

## LOG.title("

## Log-driven Automated Software Reliability Engineering

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Ph.D. Oral Defense

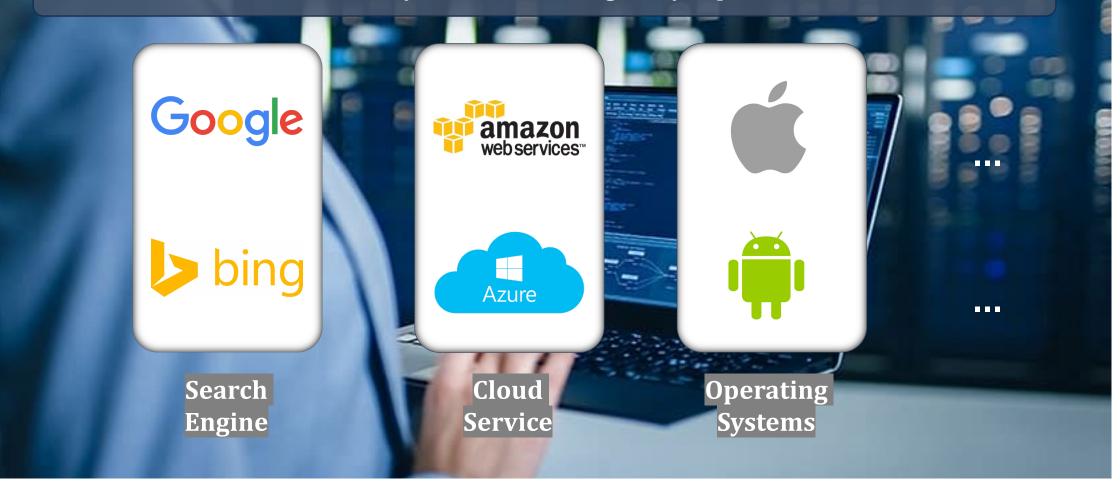
Supervisor: Prof. Michael R. Lyu

3 July, 2024

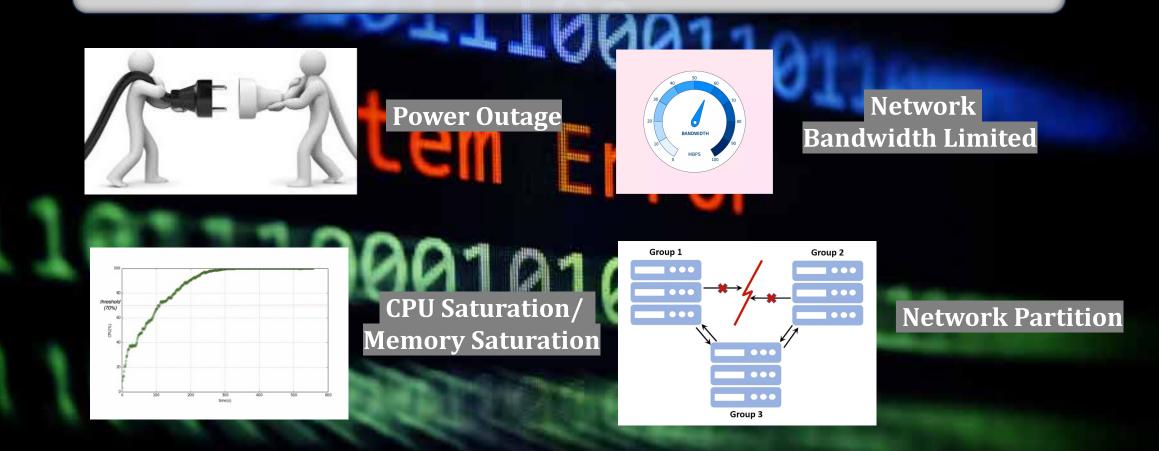


## Introduction

#### Modern software systems are serving many aspects of our life.

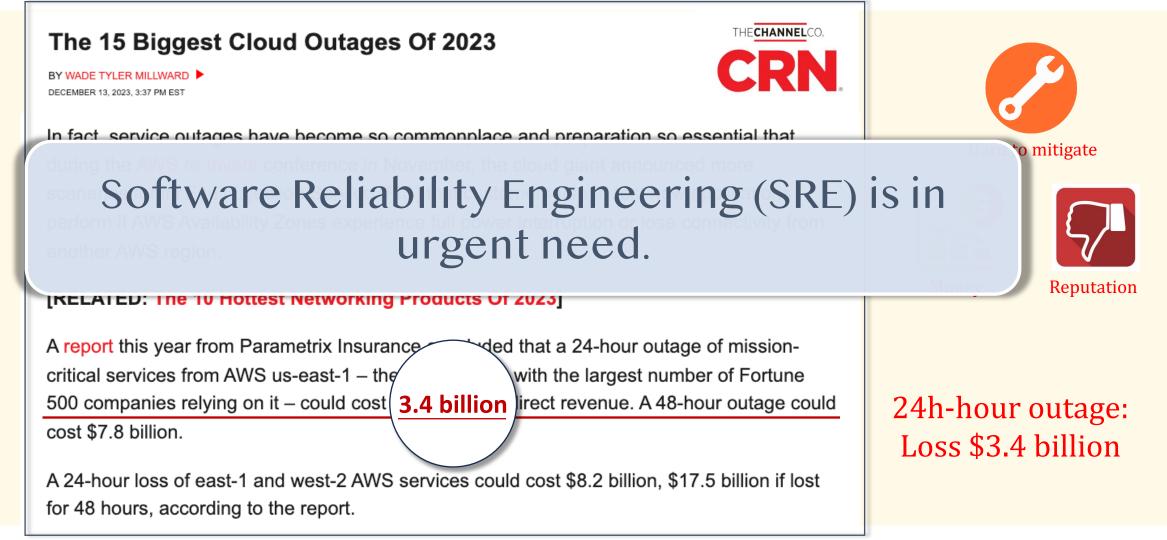


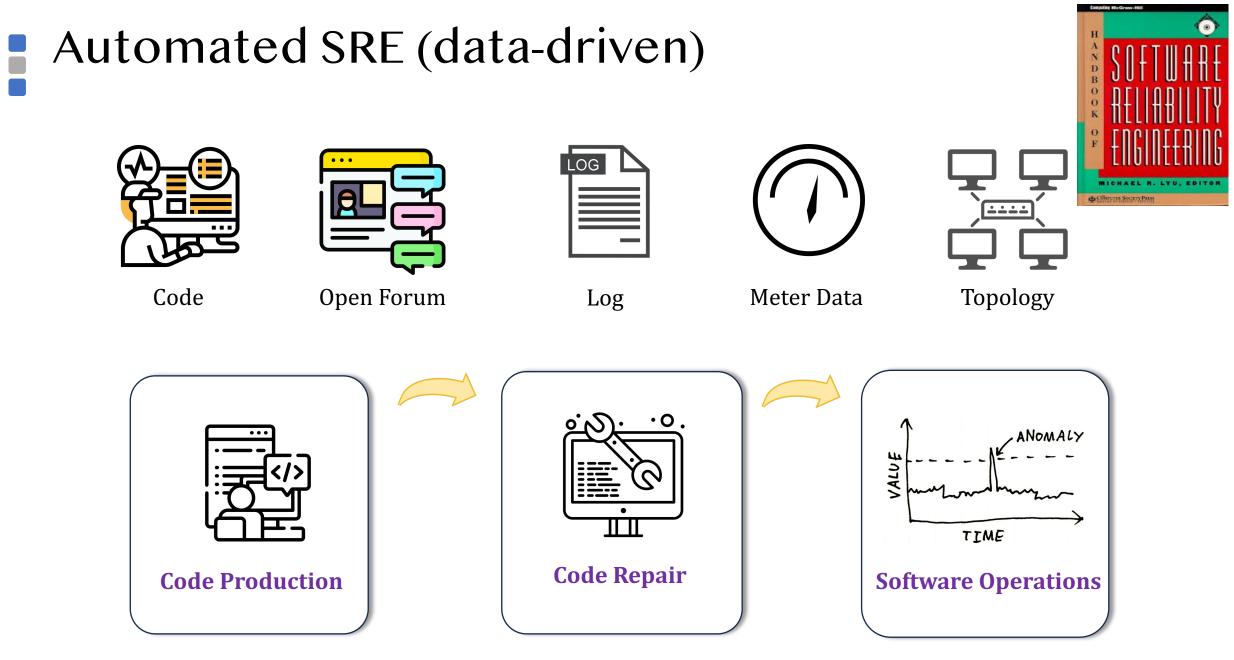
#### Software failure can happen...



