

**Lecture Outline (Week 9)**  
**Topics in Graph Algorithms (CSCI5320-20S)**

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## Color Coding (Alon, Yuster and Zwick 1995)

N. Alon, R. Yuster, and U. Zwick, Color-coding, J. ACM 42(4):844-856, 1995.

The basic idea of this novel method is to use  $k$  colors to color elements randomly and then try to find a colorful  $k$ -solution, i.e., a  $k$ -solution whose elements are in distinct colors. If we can find a colorful  $k$ -solution in FPT time, then we can find a  $k$ -solution in FPT time with probability  $k!/k^k > e^{-k}$ . The algorithm can be derandomized by a family of perfect hash functions. The method is particularly useful for parameterized problems whose  $k$ -solutions have good structures e.g., linear, cyclic, or treelike.

1.  **$(n, k)$ -family of perfect hash functions:** A family  $\mathcal{F}$  of functions mapping a domain of size  $n$  into a range of size  $k$  such that for every  $k$ -subset  $S$  from the domain, there is a function in  $\mathcal{F}$  that is 1-to-1 on  $S$ . Based on a construction of Schmidt and Siegel (1990), Naor (1995) gave a construction of an  $(n, k)$ -family of perfect hash functions of size  $2^{O(k)} \log n$  in time  $2^{O(k)} n \log n$ .
2.  **$k$ -PATH:**  $2^{O(k)} m \log n$  time (Alon, Yuster and Zwick 1995)

Does  $G$  contain a path with  $k$  vertices?

Randomly color vertices with colors  $\{1, \dots, k\}$ , and call a path *colorful* if colors of its vertices are distinct. Given a coloring  $c : V \rightarrow \{1, \dots, k\}$ , we can use dynamic programming to determine whether there is a colorful  $k$ -path.

Construct  $G'$  from  $G$  by adding a new vertex  $v_0$  of color 0 and connect it with every vertex in  $G$ . Now the problem is to determine whether there is a colorful  $(k+1)$ -path in  $G'$  that starts at  $v_0$ .

For each vertex  $v$ , define  $C^i(v) = \{c(P) : P \text{ is a colorful } (v_0, v)\text{-path of length } i\}$ , where  $c(P)$  is the set of colors of vertices in  $P$ . Then  $G$  has a colorful  $k$ -path iff  $G'$  has a vertex  $v$  with  $C^k(v) \neq \emptyset$ . Note  $|C^i(v)| \leq \binom{k}{i}$ .

Initially,  $C^1(v) = \{c(v)\}$  for each vertex  $v$ . For  $2 \leq i < k$ ,

$$C^{i+1}(v) = \{C \cup \{c(v)\} : u \in N(v), C \in C^i(u) \text{ and } c(v) \notin C\}.$$

Note that we keep track of color sets but not paths. Time:  $O(\sum_{i=1}^k i \binom{k}{i} m) = O(k2^k m)$ .

We can derandomize the algorithm using an  $(n, k)$ -family of perfect hash functions to obtain an FPT algorithm with running time  $2^{O(k)} m \log n$ .

### 3. EDGE-DISJOINT TRIANGLE PACKING

Does  $G$  contain  $k$  edge-disjoint triangles?

Randomly color edges in  $k$  colors, and then find  $k$  triangles  $T_i$  such that the three edges of  $T_i$  all have color  $i$ . Let  $G_i$  be the graph formed by edges of color  $i$ . We only need to find a triangle in each  $G_i$ .

**Question:** How to derandomize this algorithm?

### 4. $k$ -EDGE EULERIAN SUBGRAPH: $2^{O(k)} mn \log n$ time (Cai and Yang 2009)

Does  $G$  contain an Eulerian subgraph consisting of  $k$  edges?

Similar to  $k$ -PATH but we color edges in  $k$  colors. We can find, for any pair  $(u, v)$  of vertices, a colorful  $(u, v)$ -trail of length  $k$  (if it exists) in  $O(k2^k m)$  time. To find a colorful  $k$ -edge Eulerian subgraph, we consider a colorful  $(v, v)$ -trail of length  $k$  for each vertex.

### 5. MAXIMUM $k$ -VERTEX COVER: FPT $(1 + \epsilon)$ -approximation (Marx 2008)

Let  $v_1, \dots, v_n$  be vertices such that  $d(v_1) \geq \dots \geq d(v_n)$ . Set  $D = 2 \binom{k}{2} / \epsilon$  and consider two cases.

