

**Lecture Outline 5**  
**Topics in Graph Algorithms (CSCI5320-22S)**

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**Keyword:** NP-completeness.

Three steps in proving NP-completeness of a problem  $\Pi$ :

1. Prove that  $\Pi \in NP$  – usually very easy.
2. Choose an NP-complete problem  $\Pi'$  – choosing the right problem  $\Pi'$  is not that easy but experience helps a lot.
3. Construct a polynomial reduction from  $\Pi'$  to  $\Pi$  – key step whose difficulty varies greatly.

## 1 Basic NP-complete problems

Problems frequently used to prove NP-completeness of many graph problems.

CLIQUE

INSTANCE: Graph  $G$  and positive integer  $k$ .

QUESTION: Does  $G$  contain a clique of size at least  $k$ ?

VERTEX COVER

INSTANCE: Graph  $G$  and positive integer  $k$ .

QUESTION: Does  $G$  contain a vertex cover of size at most  $k$ ?

DOMINATING SET

INSTANCE: Graph  $G$  and positive integer  $k$ .

QUESTION: Does  $G$  contain a dominating set of size at most  $k$ ?

HAMILTONIAN CYCLE

INSTANCE: Graph  $G$ .

QUESTION: Does  $G$  contain a Hamiltonian cycle, i.e., a cycle with  $n$  vertices?

**3-SATISFIABILITY (3SAT)**

INSTANCE: Set  $U$  of Boolean variables, collection  $C$  of clauses over  $U$  with  $|c| = 3$  for each  $c \in C$ .

QUESTION: Is there a truth assignment for  $U$  that satisfies all clauses in  $C$ ?

Two related NP-complete problems.

INDEPENDENT SET: Does the input graph  $G$  contain an independent set of size at least  $k$ ?

HAMILTONIAN PATH: Does the input graph  $G$  contain a Hamiltonian path, i.e., a path on  $n$  vertices?

## 2 Easy proofs

An easy way to prove the NP-hardness of problem  $\Pi$  is by *restriction*: show that  $\Pi$  contains a known NP-complete problem  $\Pi'$  as a special case. This is done by showing that all instances of  $\Pi'$  can be obtained from instances of  $\Pi$  by setting input parameters of  $\Pi$  properly.

### 1. DENSE INDUCED SUBGRAPH

INSTANCE: Graph  $G$ , positive integers  $k$  and  $l$ .

QUESTION: Does  $G$  contain an induced subgraph on  $k$  vertices that has at least  $l$  edges?

(Proof: Set  $l = \binom{k}{2}$  and we get CLIQUE.)

### 2. EDGE PACKING

INSTANCE: Graph  $G$  and positive integer  $l$  and  $k$ .

QUESTION: Does  $G$  contain  $l$  edges that are incident with at most  $k$  vertices?

(Proof: Set  $l = \binom{k}{2}$  and we get CLIQUE.)

### 3. HITTING RECTANGLES

INSTANCE: Set of rectangles  $R$  and set  $P$  of points on the plane, and positive integer  $k$ .

QUESTION: Are there  $k$  points from  $P$  that hit every rectangles in  $R$ ?

(Proof: VERTEX COVER is a special case. Draw graph  $G$  on the plane such that an edge  $uv$  of  $G$  corresponding to a straight line  $l_{uv}$  connecting points  $u$  and  $v$  (make sure that  $l_{uv}$  intersects no other vertices). Take points corresponding to vertices as  $P$ , and for each edge  $uv$ , regard  $l_{uv}$  as a rectangle.)

### 4. EULERIAN SUBGRAPH

INSTANCE: Graph  $G$  and positive integer  $k$ .

QUESTION: Does  $G$  contain an Eulerian subgraph with exactly  $k$  edges?

(Proof: For cubic graphs, the problem for  $k = n$  is equivalent to HAMILTONIAN CYCLE, which is NP-complete on cubic graphs.)

