

# Nondeterministic Finite Automata

CSCI 3130 Formal Languages and Automata Theory

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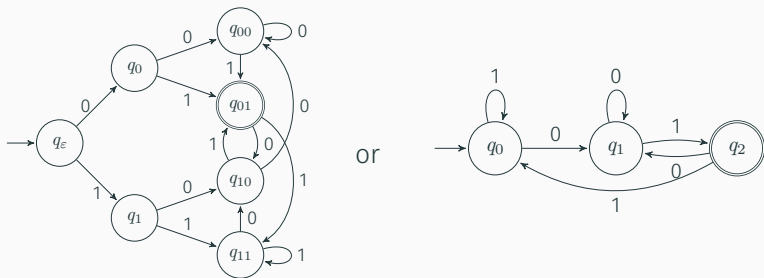
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Chinese University of Hong Kong

## Example from last lecture with a simpler solution

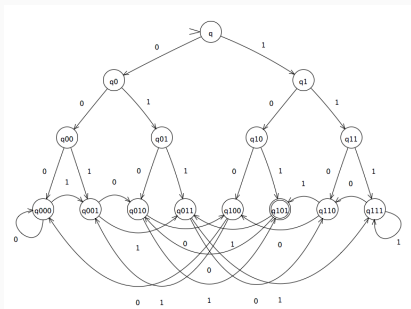
Construct a DFA over  $\{0, 1\}$  that accepts all strings ending in 01



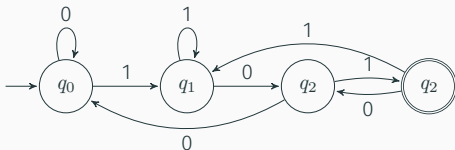
Three weeks later: DFA minimization

## Another example from last lecture

Construct a DFA over  $\{0, 1\}$  that accepts all strings ending in 101

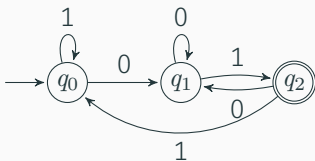


or

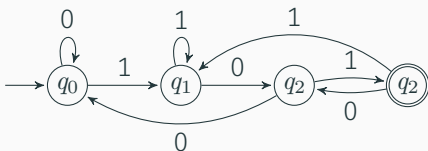


# String matching DFAs

Ending in 01



Ending in 101



Fast string matching algorithms to turn a **pattern** into a string matching DFA and execute the DFA:

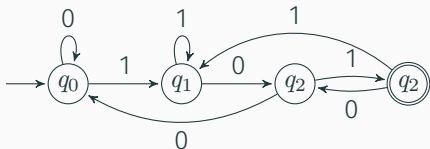
Boyer–Moore (BM) and Knuth–Morris–Pratt (KMP)

(won't cover in class)

# Nondeterminism

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## In a few lectures



What problems can finite state machines solve?

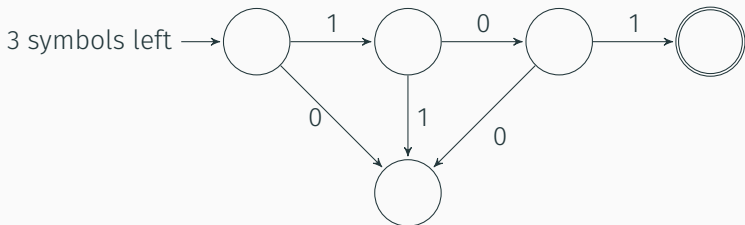
We'll answer this question in the next few lectures

Useful to consider hypothetical machines that are **nondeterministic**

## Even easier with guesses

Suppose we could **guess** when the input string has only 3 symbols left

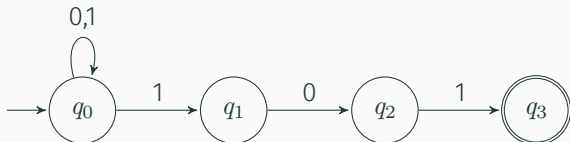
Accept strings ending in 101:



This is **not** a DFA!

