In Lecture 2 we proved that computing \textsc{Parity} on \(n\) inputs requires unbounded fan-in depth \(d\) circuits of size \(2^{n^{(1/d)}}\). When \(d\) is a fixed constant, the required circuit size for \textsc{Parity} grows exponentially in the input size. However, if we set \(d\) to equal \(\log n / \log \log n\), this bound does not say anything and for a good reason: \textsc{Parity} on \(n\) bits can be computed by a circuit of depth \(\log n / \log \log n\) and size \(O(n)\). Similar considerations apply for \textsc{Majority}.

What happens when the depth of the circuit becomes logarithmic in the input size? To make things a bit easier, today we will look at circuits of bounded fan-in, that is a circuit in which each gate takes in a constant number of inputs from previous layers. For such a circuit to meaningfully compute a function on \(n\) bits, its depth must be at least \(\Omega(\log n)\), for otherwise it would be too small to examine all its inputs. To obtain the simplest “reasonable” model of such circuits we will think of the depth as growing at the rate of \(K \log n\) for some constant \(K\). Which functions require large circuits of this type?

Surprisingly, we do not know of a single “explicit” function that provably requires circuits of this type of size that grows even super-linearly in \(n\) (even though there are many examples of functions for which this is believed to be true). Nevertheless, such circuits are quite interesting for the following reason. One of our motivations for studying restricted depth circuits was to understand parallel computation; however, bounded fan-in circuits of logarithmic depth turn out to be equivalent to branching programs, a model of sequential computation.

1 Circuits and formulas

To be concrete, we will assume that our bounded-depth circuits have fan-in 2. This is mostly for convenience and without much loss in generality:

**Claim 1.** If a function can be computed by a circuit of size \(s\), depth \(d\), and gates of any type of fan-in at most \(c\), then it can also be computed by a circuit of fan-in 2, size \(s\), and depth \((c + \log c)d\).

An unbounded fan-in \textsc{And}/\textsc{Or}/\textsc{Parity} circuit of size \(s\) and depth \(d\) be converted into a fan-in 2 circuit of size \(O(s \log s)\) and depth \(O(d \log s)\) after we replace each of the \textsc{And}, \textsc{Or}, and \textsc{Parity} gates by a complete binary tree of gates of fan-in 2 of the same type. In particular, the \textsc{Parity} function on \(n\) bits has a linear-size fan-in 2 circuit of depth \(\log n\). The \textsc{Majority} function on \(n\) bits has a fan-in 2 circuit of size \(O(n \log n)\) and depth \(O(\log n)\); it recursively computes the sum \(x_1 + \cdots + x_n\).

A \textit{formula} is a circuit in which every gate (but not necessarily the inputs) has out-degree 1. In general, formulas seem less powerful than circuits as they are not allowed to reuse previously computed values. However, when depth is logarithmic in size, we show that the minimal size of a bounded depth circuit, a bounded depth formula, and an unbounded depth formula for a given function are polynomially related. For the rest of this lecture both circuits and formulas will be assumed to have fan-in 2.

Although formulas will not be used in what we do next, they are simpler to think about than circuits so I think it is useful to prove their equivalence to circuits in the bounded depth setting. First, any circuit can be converted into a slightly larger formula while preserving depth:

**Lemma 2.** If \(f\) has a circuit of size \(s\) and depth \(d\), then it has a formula of size \(s2^d\) and depth \(d\).
Definition 5. An (oblivious) branching program on $n$ inputs of width $w$ and length $\ell$ consists of a sequence of input positions $k(1), \ldots, k(\ell) \in \{1, \ldots, n\}$ and transition functions $f_1, \ldots, f_\ell : [w] \times \{0, 1\} \rightarrow [w]$.