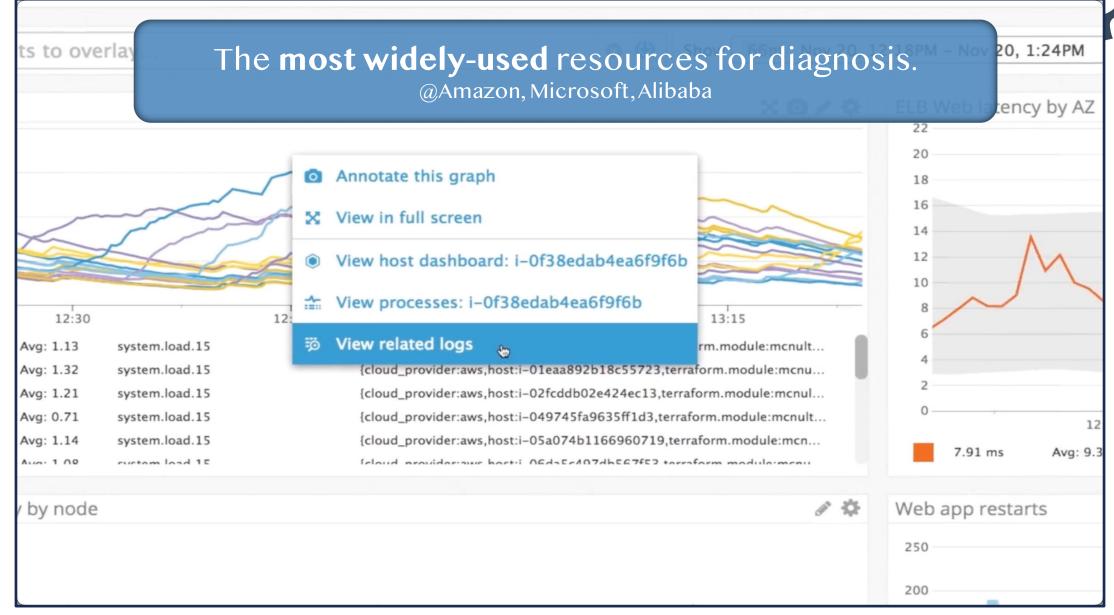
### More and More .....

## Real-world revenue loss





## How do we monitor run-time systems?



# Log-driven automated SRE: What are logs?

The lifecycle of logs

Logging statements Log files

# Logging statements from Spark (spark/storage/BlockManager.scala) logError(s"Failed to report \${blockId} to master; giving up.") logDebug(s"Putting block \${blockId} with replication took \${usedTimeMs}") logInfo(s"Writing block \${blockId} to disk")

17/08/22 15:50:46 ERROR BlockManager Failed to report rdd 0 1 to master; giving up.

17/08/22 15:51:18 DEBUG BlockManager Putting block rdd 1 1

with replication took 0

17/08/22 15:51:55 INFO BlockManager Writing block rdd 1 1 to disk

...

## Log-driven automated SRE: Challenges 113.4 How to automate log analysis to monitor systems?

#### DATA BREACHES

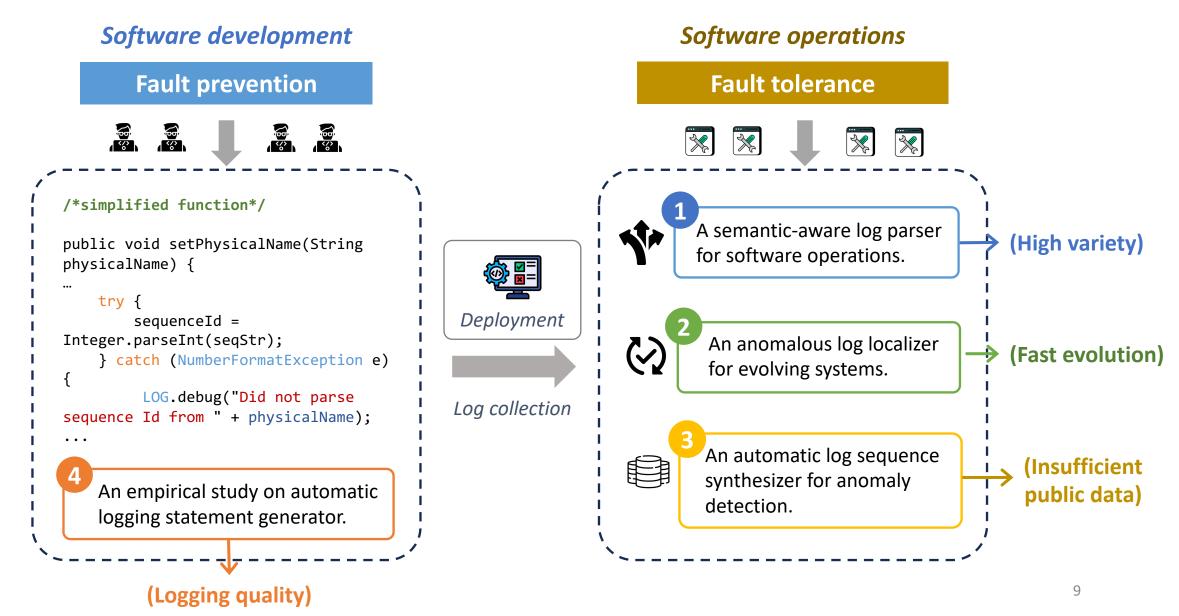
### 4.5 Million Individuals Affected by Data Breach at HealthEC