# Nondeterministic finite automata

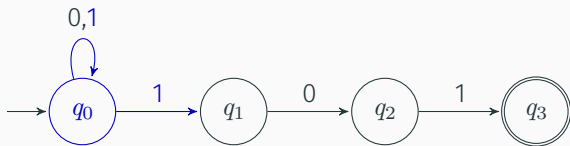
A machine that allows us to make **guesses**



Each state can have **zero, one, or more** outgoing transitions labeled by the same symbol



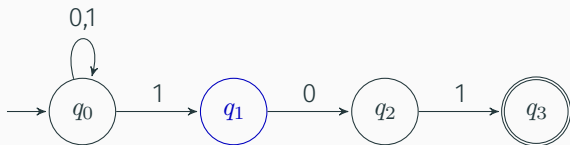
## Choosing where to go



State  $q_0$  has two transitions labeled 1

Upon reading 1, we have the **choice** of staying at  $q_0$  or moving to  $q_1$

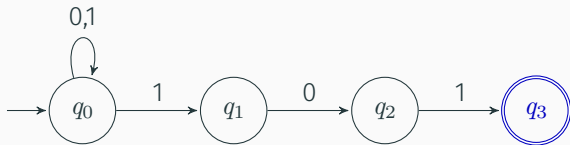
## Ability to choose



State  $q_1$  has **no transition labeled 1**

Upon reading 1 at  $q_1$ , die; upon reading 0, continue to  $q_2$

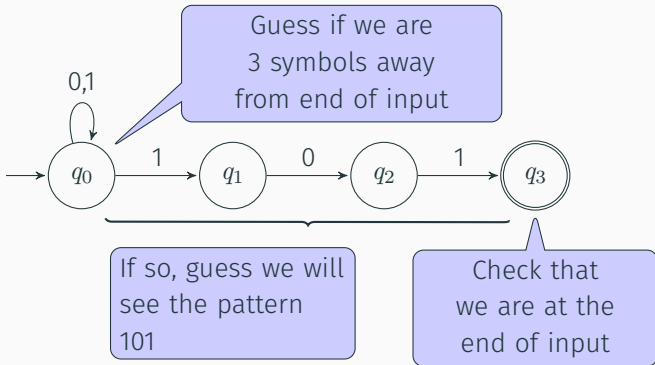
## Ability to choose



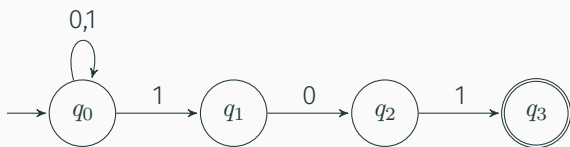
State  $q_1$  has **no transition** going out

Upon reading 0 or 1 at  $q_3$ , die

# Meaning of NFA



## How to run an NFA



input: 01101

The NFA can have **several active states** at the same time  
NFA accepts if at the end, **one of its active states** is accepting

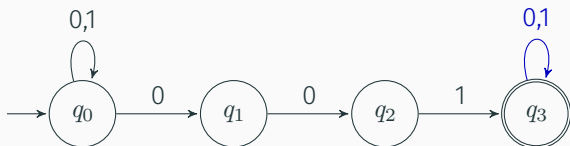
## Example

Construct an NFA over alphabet  $\{0, 1\}$  that accepts all strings containing the pattern 001 somewhere

11001010, 001001, 111001    should be accepted  
 $\epsilon$ , 000, 010101            should not

## Example

Construct an NFA over alphabet  $\{0, 1\}$  that accepts all strings containing the pattern 001 somewhere



# Definition

A **nondeterministic finite automaton** (NFA) is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$   
where

- $Q$  is a finite set of states
- $\Sigma$  is an alphabet
- $\delta : Q \times (\Sigma \cup \{\epsilon\}) \rightarrow \text{subsets of } Q$  is a transition function
- $q_0 \in Q$  is the initial state
- $F \subseteq Q$  is a set of accepting states

Differences from DFA:

- transition function  $\delta$  can go into several states
- allows  $\epsilon$ -transitions

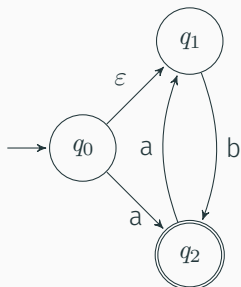


# Language of an NFA

The NFA **accepts** string  $x$  if there is some path that, starting from  $q_0$ , ends at an accepting state as  $x$  is read from left to right

The **language of an NFA** is the set of all strings accepted by the NFA

$\epsilon$ -transitions can be taken for free:



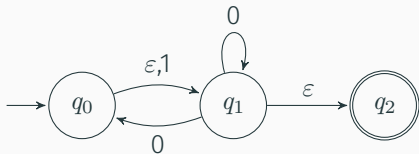
accepts

a, b, aab, bab, aabab, ...

rejects

$\epsilon$ , aa, ba, bb, ...

# Example



alphabet  $\Sigma = \{0, 1\}$

states  $Q = \{q_0, q_1, q_2\}$

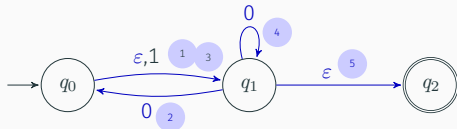
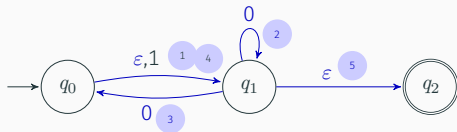
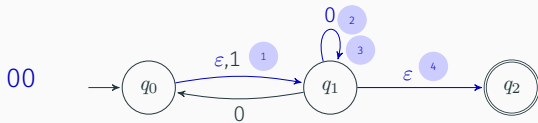
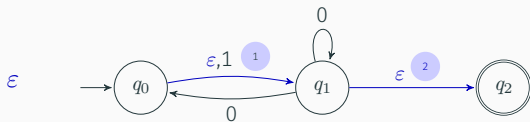
initial state  $q_0$

accepting states  $F = \{q_2\}$

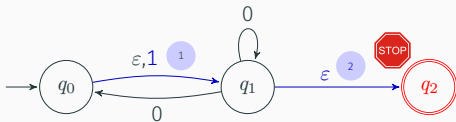
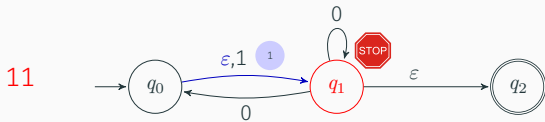
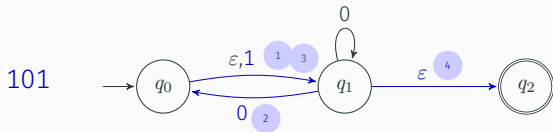
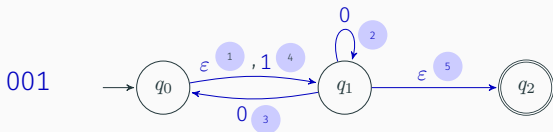
table of transition function  $\delta$

		inputs		
		0	1	$\epsilon$
states	$q_0$	$\emptyset$	$\{q_1\}$	$\{q_1\}$
	$q_1$	$\{q_0, q_1\}$	$\emptyset$	$\{q_2\}$
	$q_2$	$\emptyset$	$\emptyset$	$\emptyset$

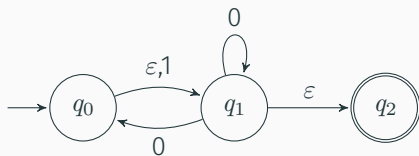
# Some computational paths of the NFA



# Some computational paths of the NFA



# Language of this NFA



What is the language of this NFA?

## Example of $\epsilon$ -transitions

Construct an NFA that accepts all strings with an even number of 0s  
or an odd number of 1s

## Example of $\epsilon$ -transitions

Construct an NFA that accepts all strings with an even number of 0s  
or an odd number of 1s