### 3 Not-hard proofs

To choose a proper NP-complete problem  $\Pi'$  for a reduction to our target problem  $\Pi$ , we can consider an NP-complete problem that is similar to  $\Pi$ . Often we can use *local replacement*: identify some basic units of  $\Pi'$ , and replace each basic unit uniformly with a “gadget” to get an instance of  $\Pi$ .

#### 1. FEEDBACK VERTEX SET

INSTANCE: Graph  $G$  and positive integer  $k$ .

QUESTION: Can we make  $G$  acyclic by removing at most  $k$  vertices?

Connection with VERTEX COVER: A vertex cover uses vertices to cover edges, and a feedback vertex set uses vertices to cover cycles.

Reduction from VERTEX COVER by replacing each edge of  $G$  by a triangle, i.e., for each edge  $e$  of  $G$ , add a new vertex  $v_e$  and two edges connecting  $v_e$  with the two ends of  $e$ .

#### 2. ONE-SIDED DOMINATING SET

INSTANCE: Bipartite graph  $G = (X, Y; E)$  and positive integer  $k$ .

QUESTION: Are there  $\leq k$  vertices  $X' \subseteq X$  that dominate all vertices in  $Y$ , i.e., every vertex in  $Y$  is adjacent to some vertex in  $X'$ ?

Proof. Reduction from VERTEX COVER. For graph  $G = (V, E)$ , construct bipartite graph  $G = (X, Y; E')$  with  $X = V$  and  $Y = E$  such that  $xy \in E'$  where  $x \in X$  and  $y \in Y$  iff vertex  $x$  is incident with edge  $y$ .

#### 3. MULTICUT

INSTANCE: Graph  $G$ , collection  $C$  of pairs of vertices, and positive integer  $k$ .

QUESTION: Does  $G$  contain at more  $k$  edges  $E'$  such that no component of  $G - E'$  contains a pair of  $C$ ?

Connection between VERTEX COVER and MULTICUT on stars  $K_{1,n}$ : To disconnect a pair  $u, v$  in a star  $T$  with center vertex  $x$ , we need to remove either edge  $ux$  or edge  $vx \iff$  To cover an edge  $ab$ , we use either vertex  $a$  or vertex  $b$ .

Reduction from VERTEX COVER  $(G, k) \rightarrow (T, C, k)$ : Construct a star  $T$  with center  $x$  and vertices of  $G$  as leaves, and for each edge  $uv$  of  $G$  add pair  $\{u, v\}$  to  $C$ .

### 4 Not-easy proofs

#### 1. DUAL SEPARATOR FOR TWO TERMINALS (Cai and Ye 2014)

INSTANCE: Edge-bicolored graph  $G$ , two vertices  $s$  and  $t$  of  $G$ , and positive integer  $k$ .

QUESTION: Can we remove  $\leq k$  vertices from  $G$  to disconnect  $s$  and  $t$  in both blue and red graphs?

VERTEX COVER remains NP-complete on cubic graphs, and we give a reduction from this special case of VERTEX COVER. Given a cubic graph  $G = (V, E)$ , we construct an edge-bicolored graph  $G'$  from  $G$  as follows:

- (a) Partition edges of  $G$  into two bipartite graphs  $G_r = (X_r, Y_r; E_r)$  and  $G_b = (X_b, Y_b; E_b)$ , colour all edges of  $G_r$  red and all edges of  $G_b$  blue.
- (b) Introduce two new vertices  $s$  and  $t$  as terminals.
- (c) For  $G_r$ , connect  $s$  with every vertex in  $X_r$  by a red edge and connect  $t$  with every vertex in  $Y_r$  by a red edge.
- (d) Similarly for  $G_b$ , connect  $s$  with every vertex in  $X_b$  by a blue edge and connect  $t$  with every vertex in  $Y_b$  by a blue edge.
- (e) Turn the above multigraph into a simple graph by subdividing each blue edge incident with  $s$  or  $t$ .

## 2. VERTEX 3-COLORABILITY

INSTANCE: Graph  $G = (V, E)$ .

QUESTION: Is there a coloring  $f : V \rightarrow \{1, 2, 3\}$  so that for every edge  $uv$ ,  $f(u) \neq f(v)$ ?