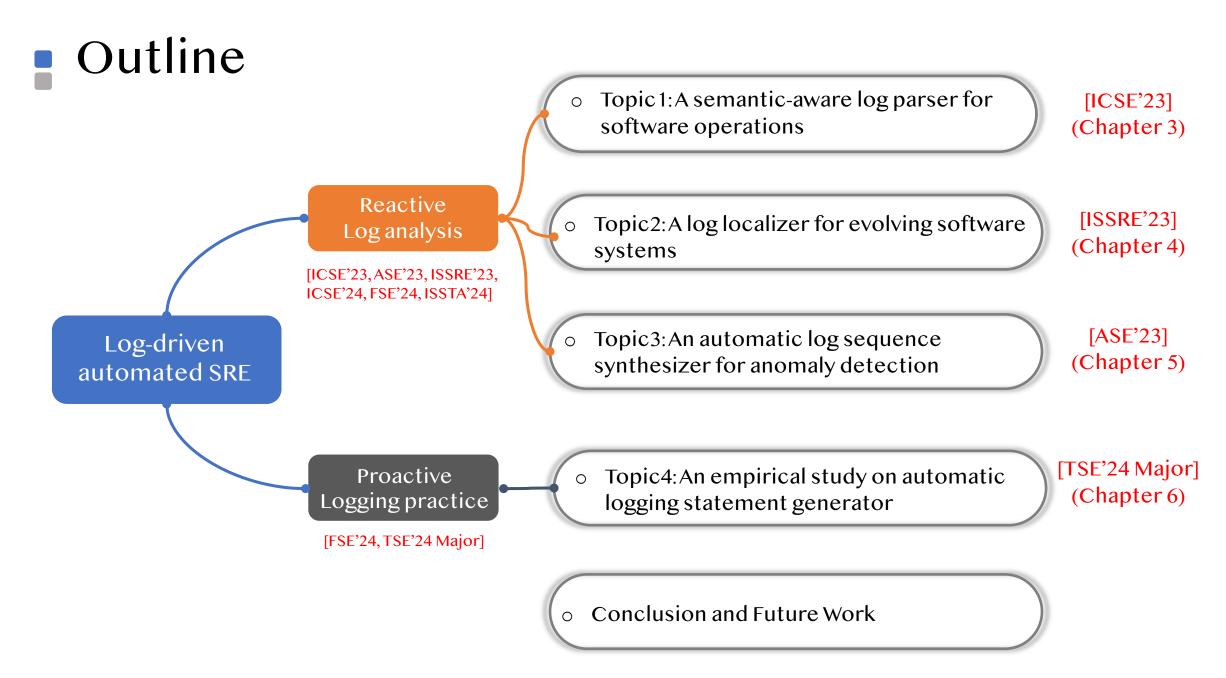
HealthEC says personal information received from business partners was compromised in a July 2023 data breach.

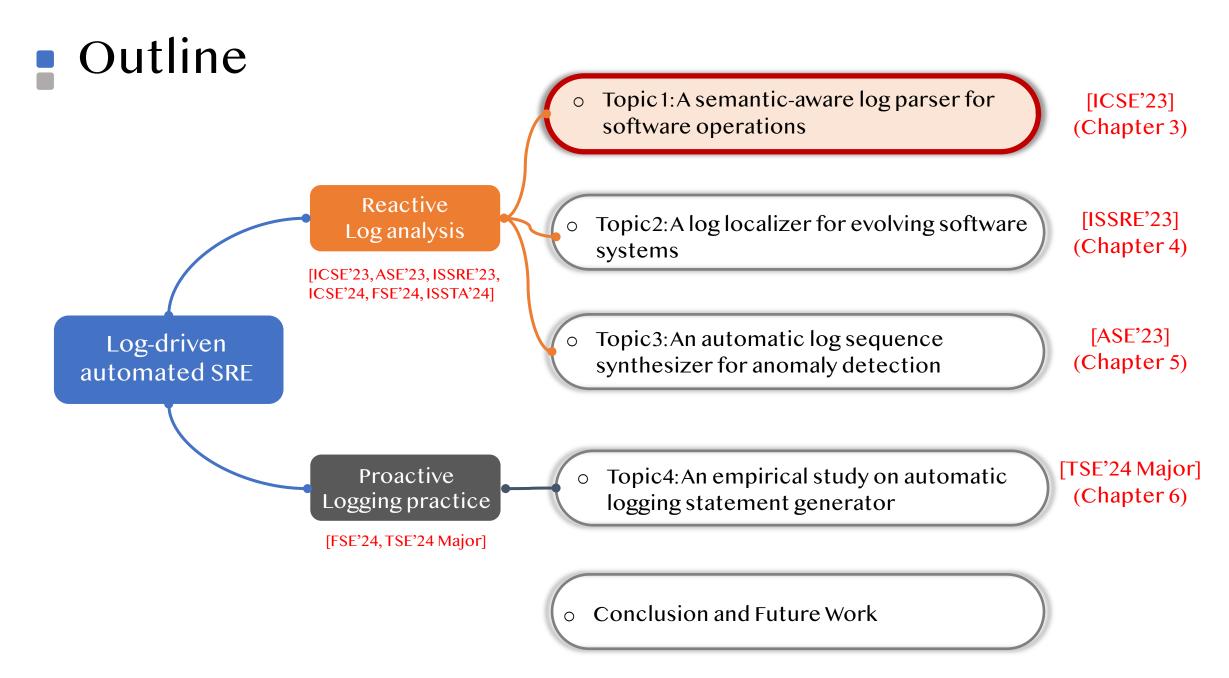


- **Big volume**
- High variety
  - Semi-structured language
- Fast evolution
  - Evolving log events
- Lacking open dataset

## **Contributions**







## Preliminary: The workflow of log analysis



#### Preliminary: The workflow of log analysis Structured log events Log messages Downstream applications Log mining SVM Anomaly Raw Log Messages Log Events 2008-11-11 03:40:58 BLOCK\* NameSystem.allocateBlock: /user /root/randtxt4, temporary/ task 200811101024\_0010\_m\_000011\_0/part-00011.bik\_904791815409399662 detection Event1 BLOCK\* NameSystem.allocateBlock: \* 2008-11-11 03:40:59 Receiving block blk 904791815409399662 src: / 10.251.43.210:55700 dest: /10.251.43.210:50010 Receiving block \* src: \* dest: \* Event2 2008-11-11 03:41:01 Receiving block blk\_904791815409399662 src: / 10.250.18.114:52231 dest: /10.250.18.114:50010 Event3 PacketResponder \* for block \* terminating Decision Tree 2008-11-11 03:41:48 PacketResponder 0 for block blk\_904791815409399662 2008-11-11 03:41:48 Received block blk\_904791815409399662 of size 67108864 Event4 Received block \* of size \* from \* 2008-11-11 03:41:48 PacketResponder 1 for block blk\_904791815409399662 BLOCK\* NameSystem.addStoredBlock: Event5 2008-11-11 03:41:48 Received block blk\_904791815409399662 of size 67108864 from /10.251 43.210 blockMap updated: \* is added to \* size \* 2008-11-11 03:41:48 BLOCK\* NameSystem.addStoredBlock: blockMap updated: 10.251.43.210:50010 is added to blk\_904791815409399662 size 67108864 Neural networks Verification succeeded for \* Root cause Event6 2008-11-11 03:41:48 BLOCK\* NameSystem.addStoredBlock: blockMap updated: 10.250.18.114:50010 is added to blk\_904791815409399662 size 67108864 2008-11-11 08:30:54 Verification succeeded for blk\_904791815409399662 analysis ...