The branching program of width $w$ computes a function $f : \{0, 1\}^n \times [w] \rightarrow [w]$ as follows: On input $(x, s_0)$, $\ell$ steps of computation are performed, where in step $t$ the state is updated from $s_{t-1}$ to $s_t = f_t(s_{t-1}, x_{k(t-1)})$. The output is the value of $s_{\ell}$. (If the function of interest is of the

Proof. By induction on the depth $d$. Let $C$ be the circuit for $f$ of size $s$ and depth $d$ and look at the topmost gate $G$ of $C$. Then $C(x) = G(f_1(x), f_2(x))$, where $f_1$ and $f_2$ are the functions computed by the gates that connect into $G$. By assumption, $f_1$ and $f_2$ each have circuits of size at most $s - 1$ and depth at most $d - 1$, so they can be computed by formulas of size $(s - 1)2^{d-1}$ each. Putting these two formulas together we obtain a formula for $C$ of size $2(s - 1)2^{d-1} + 1 < s2^d$. \hfill \Box

A slightly more surprising fact is that any formula, regardless of depth, can be converted into one of bounded depth:

Lemma 3. If $f$ has a formula of size $s$, then it has a formula of size $O(s^{\log_3/2}4)$ and depth $O(\log s)$. We will need the following claim:

Claim 4. In every binary rooted tree with $s$ nodes it is possible to remove an edge so that both remaining components have at most $\lceil 2s/3 \rceil$ nodes.

Proof of Lemma 3. By Claim 4 there is a wire in the formula that splits the other gates into sets of size at most $2s/3$ each. Suppose this wire goes out of gate $G$. Let $g$ be the formula computed by $G$ and $f_0, f_1$ be the formulas obtained when $G$ is replaced by the constants $0$ and $1$, respectively (and the formula is simplified). Then we can write the expression

$$f(x) = (f_0(x) \text{ AND } \overline{g(x)}) \text{ OR } (f_1(x) \text{ AND } g(x))$$

All of the formulas $f_0$, $f_1$, $g$, and $\overline{g}$ have size at most $\lceil 2s/3 \rceil$, so we can recursively apply the same argument to them to obtain a formula of depth $2\log_3/2 s$ for $f$. The size of the new formula obeys the recursive relation $size(s) \leq 4 \cdot size(\lceil 2s/3 \rceil) + 3$, which solves to $size(s) = O(s^{\log_3/2}4)$. \hfill \Box

Proof of Claim 4. Consider the root-to-leaf path that at every point follows the edge leading to the larger of the two subtrees (breaking ties arbitrarily). Consider the sequence of subtrees rooted along this path. The first tree in the sequence has $s$ nodes. If a tree in the sequence has $n$ nodes, the next one must have at least $(n - 1)/2$ nodes. So after the last tree in the sequence of size exceeding $\lceil 2s/3 \rceil$ must come one whose size is at least $\lceil 2s/3 \rceil/2 \geq s/3$. This tree has between $s/3$ and $\lceil 2s/3 \rceil$ nodes. If its outgoing edge is removed, both remaining components have at most $\lceil 2s/3 \rceil$ nodes. \hfill \Box

2 Branching programs

A branching program is a device with some small number of states. Before it starts its computation, the device decides how it is going to process its input: Maybe first it looks at the input bit $x_5$, then $x_2$, $x_7$, $x_2$ again, and so on. At each time step, it updates its state as a function of its current state and the input bit it was looking at. The device can use different update rules in different time steps.

Definition 5. An (oblivious) branching program on $n$ inputs of width $w$ and length $\ell$ consists of a sequence of input positions $k(1), \ldots, k(\ell) \in \{1, \ldots, n\}$ and transition functions $f_1, \ldots, f_\ell : [w] \times \{0, 1\} \rightarrow [w]$. The branching program of width $w$ computes a function $f : \{0, 1\}^n \times [w] \rightarrow [w]$ as follows: On input $(x, s_0)$, $\ell$ steps of computation are performed, where in step $t$ the state is updated from $s_{t-1}$ to $s_t = f_t(s_{t-1}, x_{k(t-1)})$. The output is the value of $s_{\ell}$. (If the function of interest is of the
Branching programs model sequential computation with a bounded amount of memory. When $w$ is of the form $2^k$, we can think of the memory as represented by a fixed set of registers $R_1, \ldots, R_k$ taking 0, 1 values. The program then consists of $\ell$ “instructions $f_1, \ldots, f_\ell$, where each instruction is of the type “look up some input bit and update the registers depending on its value”.