Case 1.  $d(v_1) > D$ . Take  $v_1, \dots, v_k$  as our solution. Then the approximation ratio is at least

$$\frac{\sum_{i=1}^k d(v_i) - \binom{k}{2}}{\sum_{i=1}^k d(v_i)} \geq 1 - \frac{\binom{k}{2}}{D} = 1 - \frac{\epsilon}{2} > \frac{1}{1 + \epsilon}.$$

Case 2.  $d(v_1) \leq D$ . In this case, we use color coding to derive an FPT algorithm. For each  $1 \leq l \leq kD$ , we check whether there are at most  $k$  vertices that cover at least  $l$  edges. For a given  $l$ , we color edges in  $l$  colors and consider each possible partition of  $l$  colors into  $k$  sets  $\{C_1, \dots, C_k\}$ . For each such partition, we check whether there is a vertex  $v_i$  that covers at least one edge of each color in  $C_i$ .

### 6. VERTEX COVER

Cai, Vertex Covers Revisited: Indirected Certificates and FPT Algorithms, arXiv preprint arXiv:1807.11339 (2018).

Let  $G = (V, E; f)$  be a vertex coloured graph with  $f : V \rightarrow \{1, \dots, k\}$ . We use  $V_i$  to denote the set of vertices with colour  $i$ , and call each  $V_i$  a *colour class*. A vertex cover  $X$  of  $G$  is *colourful* if all vertices in  $X$  have distinct colours, i.e.,  $X$  contains at most one vertex from each colour class.

## COLOURFUL VERTEX COVER

INSTANCE: Vertex coloured graph  $G$  with colours in  $\{1, \dots, k\}$ .

QUESTION: Does  $G$  contain a colourful vertex cover?

First we note that the problem is no easier than 2SAT as we can reduce 2SAT to our problem in linear time: For an arbitrary instance  $(U, C)$  of 2SAT, we construct a vertex coloured graph  $G$  by creating, for each Boolean variable  $u_i \in U$ , two vertices  $u_i$  and  $\bar{u}_i$  with colour  $i$  and edge  $u_i \bar{u}_i$ ; and adding, for each binary clause  $\{x, y\} \in C$ , an edge between vertices  $x$  and  $y$ .

Inspired by the above connection with 2SAT, we reduce COLOURFUL VERTEX COVER to 2SAT to obtain a quadratic algorithm. For this purpose, we construct a Boolean formula  $\Phi(G)$  for  $G$  as follows:

- (a) For each vertex  $v$ , introduce a Boolean variable  $x_v$ .
- (b) For edge set  $E$ , let  $\Phi(E) = \bigwedge_{uv \in E} x_u \vee x_v$ .
- (c) For each colour class  $V_i$ , let  $\Phi(V_i) = \bigwedge_{u, v \in V_i \text{ and } u \neq v} \overline{x_u \wedge x_v}$ .
- (d) Set  $\Phi(G) = \Phi(E) \wedge_{i=1}^k \Phi(V_i)$ .

**Theorem 0.1** *A vertex coloured graph  $G$  admits a colourful vertex cover iff its corresponding formula  $\Phi(G)$  is satisfiable.*

**Proof.** Clearly COLOURFUL VERTEX COVER is equivalent to the following integer linear programming: For all  $v \in V$ , find  $x_v \in \{0, 1\}$  to satisfy

$$x_u + x_v \geq 1 \text{ for each edge } uv \text{ of } G, \text{ and}$$

$$\sum_{v \in V_i} x_v \leq 1 \text{ for each colour class } V_i \text{ of } G.$$

Note that a vertex  $v$  belongs to a colourful vertex cover iff  $x_v = 1$ .

Now for any  $x, y \in \{0, 1\}$ ,  $x + y \geq 1$  is equivalent to  $x \vee y$  when we also interpret  $x$  and  $y$  as Boolean variables. Similarly,  $x + y \leq 1$  is equivalent to  $\overline{x \wedge y}$ . Furthermore, for each colour class  $V_i$ ,  $\sum_{v \in V_i} x_v \leq 1$  is equivalent to  $x_u + x_v \leq 1$  for all distinct  $u, v \in V_i$ . It follows that  $\Phi(G)$  is satisfiable iff the above integer linear programming has a solution, and equivalently  $G$  admits a colourful vertex cover. ■

The above theorem enables us to solve COLOURFUL VERTEX COVER in  $O(n^2)$  time as  $\Phi(G)$  contains  $O(n^2)$  binary clauses (note that  $\overline{x \wedge y} = \bar{x} \vee \bar{y}$ ), and 2SAT is solvable in linear time. In fact, we can solve COLOURFUL VERTEX COVER in linear time by a limited backtracking approach.