Log parsing

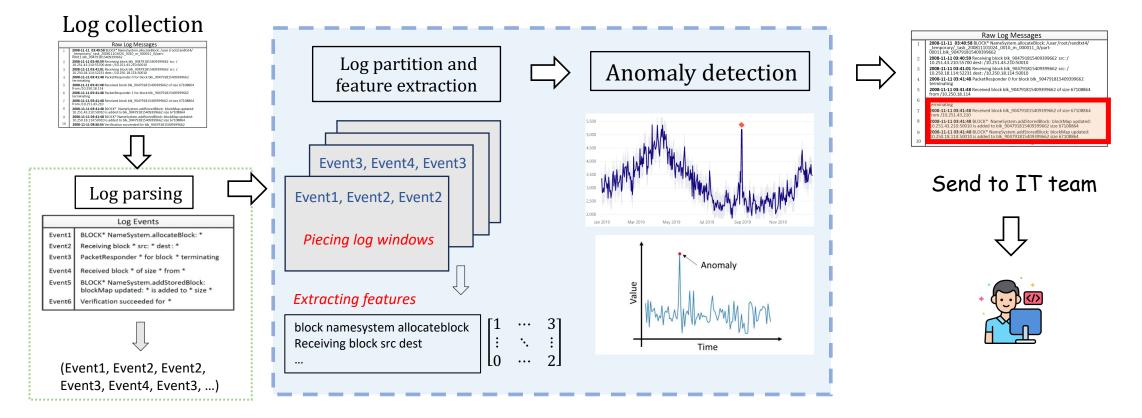
## Preliminary: Log-based anomaly detection

- The *most widely-studied* task in log analysis
- Purpose: Detect if a system has run-time anomalies in a period of time via analyzing log files
   Unexpected behaviors

✓ Network error, CPU saturation, power outage etc..

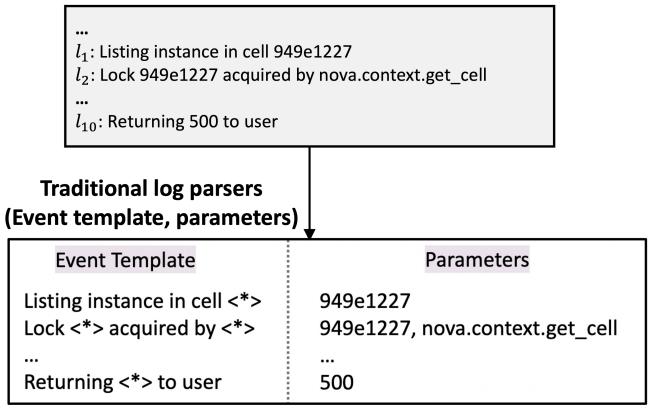
# Preliminary: Log-based anomaly detection

- The *most widely-studied* task in log analysis
- Purpose: Detect if a system has run-time anomalies in a period of time



# Existing log parser

#### Log messages



# Existing log parsers

#### Log messages

...  $l_1$ : Listing instance in cell 949e1227  $l_2$ : Lock 949e1227 acquired by nova.context.get\_cell

 $l_{10}$ : Returning 500 to user

#### Traditional log parsers (Event template, parameters)

Event Template	Parameters
Listing instance in cell <*>	949e1227
Lock <*> acquired by <*>	949e1227, nova.context.get_cell
Returning <*> to user	500

#### Statistical-based

- SLCT: word frequency.
- Logram: n-gram patterns.
- SHISO: word length.

#### Graph-based

Prefix-graph: probabilistic graph.

Received

KB<sup>2</sup>

blk #

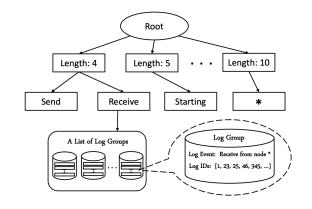
KB

from

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#### **Tree-based**

 Drain: traverse leaf nodes in a tree structure.

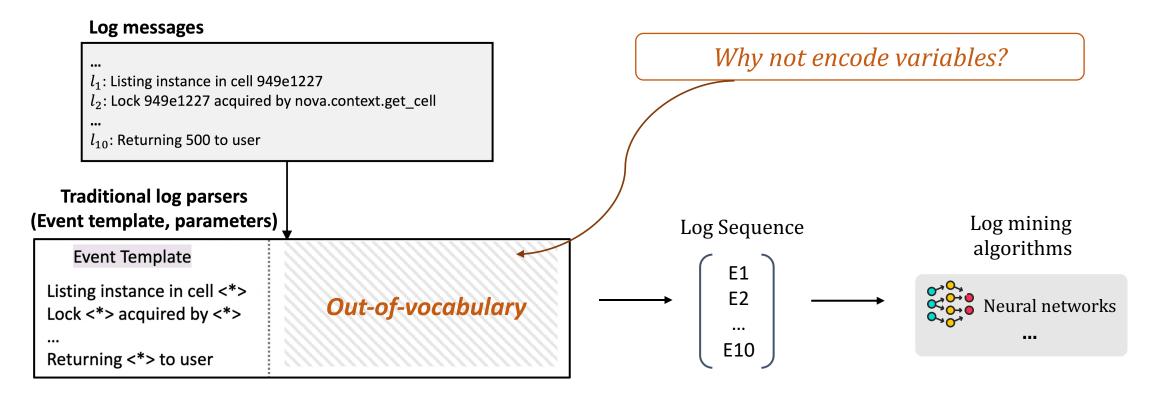


Current parsers are working with syntax-based superficial features, which cannot capture semantics.

[IPOM'03] SLCT [TSE'20] Logram [SCC'13] SHISO [ICDE'22] Prefix-graph [ICWS'17] Drain

#### 17

# Existing log parsers



Insufficient semantic information obstructs subsequent analysis.

## Motivation: We care semantics

#### Log messages

l <sub>2</sub> : Lock 9496	g 500 to user g parsers	l by nova.context.get_cell		•	Dist Acq Re Re
Event Templa	te	Parameters			
Listing instance i Lock <*> acquire 		949e1227 949e1227, nova.context.g 	get_cell		
Returning <*> to	user	500			
Semantic-based log parsers	Lock < <u>CEL</u> 	tance in cell < <u>CELL&gt;</u>	.: 949e1227 NC: nova.co		et_cell

#### **Semantic Parser**

- Distinguish event templates and parameters.
- Acquire semantics of parameters (variables).

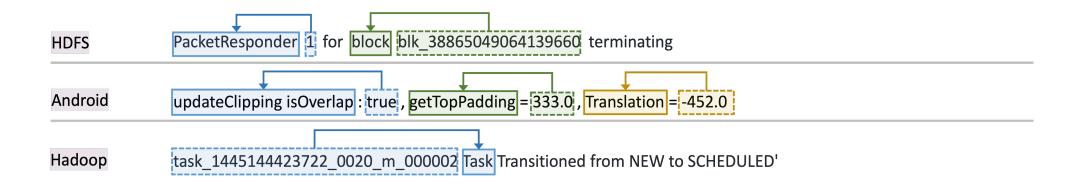
Returning 200 to user	Connection OK				
Returning 500 to user	Connection timed out!				