The $\text{PARITY}$ function can be computed by a width 2 branching program of length $n$: The input positions are $k(t) = t$ and the transition functions are $f_t(x_t, s) = s \oplus x_t$. A more natural way to describe this branching program is to say that it reads the input from left to right and maintains the parity of the input bits read so far in a single boolean-valued register. Similarly, the $\text{MAJORITY}$ function can be computed by a width $n$ branching program which reads the input from left to right, maintains the sum of the input bits read so far, and accepts if it exceeds $n/2$. Can $\text{MAJORITY}$ be computed by a narrower branching program of reasonable length?

Let us start with small widths. An oblivious branching program of width two cannot even compute $\text{MAJORITY}$ on 3 bits, regardless of its length.\footnote{I did not verify this. In principle, it should be possible to iteratively calculate a list of all functions on 3 bits that are computable by width 2 branching programs. But I would prefer a more insightful proof.} In contrast, every function can be computed in width 3 and length $n^2$ by the following claim:

**Claim 6.** A DNF of size $s$ and width $w$ can be computed by an oblivious branching program of width 3 and length $ws$.

**Proof.** The branching program has 3 states labeled 0, 1, and accept. The branching program reads the clauses of the DNF in order and the variables within each clause in order. The transitions can be chosen so that at any given point, the state is accept if at least one previously seen clause has been satisfied, and otherwise to the current value of the current clause. So the accept state is reached if and only if the input is a satisfying assignment to the DNF.

In principle $\text{MAJORITY}$ can be therefore computed by a width 3 oblivious branching program of exponential size. It is not known, but it is widely believed, that exponential size is necessary for this case. It is also not known what happens for width 4, but it turns out that $\text{MAJORITY}$ has width 5 oblivious branching programs of size polynomial in its input!

### 3 Barrington’s theorem

Barrington’s theorem says that any small-depth circuit, in particular one for the $\text{MAJORITY}$, can be simulated by a branching program of width 5:

**Theorem 7.** If $f$ has a depth $d$ circuit of fan-in 2 then it has a branching program of width 5 and size $2^{O(d)}$.

We will prove Barrington’s theorem but with the constant 5 replaced by 8. Recall that a branching program of width 8 can be viewed as a machine with 3 registers taking values in $\{0, 1\}$. Let’s call them $A$, $B$, and $C$.

**Proof.** Assume $f$ has a circuit of depth $d$. We begin by changing the AND, OR, and XOR gates in the circuit into $\times$ and $+$ gates. These gates compute multiplication and addition over the binary
The converse of Barrington’s theorem also holds: field \( F_2 \), respectively. We can represent any gate of fan-in 2 using \( \times \) and \( + \) gates and the following rules:

\[
\begin{align*}
\overline{x} &= 1 + x \\
x \text{ XOR } y &= x + y \\
x \text{ AND } y &= x \times y \\
x \text{ OR } y &= 1 + \overline{x} \times \overline{y}.
\end{align*}
\]

After this transformation, we obtain a formula for \( f \) with \( \times \) and \( + \) gates and depth \( O(d) \). This formula has some extra leaves that are labeled by the constant 1.

We now design a branching program for the formula \( f \). We will prove the following statement by induction on the depth \( d \) of \( f \): There is a branching program of width 8 and size \( 4^d \) so that when the branching program starts with register contents \( A, B, \) and \( C \), it ends its computation with register contents \( A, B, \) and \( C + f(x_1, \ldots, x_n)B \). The theorem then follows by initializing the registers to \( A = 0, B = 1, \) and \( C = 0 \).

