

# An In-Place Implementation of Quick Sort

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We have learned that quick sort guarantees running time  $O(n \log n)$  in expectation. Today, we will discuss how to implement it in an “in-place” manner.

In general, an implementation is said to be **in-place** if it uses **exactly**  $n$  memory cells, namely, just enough to store the input array.

Recall:

**The Sorting Problem.** The input is an array  $A$  of  $n$  distinct integers. The goal is to output an array where the  $n$  integers are stored in ascending order.

## Recall: The Quick Sort Algorithm

- 1 Pick an integer  $p$  from  $A$  **uniformly at random**, which is called the **pivot**.
- 2 Store the integers in another array  $A'$  such that
  - all the integers **smaller** than  $p$  are **before**  $p$  in  $A'$ ;
  - all the integers **larger** than  $p$  are **after**  $p$  in  $A'$ .
- 3 Sort the part of  $A'$  before  $p$  recursively (a subproblem).
- 4 Sort the part of  $A'$  after  $p$  recursively (a subproblem).

### Example

Original array  $A$  (suppose that 26 was randomly picked as the pivot):

38	28	88	17	<sup><math>p</math></sup> 26	41	72	83	20	47	12	68	5	52	35	9													
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Step 2 creates another array  $A'$ :

17	20	12	5	9	<sup><math>p</math></sup> 26	38	28	88	41	72	83	47	68	52	35													
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Creation of  $A'$  requires reading and writing  $n$  integers.

## An In-Place Version of Quick Sort

- 1 Pick a pivot  $p$  from  $A$  uniformly at random.
- 2 Re-arrange the integers in  $A$  such that
  - all the integers smaller than  $p$  are before  $p$  in  $A$ ;
  - all the integers larger than  $p$  are after  $p$  in  $A$ .
- 3 Sort the part of  $A$  before  $p$  recursively (a subproblem).
- 4 Sort the part of  $A$  after  $p$  recursively (a subproblem).

Next, we will explain how to implement Step 2 in  $O(n)$  time without using any extra memory cells (other than those in  $A$ ). The implementation uses only  $O(1)$  CPU registers.

First, store the pivot  $p = 26$  in a CPU register. This creates an empty slot, which we refer to as the **gap**.

					gap											
38	28	88	17		/	41	72	83	20	47	12	68	5	52	35	9

Set pointers  $i = 1$  and  $j = n = 16$ . The values of  $i$  and  $j$  are in CPU registers.

				$i$												$j$	
38	28	88	17			41	72	83	20	47	12	68	5	52	35	9	

Here,  $A[i] > p = 26$  and  $A[j] < p$ . We swap  $A[i]$  with  $A[j]$ , which gives:

				$i$												$j$	
9	28	88	17			41	72	83	20	47	12	68	5	52	35	38	

Increase  $i$  until  $A[i] > p = 26$  and decrease  $j$  until  $A[j] < p$ :

$i$														$j$			
9	28	88	17		41	72	83	20	47	12	68	5	52	35	38		

Swapping  $A[i]$  with  $A[j]$  gives:

$i$														$j$			
9	5	88	17		41	72	83	20	47	12	68	28	52	35	38		

Again, increase  $i$  until  $A[i] > p$  and decrease  $j$  until  $A[j] < p$ :

$i$														$j$			
9	5	88	17		41	72	83	20	47	12	68	28	52	35	38		

Swapping  $A[i]$  with  $A[j]$  gives:

$i$														$j$			
9	5	12	17		41	72	83	20	47	88	68	28	52	35	38		



Again, we try to increase  $i$  to find the next  $A[i] > p = 26$ . However, this time  $i$  hits the gap before such an  $A[i]$  is found:

			<i>i</i>							<i>j</i>							
9	5	12	17		41	72	83	20	47	88	68	28	52	35	38		

Keeping  $i$  at the gap, we now decrease  $j$  to find the next  $A[j] < p$ :

			<i>i</i>							<i>j</i>							
9	5	12	17		41	72	83	20	47	88	68	28	52	35	38		

Swapping  $A[i]$  with  $A[j]$  gives:

			<i>i</i>							<i>j</i>							
9	5	12	17	20	41	72	83		47	88	68	28	52	35	38		

Note:  $j$  points to the gap now.

Keeping  $j$  at the gap, we now increase  $i$  to find the next  $A[i] > p = 26$ :

					<i>i</i>		<i>j</i>									
9	5	12	17	20	41	72	83		47	88	68	28	52	35	38	

Swapping  $A[i]$  with  $A[j]$  gives:

					<i>i</i>		<i>j</i>									
9	5	12	17	20		72	83	41	47	88	68	28	52	35	38	

Note:  $i$  points to the gap now.

Keeping  $i$  at the gap, we try to decrease  $j$  to find the next  $A[j] < p = 26$ . However, this time  $j$  hits the gap before such an  $A[j]$  is found:

$ij$

9	5	12	17	20		72	83	41	47	88	68	28	52	35	38
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As both  $i$  and  $j$  point to the gap, we now finish by entering  $p$  into the gap:

$ij$

9	5	12	17	20	26	72	83	41	47	88	68	28	52	35	38
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The in-place implementation has at least two advantages over our old implementation:

- It uses less memory.
- It may perform less memory writes (think: why?).

Owing to these advantages, quick sort usually outperforms merge sort in practice, even though their time complexities are both  $O(n \log n)$ .