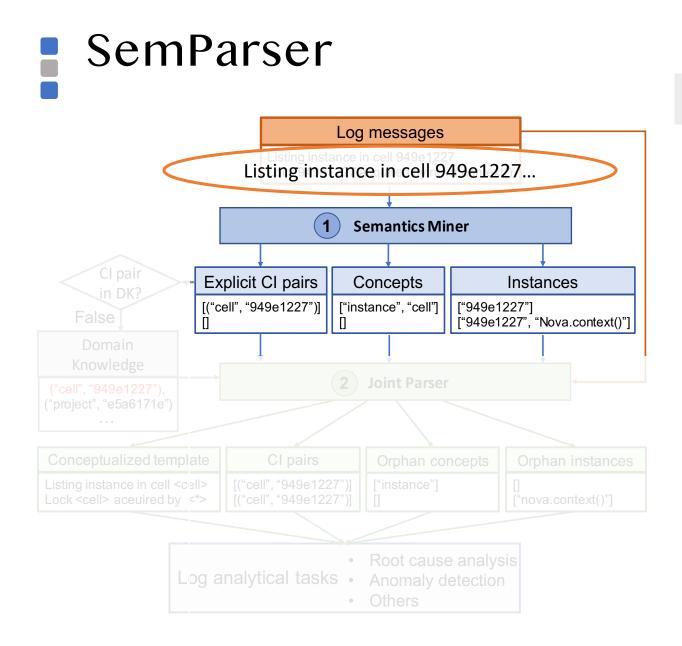
#### Semantics of variables:

- What does 949e1227 mean?
  - Cell ID

# Preliminary

- Terminologies
  - Semantic roles
    - Concepts: Technical terms in the log message (e.g., block).
    - Instances: Variables in the log message (e.g., blk\_38865049064139660).
  - Variable semantics
    - Concept-Instance pairs (*CI pairs*), describing the concept that the instance refers to.



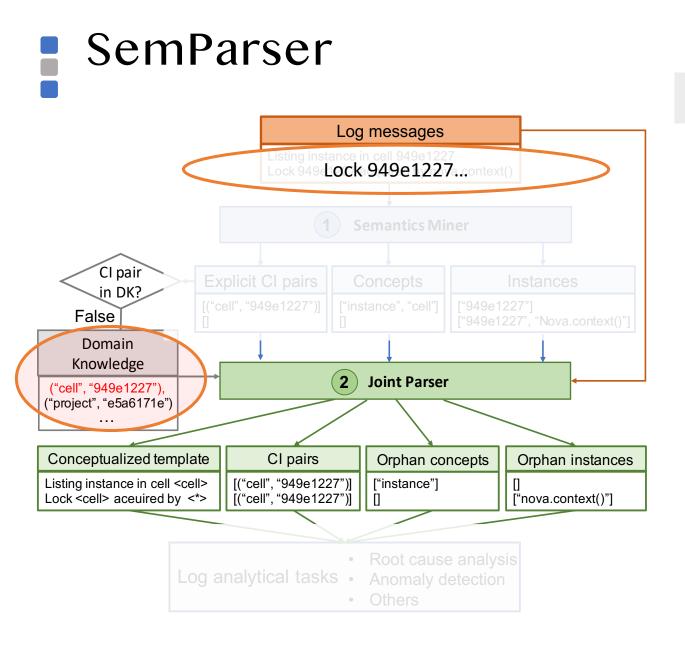


#### Overview (2 steps)

Input: Log messages

*Observation#1: CI pairs can appear in the same log.* 

- Step1: Semantics Miner
  - Mine *explicit* variable semantics within single log message.

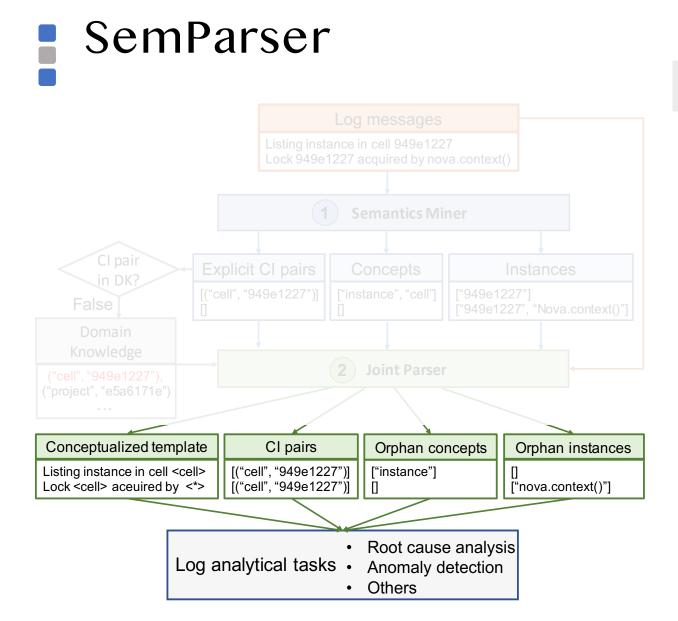


#### Overview (2 steps)

- Input: Log messages
- Step1: Semantics Miner
  - Mine *explicit* variable semantics within single log message.
- Step2: Joint Parser
  - Conduct *implicit* variable semantics inference across log messages.

Observation#2:

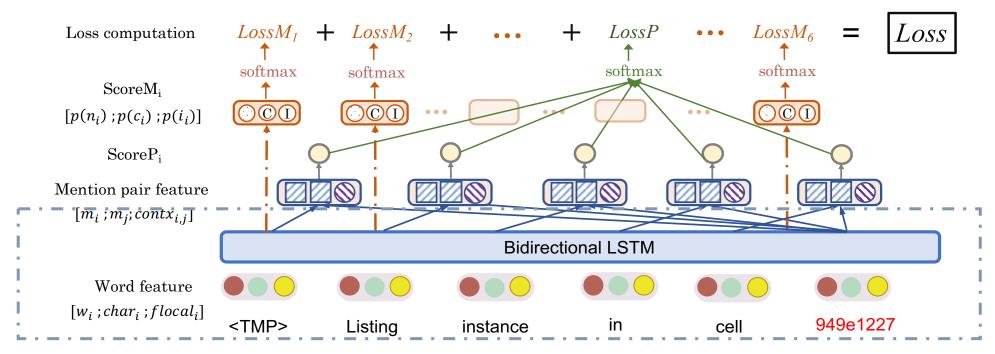
CI pairs can also occur in different logs.



#### Overview (2 steps)

- Input: Log messages
- Step1: Semantics Miner
  - Mine *explicit* variable semantics within single log message.
- Step2: Joint Parser
  - Conduct *implicit* variable semantics inference across log messages.
- Output: Log events (C-Template), semantic pairs (CI pairs), etc..

## Step1: Semantics miner



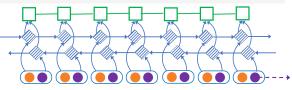
#### Acquire *contextual representation* for each token:

#### **Token representation**

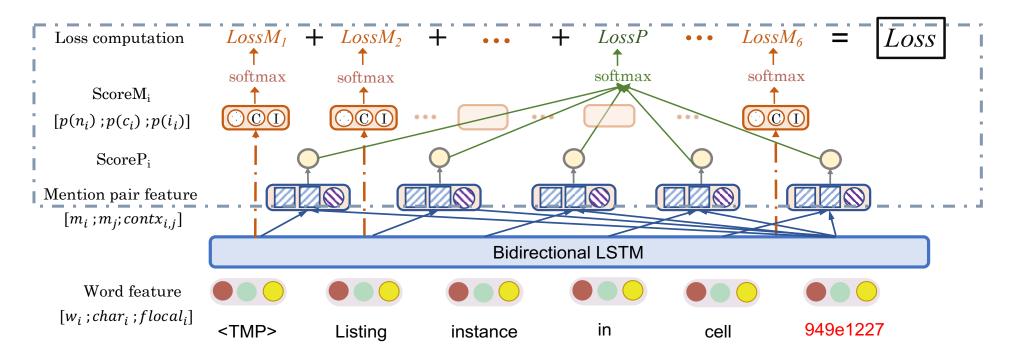
- Word-level: Word2vec.
- Character-level: CNN encoding.
- Local-level: One-hot encoding.