We prove the inductive statement by looking at the top gate of \( f \). If this gate is the constant 1 or a literal \( x_i \) or \( \overline{x_i} \), then \( f \) can be computed by a branching program of length 1. If \( f = f_1 + f_2 \), then we obtain a linear length branching program for \( g \) by combining the programs \( P_1 \) for \( f_1 \) and \( P_2 \) for \( f_2 \) like this:

\[
(A, B, C) \xrightarrow{P_1} (A, B, C + f_1B) \xrightarrow{P_2} (A, B, C + (f_1 + f_2)B)
\]

By inductive hypothesis, each of \( P_1 \) and \( P_2 \) has length \( 4^{d-1} \), so \( f \) has length \( 2 \cdot 4^{d-1} \leq 4^d \). If \( f = f_1 \times f_2 \), we combine \( P_1 \) and \( P_2 \) again as follows:

\[
(A, B, C) \xrightarrow{P_1} (A + f_1B, B, C) \xrightarrow{P_2} (A + f_1B, B, C + f_2(A + f_1B)) \xrightarrow{P_3} (A, B, C + f_2A + f_1f_2B) \xrightarrow{P_4} (A, B, C + f_1f_2B).
\]

In each of the steps, the program \( P_1 \) or \( P_2 \) is applied but the registers are permuted in some order. Using the inductive hypothesis, \( f \) has length \( 4 \cdot 4^{d-1} = 4^d \), concluding the inductive argument.

The converse of Barrington’s theorem also holds:

**Theorem 8.** If \( f \) has a branching program of width \( w \) and length \( \ell \) then it has an AND/OR formula of depth \( (\log w + 1)(\log \ell) \).

Therefore the size of the shortest formula, the size of the smallest circuit of depth logarithmic in its size, the length of the shortest branching program of width 5, and the length of the shortest branching program of width 100 for the same function are all polynomially related.

**Proof.** Let \( P : \{0,1\}^n \times [w] \rightarrow [w] \) be the branching program for \( f \). We give a formula for the function

\[
\phi(s, t, x) = \begin{cases} 
1, & \text{if on input } x, B \text{ goes from state } s \text{ to state } t \\
0, & \text{otherwise}.
\end{cases}
\]

To construct \( \phi \), we split \( P \) in two parts \( P_1 \) and \( P_2 \) of equal length. Suppose we have already constructed formulas \( \phi_1 \) and \( \phi_2 \) for them. Then we write

\[
\phi(s, t, x) = \mathsf{OR}_{u=1}^w (\phi_1(s, u, x) \text{ AND } \phi_2(u, t, x))
\]

which describes the fact that if on input \( x, B \) goes from state \( s \) to state \( t \), then it must do so thru some state \( u \) in the middle. The depth of \( \phi \) is then bigger than the maximum depth of \( \phi_1 \) and \( \phi_2 \) by \( \log w + 1 \). Since \( \phi_1 \) and \( \phi_2 \) describe branching programs of half the length, we can continue the construction recursively and obtain a circuit of depth \( (\log w + 1)(\log \ell) \) for \( B \). (In the base case \( \ell = 1 \), \( \phi \) depends on only one bit of \( x \) so it can be computed by a circuit of depth 1.)
4 Streaming computation

A read-once branching program (or ordered binary decision diagram) is a branching program in which every input bit is read at most once. A fixed-order read-once branching program is one in which the inputs are read in the canonical order $x_1, x_2, \ldots, x_n$. This is a model of streaming computation: At any point in time, the computation can only store a small amount of information about the “big data” stream $x_1, \ldots, x_n$.

A fixed-order read-once branching program of width $2^n$ can compute any function $f : \{0,1\}^n \rightarrow \{0,1\}$, as its $n$ state registers can remember the values of all $n$ input bits read in order. The branching programs for PARITY and MAJORITY on $n$ bits that we saw have width 2 and $n$, respectively. Here is an example of a function that requires a large branching program:

**Claim 9.** The function $EUAL(x,y) = (x_1 = y_1) \text{ AND } \cdots \text{ AND } (x_n = y_n)$ requires a read-once branching program of width $2^n$ under the ordering $x_1, \ldots, x_n, y_1, \ldots, y_n$.

**Proof.** Let $B$ be a branching program of width less than $2^n$. Then there must be two distinct strings $x, x' \in \{0,1\}^n$ such that $B$ reaches the same state on inputs $x$ and $x'$ in the first $n$ steps of computation. For every $y \in \{0,1\}^n$, $B$ must produce the same answer on inputs $(x,y)$ and $(x',y)$. But for $y = x$, $EUAL(x,y)$ and $EUAL(x',y)$ have different values, so $B$ cannot compute $EUAL$. 

