delta$ . If this is not true, then

$$\left(\sum_{i=1}^{t-1} x_i^*\right) + \left(\sum_{i=t}^n x_i^*\right) = \left(\sum_{i=1}^{t-1} x_i\right) + (x_t - \Delta) + \left(\sum_{i=t+1}^n x_i^*\right)$$
$$< \left(\sum_{i=1}^{t-1} x_i\right) + (x_t - \Delta) + \Delta$$
$$= \left(\sum_{i=1}^{t-1} x_i\right) + x_t$$
$$< W$$

This means  $\sum_{i=1}^{n} x_i^*$  is strictly less than W, giving a contradiction.

We now adjust the optimal solution as follows:

- First, increase  $x_t^*$  by  $\Delta$  to make  $x_t^* = x_t$ .
- Second, reduce a total amount of  $\Delta$  arbitrarily from  $x_{t+1}^*$ ,  $x_{t+2}^*$ , ...,  $x_n^*$ . This is possible because  $x_{t+1}^* + x_{t+2}^* + \dots + x_n^* \ge \Delta$ .

Because  $\frac{v_t}{w_t} \ge \frac{v_i}{w_i}$  for any i > t, the new solution achieves at least the same value for the objective function

$$\sum_{i=1} \frac{x_i^*}{w_i} \cdot v_i.$$

compared to the original solution and therefore must also be optimal.

We now have obtained an optimal solution that agrees with our solution on the first t numbers, i.e., one more than before. By repeating the above argument, we can obtain an optimal solution that agrees with our solution on the first t + 1 numbers, then another optimal solution agreeing with ours on the first t + 2 numbers and so on. Eventually, we obtain an optimal solution that is completely the same as our solution. This proves the optimality of our solution.