#### **Contextual encoder**

• Bi-LSTM to capture interactions and dependencies between words.



Step 1: Semantics miner



#### Use contextualized word representations for two sub-tasks

- *Word scoring*: determine the semantic roles.
- *Pair matching*: extract CI pairs.

#### **Multi-task learning**

• Optimized simultaneously.

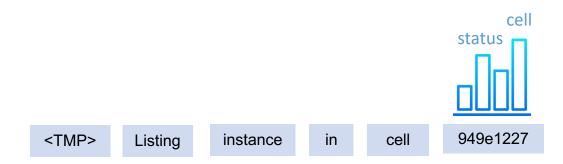
# Step1: Semantics miner

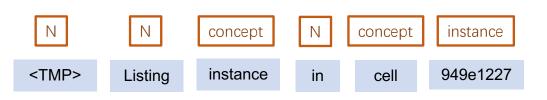
## Word scoring

- Goal: determine the semantic role
  - Concept? Instance? Neither of both (N)?
  - Multi-classification problem solved by one feed-forward neural network.

### **Pair matching**

• Goal: discern the CI (concept, instance) pairs



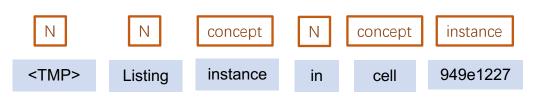


Semantic role for each word?

# Step1: Semantics miner

### Word scoring

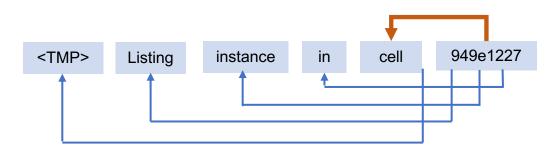
- Goal: determine the semantic role
  - Concept? Instance? Neither of both (N)?
  - Multi-classification problem solved by one feed-forward neural network.



Semantic role for each word?

### **Pair matching**

- Goal: discern the CI (concept, instance) pairs
  - Paring problem: combat the close-world assumption.



#### Best description for 949e1227?

#### For each word:

- 1. Form pairs.
- Rank the probability score for each pair (by a feed-forward neural network).
- 3. Compute loss function.

# Step2: Joint parser

- Resolve *implicit* variable semantic.
- Sharing knowledge for multiple log messages.

*1. Incorporate* newly discovered CI pairs from the semantics miner.



*Domain Reproduction 2. Update* the domain knowledge module.



*Match* with orphan variables.

Algorithm 1 Implicit instance-level semantics discovery Input: Log message  $M = m_0, ..., m_n$ , instance indices I = $[i_0, ... i_j]$ , concept indices  $C = [c_0, ... c_k]$ , explicit CI pair indices  $P = [(s_0, t_0), ..., (s_u, t_u)]$ **Output:** Instances I', Concepts C', CI pairs P'1: P' = []2: C' =3: for all p such that  $p \in P$  do if p contains 1 instance  $cur_I$  and 1 concept  $cur_C$  then 4: DomainKnowledge.add $(M[cur_C], M[cur_I])$ 5:  $I.REMOVE(cur_I)$ 6:  $C.REMOVE(cur_C)$ 7: end if 9: end for: 10: for all i such that  $i \in I$  do if FINDCONCEPTFROMDOMAINKNOWLEDGE(M[i]) then 11: P'.APPEND([newfound concept, M[i]]) 12: C'.APPEND(newfound concept) 13: I.REMOVE(i)14: end if 15: 16: end for 17: I' = INDEXTOWORD(I)18:  $C' \neq INDEXTOWORD(C);$ 19:  $P' \neq INDEXTOWORD(P)$ 

# Experimental settings

#### Can SemParser effectively extract semantics? (RQ1)

- Dataset for evaluating semantics mining
  - 6 representative system logs from Loghub.
  - Finetune : test = 50 : 1950.
- Metrics
  - Precision
  - Recall
  - F1

System type	System	#Logs	#Pairs	#Temp.	Unseen
Mobile system	Android	2,000	6,478	166	82.8%
Operating system	Linux	2,000	2,905	118	86.8%
	Hadoop	2,000	2,592	14	84.6%
	HDFS	2,000	3,105	30	47.0%
Distributed system	OpenStack	2,000	4,367	43	52.3%
	Zookeeper	2,000	1,189	50	75.9%

#### Can such semantics benefit operation tasks? (RQ2, RQ3)

- Dataset for downstream task evaluation
  - Contain labeled anomalies.
  - HDFS Dataset.
  - F-Dataset (from OpenStack).

Dataset	#Message	Anomaly rate
HDFS dataset F-Dataset	11,175,629	3%
F-Dataset	11,175,629 1,318,860	0.22%

# Experimental results

- Variable semantics mining ability
  - ✓ 94.3% 99.5% in accuracy
  - $\checkmark$  Each component is beneficial

	System									
	Andriod	Hadoop	HDFS	Linux	OpenStack	Zookeeper				
Framework	$\parallel P - R - F1$	P - R - F1	$  P - R - F1 \rangle$	P - R - F1	P - R - F1	P - R - F1				
SemParser	0.951 0.935 0.943	0.993 0.978 <b>0.985</b>	1.000 1.000 <b>1.000</b>	0.998 0.977 <b>0.987</b>	0.999 0.998 <b>0.999</b>	1.000 0.989 <b>0.995</b>				
- w/o $F_{char}$	0.981 0.909 0.943	0.988 0.953 0.970	1.000 0.998 0.999	0.995 0.957 0.976	0.995 0.989 0.992	0.993 0.987 0.990				
- w/o F <sub>local</sub>	0.979 0.858 0.915	0.993 0.880 0.933	1.000 0.999 0.999	0.992 0.947 0.969	0.994 0.989 0.992	0.997 0.940 0.968				
- w/o $LSTM$	0.979 0.858 0.915	0.993 0.879 0.932	1.000 0.999 0.999	0.995 0.909 0.951	1.000 0.963 0.981	0.966 0.953 0.959				
- w/o $F_{contx}$	0.977 0.060 0.113	0.984 0.253 0.403	0.999 0.289 0.449	0.999 0.242 0.389	1.000 0.256 0.407	0.842 0.197 0.319				

## Experimental results

• Enhance subsequent anomaly detection

✓ 0.82% - 2% in HDFS

✓ 8.27% - 16.58% in OpenStack

	Technique									
	DeepLog	LogRobust	CNN	Transformer						
Baseline	P R F1	P R F1	PRF1	PRF1						
LenMa	.897 .994 .943	.914 .995 .953	.924 .995 .958	.872 .908 .890						
AEL	.896 .994 .943	.935 <b>.996</b> .964	.922 .995 .958	<b>.893</b> .904 .898						
Drain	.908 .994 .949	.934 .994 .963	.925 .995 .959	.886 .871 .878						
IPLoM	.898 .994 .944	.940 .994 .966	.926 <b>.996</b> .960	.889 .904 .896						
SemParser	.940 .995 .967	<b>.954</b> .995 <b>.974</b>	<b>.931</b> .995 <b>.962</b>	.881 <b>.954 .916</b>						
$\Delta\%$	+1.86%	+0.82%	+0.21%	+2.00%						