Both the upper and lower bounds can be improved to show that a fixed-order read-once branching program of width $O(2^n/n)$ can compute any function on $n$ bits, but there is an explicit function that requires programs of width $\Omega(2^n/n)$.

Instead of pursuing this direction, let us look at a more general type of streaming algorithm, one that makes several passes over its input stream. A fixed-order read-$k$-times branching program is a branching program of length $nk$ that reads its variables in order $x_1, \ldots, x_n$ $k$ times in a row. When $k$ is equal to $n$, such a branching program can emulate a read-once branching program without restriction on the order, and in particular it can compute the $EUAL$ function on $n$ input bits even in width 3. When $k$ is much smaller, it is difficult to see how the additional passes over the input can help, so $EUAL$ looks like a plausible hard function for this model. To prove it is, we will give a property that all small branching programs of this type have, but the $EUAL$ function does not.

**Theorem 10.** If $f : \{0,1\}^n \times \{0,1\}^m \rightarrow [w]$ is computed by a read-$k$-times branching program of width $w$ in the order $x \in \{0,1\}^n$ followed by $y \in \{0,1\}^m$ then $\{0,1\}^n \times \{0,1\}^m$ can be partitioned into sets $X_1 \times Y_1, \ldots, X_{w_2k} \times Y_{w_2k}, X_s \subseteq \{0,1\}^n, Y_s \subseteq \{0,1\}^m$ such that such that $f$ is a constant function on $X_s \times Y_s$ for all $s$.

**Proof.** Let $u_0, v_1, u_1, \ldots, u_{n-1}, v_n$ be the states of the branching program at times 0, $n, n+m, \ldots, kn+(k-1)m, k(n+m)$, respectively. For each such sequence $s = (u_0, v_1, u_1, \ldots, v_n)$ let $Z_s$ be the set of inputs $(x,y)$ for which the branching program visits this sequence of states in this order. Clearly the sets $Z_s$ partition $\{0,1\}^{n+m}$, $f$ is constant on each $Z_s$ (as its value is determined by the final state) and there are $w_{2k}$ possible sequences $s$ (as the start state is fixed and at most $w$ choices for every other state).

It remains to show that each $Z_s$ is of the form $X_s \times Y_s$. Let $X_s$ and $Y_s$ be the projections of $Z_s$ on $\{0,1\}^n$ and $\{0,1\}^m$, respectively. Then $X_s \times Y_s$ contains $Z_s$. To show that $X_s \times Y_s$ is also contained in $Z_s$, take any pair $x \in X_s$ and $y \in Y_s$. Because $X_s$ and $Y_s$ are projections, there exist $x'$ and $y'$ such that $(x,y') \in Z_s$ and $(x',y) \in Z_s$. This means the branching program visits the sequence
of states $s$ both on inputs $(x, y')$ and $(x', y)$, so it must also visit this sequence on input $(x, y)$. It follows that $(x, y)$ is in $Z_s$. □

**Corollary 11.** If the $EQUA L$ function can be computed by a read-$k$-times branching program of width $w$ in the order $x_1, \ldots, x_n, y_1, \ldots, y_n$ then $w \geq 2^{n/2k}$.

In particular, constant streaming algorithms for the $EQUA L$ function of this type require a linear number of passes over the input.

**Proof.** By Theorem 10, if a branching program of the desired type exist then there are sets $X_s, Y_s$ with the stated properties such that $EQUA L(x, y)$ is constant on each $X_s \times Y_s$. Each such set can contain at most one input of the type $(x, y = x)$, because $EQUA L$ is not constant on any product set that contains two inputs of this form. It follows that the number of set-pairs $w^{2k}$ must be at least as large as the number of inputs of the form $(x, x)$ which equals $2^n$. □