Reduction from 3SAT.

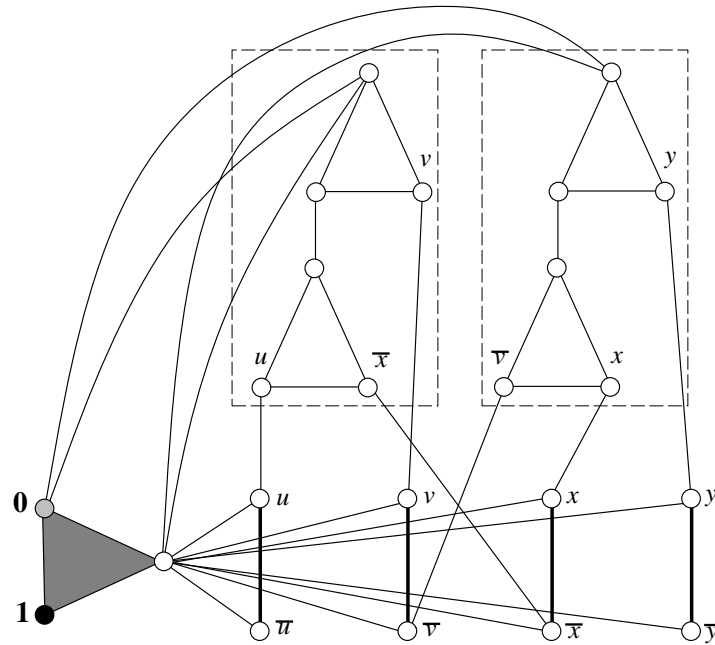


Figure 1: An example of reduction from 3SAT to VERTEX 3-COLORABILITY for clauses  $\{\{u, \bar{x}, v\}, \{\bar{v}, x, y\}\}$ , where thick edges indicate truth-setting components, dashed rectangles are satisfaction testing components, and the shaded triangle sets the truth value of a vertex to **1** if its color is identical to the black vertex in the triangle.

### 3. PATH AVOIDING FORBIDDEN PAIRS

INSTANCE: Graph  $G$ , collection  $F$  of pairs of vertices, and two vertices  $s$  and  $t$ .

QUESTION: Is there an  $(s, t)$ -path in  $G$  that contains at most one vertex from each vertex pair in  $F$ ?

Reduction from 3SAT, which is illustrated in Figure 2.

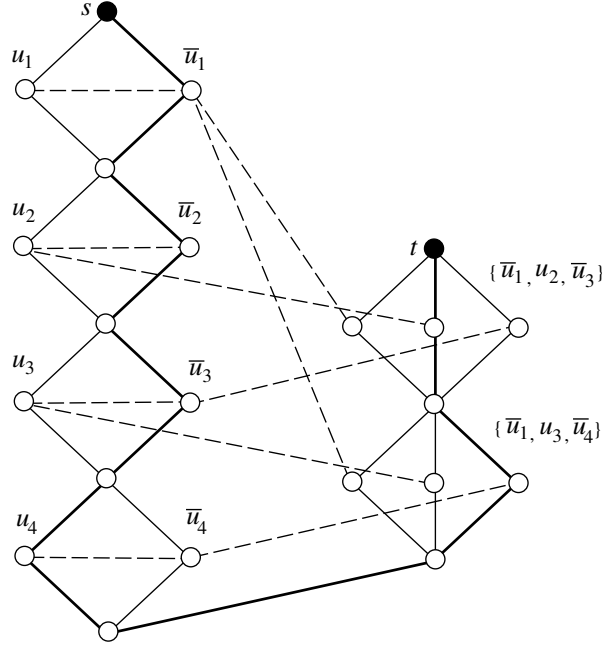


Figure 2: An example of reduction from 3SAT to PATH AVOIDING FORBIDDEN PAIRS for clauses  $\{\{\bar{u}_1, u_2, \bar{u}_3\}, \{\bar{u}_1, u_3, \bar{u}_4\}\}$ , where dashed lines indicate forbidden pairs and thick edges indicate a legal  $(s, t)$ -path.