#### (a) HDFS Dataset.

	Technique									
	DeepLog	LogRobust	CNN	Transformer						
Baseline	P R F1	P R F1	PRF1	PRF1						
LenMa	.717 .938 .813	.714 <b>.924</b> .806	.793 .815 .804	.685 .896 .776						
AEL	.738 .934 .824	.791 .877 .832	.747 .924 .826	.503 <b>.962</b> .660						
Drain	.824 .867 .845	.810 .886 .846	.737 <b>.943</b> .827	.693 .919 .790						
IPLoM	.863 .833 .848	.808 .877 .841	.834 .834 .834	.929 .683 .787						
SemParser	<b>.971</b> .927 <b>.948</b>	<b>.952</b> .913 <b>.932</b>	<b>.907</b> .899 <b>.903</b>	<b>.938</b> .904 <b>.921</b>						
$\Delta\%$	+11.80%	+10.17%	+8.27%	+16.58%						

## Experimental results

- Enhance subsequent failure identification
  - ✓ *3.81% 12.5%* in Recall@1
  - ✓ -0.42% 2.65% in Recall@2

						Mod	el					
		LSTM		A	tten-biLSTN	N		CNN			Transforme	r
Baseline	Rec@1	Rec@2	Rec@3	Rec@1	Rec@2	Rec@3	Rec@1	Rec@2	Rec@3	Rec@1	Rec@2	Rec@3
enMa	0.839	0.924	0.953	0.858	0.943	0.957	0.877	0.962	0.967	0.919	0.934	0.948
AEL	0.844	0.919	0.953	0.853	0.915	0.962	0.810	0.905	0.929	0.858	0.929	0.953
Drain	0.844	0.919	0.972	0.863	0.938	0.953	0.867	0.948	0.967	0.853	0.919	0.943
PLoM	0.848	0.943	0.957	0.863	0.948	0.962	0.867	0.967	0.986	0.839	0.910	0.948
SemParser	0.954	0.968	0.968	0.954	0.968	0.972	0.945	0.963	0.972	0.954	0.958	0.968
$\Delta\%$	+12.50%	+2.65%	-0.41%	+10.54%	+2.11%	+1.04%	+7.75%	-0.42%	-1.44%	+3.81%	+2.46%	+2.11%

API error	server add volume
Log message C-Template CI Pairs	<ul> <li> Cannot 'attach_volume' instance 853cfe1b</li> <li> Cannot 'attach_volume' instance &lt;*server*&gt;</li> <li>[(server, 853cfe1b)]</li> </ul>

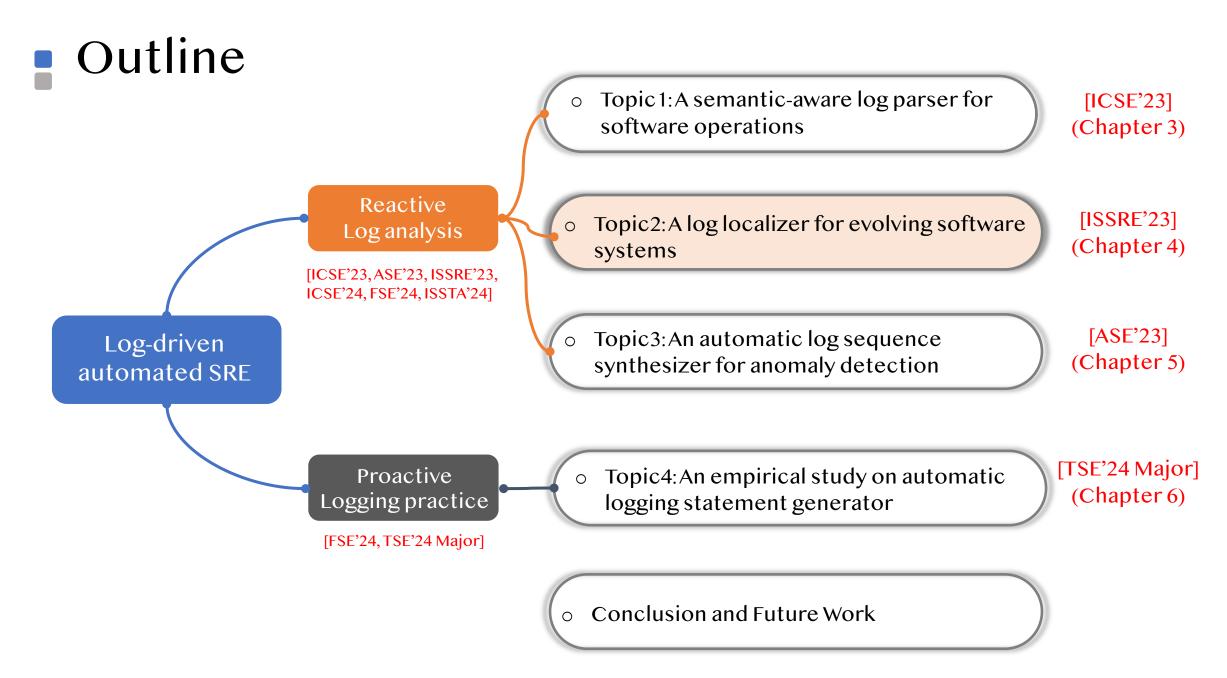
## Summary of Topic 1

SemParser: a semantic-aware log parsing techniques for subsequent analysis

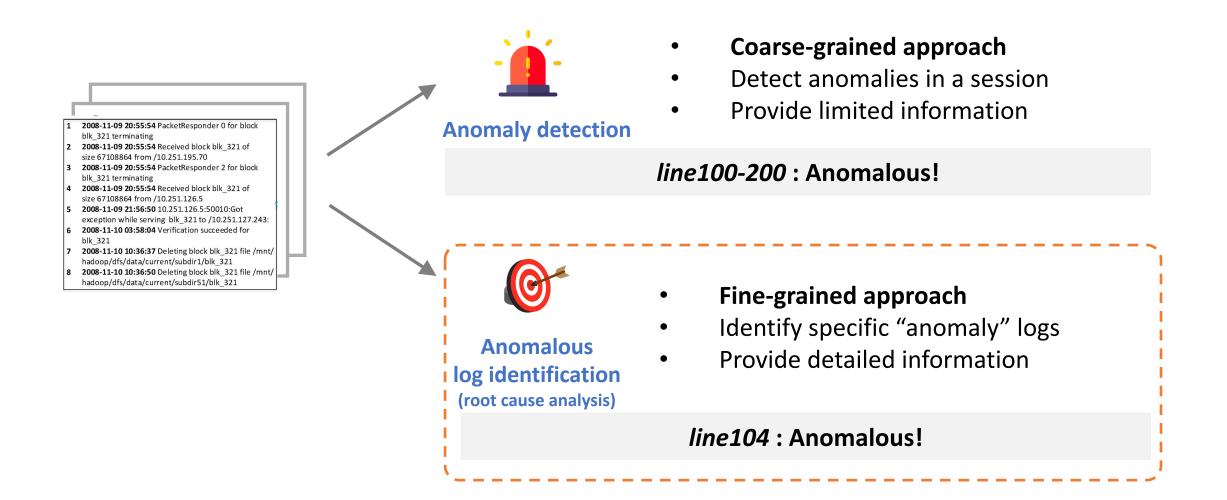
✓ Motivation: Existing syntax-based parsers ignore semantics within logs.