### 4.1 Randomized streaming algorithms

A more realistic model of streaming computation is one in which the algorithm has access to a reasonably long sequence of random bits. Under this relaxation, the $EQUA L$ function becomes much easier to compute. For a $2n$ bit input, the algorithm chooses independent random strings $r_1, \ldots, r_n$ in $\{0, 1\}^n$ and accepts if and only if $IP(x, r_i) = IP(y, r_i)$ for all $i$ between 1 and $h$. Here $IP(x, r)$ is the inner product modulo 2 function

$$IP(x, r) = \langle x, r \rangle = x_1r_1 + \cdots + x_nr_n \mod 2.$$ 

If $x$ is equal to $y$ then the algorithm always accepts. If $x$ is not equal to $y$ the algorithm sometimes errs in its decision, but the probability it does so is quite small. The key insight is that if $x$ and $y$ are different, then the probability that $IP(x, r)$ and $IP(y, r)$ are equal is exactly $1/2$ over the choice of $r$. To see this, notice that $IP(x, r) - IP(y, r) = IP(x - y, r)$, so even after all bits of $r$ are fixed except the one in which $x$ and $y$ differ, this expression is equally likely to be zero and one. After repeating this for $h$ times the probability of making an error goes down to $1/2^h$. It is also easy to see that this algorithm can be implemented by a fixed-order read-once branching program of width $2^h$ as the algorithm only needs to track the $2h$ values $IP(x, r_1), IP(y, r_1), \ldots, IP(x, r_h), IP(y, r_h)$, each of which is a parity in $x$ or $y$ and can be implemented with one bit of memory.

A randomized branching program is a branching program in which the output of every transition can also depend on the value of some random string $r \in \{0, 1\}^r$. We say a randomized branching program $B$ computes a function $f$ with error at most $\varepsilon$ if for every input $x$, $Pr_r[B(x; r) \neq f(x)] \leq \varepsilon$, where $B(x; r)$ denotes the output of branching program $B$ on input $x$ and randomness $r$.

We just saw that the $EQUA L$ function is fairly easy for fixed-order multiple-read branching programs of this type. On the other hand, the $IP$ function itself is hard for this model. To show this, we first generalize Theorem 10 to randomized branching programs. To do this we will need the following simple lemma.

**Lemma 12.** If a randomized branching program computes $f$ with error at most $\varepsilon$ then for every distribution $D$ on $\{0, 1\}^n$ there exists a deterministic branching program $B$ of the same width and the same read pattern such that $B(x)$ differs from $f(x)$ with probability at most $\varepsilon$ when $x$ is sampled from $D$.

**Proof.** If for every $x$, $Pr_r[B(x; r) \neq f(x)] \leq \varepsilon$, then by averaging for every distribution $D$ on inputs, $Pr_{x \sim D, r}[B(x; r) \neq f(x)] \leq \varepsilon$. There must then exist at least one $r$ such that $Pr_{x \sim D}[B(x; r) \neq f(x)] \leq \varepsilon$. □
f(x) ≤ ε. If r is fixed, B(x; r) becomes a deterministic branching program that computes f with error at most ε.

Combining Theorem 10 and Lemma 12 we can prove:

**Theorem 13.** If f : \{0, 1\}^n × \{0, 1\}^m → [w] is computed by a randomized read-k-times branching program of width w with error ε in the order x ∈ \{0, 1\}^n followed by y ∈ \{0, 1\}^m then there exists subsets X ⊆ \{0, 1\}^n and Y ⊆ \{0, 1\}^m with |X| · |Y| ≥ 2^{n+m}/2w^2k and a constant c such that Pr_{x,y \sim Y}[f(x, y) \neq c] ≤ 2ε.

**Proof.** By Lemma 12, under the assumption there exists a deterministic branching program B of the given type that B(x, y) differs from f(x, y) with probability at most ε when x, y are chosen from the uniform distribution. By Theorem 10, \{0, 1\}^{n+m} can be partitioned into \omega^{2k} sets X_s × Y_s on which B is constant. The sets of size less than \omega^{m+n}/\omega^{2k} cover less than half the points of \{0, 1\}^{n+m}. Conditioned on (x, y) falling into one of the other sets, B(x, y) therefore differs from f(x, y) with probability at most 2ε. So there exists at least one set X × Y that is both of size at least \omega^{m+n}/\omega^{2k} and such that Pr[f(x, y) \neq B(x, y)] ≤ 2ε. B is constant on X × Y and the theorem follows.

On the other hand, the inner product function is far from constant on large product sets:

**Theorem 14.** For every pair of sets X, Y ⊆ \{0, 1\}^n, Pr[IP(x, y) = 0] and Pr[IP(x, y) = 1] are at most \frac{1}{2} + \frac{1}{2} \sqrt{2^n/|X||Y|}, where x and y are sampled independently and uniformly from X and Y, respectively.

Combining Theorems 13 and 14, it follows that a randomized fixed-order read-k-times branching program can compute IP with error ε only if

\[
\frac{1}{2} + \frac{1}{2} \sqrt{\frac{2^n}{2^{2n}/2w^{2k}}} ≥ 1 - 2ε
\]

which is equivalent to \omega^{2k} ≥ 2^{n-1}(1 - 4ε)^2. In particular for error ε = 1/8, the branching program requires width \Omega(2^{n/2k}).

The proof of Theorem 14 is not difficult, but it may look mysterious if you haven’t seen Fourier analysis before.

**Proof.** We can rewrite the conclusion Pr[IP(x, y) = 0], Pr[IP(x, y) = 1] ≤ \frac{1}{2} + \frac{1}{2} \sqrt{2^n/|X||Y|} as

\[
|E_{x \sim X, y \sim Y}((-1)^{(x,y)})| ≤ \sqrt{2^n/|X||Y|}.
\]

Let f and g be the probability mass functions of X and Y, namely:

\[
f(x) = \begin{cases} 1/|X|, & \text{if } x \in X \\ 0, & \text{otherwise} \end{cases} \quad g(y) = \begin{cases} 1/|Y|, & \text{if } y \in Y \\ 0, & \text{otherwise} \end{cases}
\]

We can write:

\[
|E_{x \sim X, y \sim Y}((-1)^{(x,y)})| = \sum_{x,y \in \{0, 1\}^n} f(x)g(y)(-1)^{(x,y)}
\]

\[
= \sum_{x \in \{0, 1\}^n} f(x) \sum_{y \in \{0, 1\}^n} g(y)(-1)^{(x,y)}
\]

\[
\leq \sqrt{\sum_{x \in \{0, 1\}^n} f(x)^2} \cdot \sqrt{\sum_{y \in \{0, 1\}^n} \left( \sum_{y \in \{0, 1\}^n} g(y)(-1)^{(x,y)} \right)^2}.
\]
where the last step follows by the Cauchy-Schwarz inequality. The first term equals $\frac{1}{\sqrt{|X|}}$. For the second term, we can write

$$\sum_{x \in \{0,1\}^n} \left( \sum_{y \in \{0,1\}^n} g(y)(-1)^{\langle x,y \rangle} \right)^2 = \sum_{x \in \{0,1\}^n} \sum_{y,y' \in \{0,1\}^n} g(y)(-1)^{\langle x,y \rangle} \cdot g(y')(\langle x,y' \rangle)$$

$$= 2^n \sum_{y,y' \in \{0,1\}^n} g(y)g(y') \mathbb{E}_{x \sim \{0,1\}^n} [(\langle x,y+y' \rangle)]$$

For fixed $y,y'$ the expectation vanishes when $y \neq y'$ and evaluates to 1 when $y = y'$, so the sum simplifies to $2^n \sum g(y)^2 = 2^n / |Y|$. Therefore

$$|\mathbb{E}_{x \sim X, y \sim Y} [(\langle x,y \rangle)]| \leq \sqrt{\frac{1}{|X|}} \cdot \sqrt{\frac{2^n}{|Y|}} = \sqrt{\frac{2^n}{|X||Y|}}.$$ 

\[ \square \]

References

The proof of Barrington’s theorem presented here is due to Ben-Or and Cleve. The presentation borrows from lecture notes of Madhu Sudan. Our treatment of read-once and multiple-read restricted branching programs is based on a connection between branching programs and communication complexity. Some of the material is covered in the book *Communication Complexity* by Eyal Kushilevitz and Noam Nisan.