✓ Building the *first semantic-based log parser*, which can actively capture *intra-log* and *inter-log* semantics.

✓ Reveal the *contribution of log semantics* on software operation tasks.



## Finer-grained log analysis



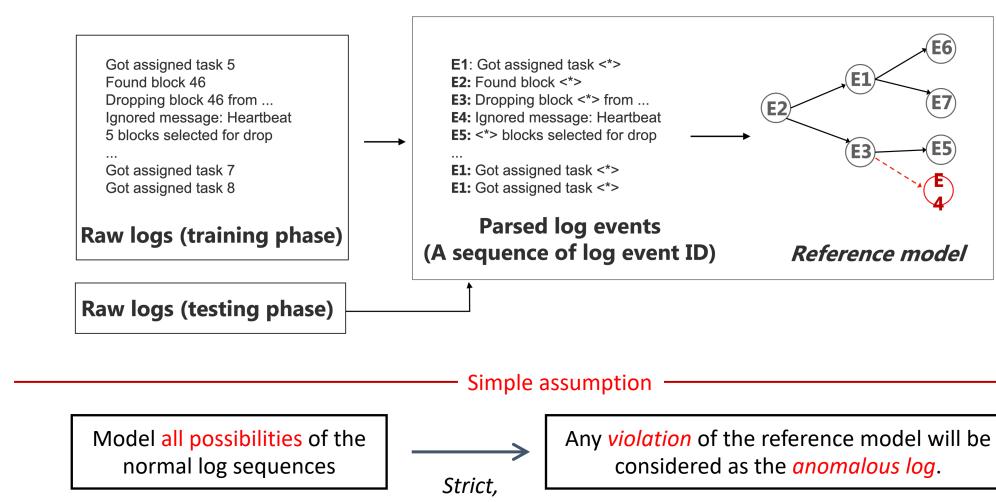
**E6** 

**E7** 

E5

**E1** 

**E**3



rigorous,

Close-world assumption

## **Existing solutions**

# Software keeps evolving...

•••

...

Version 1.1



Software evolution

[DATE] [TIME] INFO Started reading broadcast variable 9 [DATE] [TIME] INFO Got assigned task 5 [DATE] [TIME] INFO Found block 46

public void handleEvent(Event event){
 String path = event.getProperty(PATH);

• • •

- log.info("Started reading broadcast variable", variable);
- log.info("Started reading broadcast variable", variable, "with pieces in total size", size) if (PATH != null) {
   String includePath = PATH

Log more details? More functionalities?



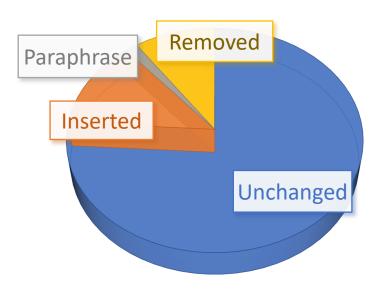


Version 1.2

[DATE] [TIME] INFO Started reading broadcast variable 5 with pieces in total size 1024[DATE] [TIME] INFO Got assigned task 5[DATE] [TIME] INFO Found block 46

## The evolution of logging statements

- Spark2 and Spark3
- Evolving logging statements
  - ✓ Insert (12.9%)
  - ✓ Paraphrase (1.49%)
  - ✓ Remove (9.7%)



CASE I. Insert a log logging statement in Spark3:

Discovering resources for <\*> with script:<\*>

**CASE II.** *Paraphrase* a log logging statement in Spark3 from Spark2:

Started reading broadcast variable <\*>

Started reading broadcast variable <\*> with <\*> pieces (estimated total size <\*> MiB)

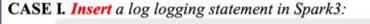
**CASE III.** *Remove* a log logging statement from Spark2:

Scala <\*> cannot get type nullability correctly via reflection, thus Spark cannot add proper input null check for UDF.

*Observation#1: A large amount of logging statements* (24.9%) change over software evolution

## The evolution of logging statements

- Spark2 and Spark3
- Evolving logging statements
  - ✓ Insert (12.9%)
  - ✓ Paraphrase (1.49%)
  - ✓ Remove (9.7%)



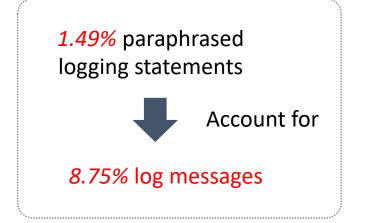
Discovering resources for <\*> with script:<\*>

CASE II. Paraphrase a log logging statement in Spark3 from Spark2:

Started reading broadcast variable <\*>

Started reading broadcast variable <\*> with <\*> pieces (estimated total size <\*> MiB)

CASE III. *Remove* a log logging statement from Spark2: Scala <\*> cannot get type nullability correctly via reflection, thus Spark cannot add proper input null check for UDF.



*Observation#2: developers always change the frequently-used logging statements.* 

### How does the evolution affect reference models?

Raw logs — Parsed log events — Reference model

#1 Challenge. Parsing error

**Parsing result:** Connecting to ResourceManager at sp2sl1/172.17.0.3:8030

**Ground truth:** Connecting to ResourceManager at <\*>

**Parsing result for Spark2:** Changing <\*> acls <\*> <\*> **Parsing result for Spark3:** Changing <\*> acls groups to: Changing <\*> acls to: root • Log parsers can introduce errors.

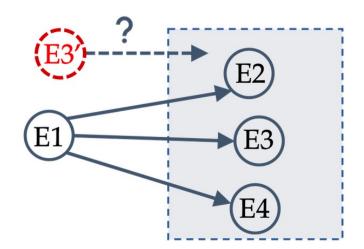
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The evolution of logs over time can make parsing even more challenging.

## How does the evolution affect reference models?



*#2 Challenge. Evolving events* 



E1: Running task <\*> in stage <\*> (TID <\*>) E3 (in Spark2): Started reading broadcast variable <\*> E3'(in Spark3): Started reading broadcast variable <\*> with <\*> pieces (estimated total size <\*> MiB)

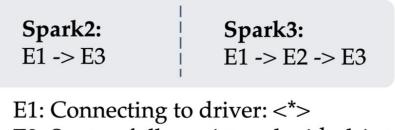
- Event-matching.
- A paraphrased logging statement can *mislead* the reference model.

## How does the evolution affect reference models?



### #3 Challenge. Unstable sequences





E2: Successfully registered with driver E3: Resources for <\*>:

A new logging statement E2 can *alter* previously collected log sequences.

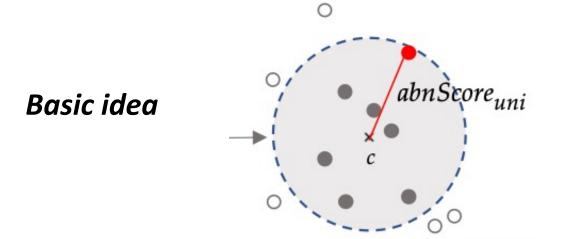


### Two Insights

- The majority of logs are *normal* in a healthy system (*normal* >> *abnormal*).
- The anomalous logs are unknown *a priori* because we *cannot inject all kinds of failures*.

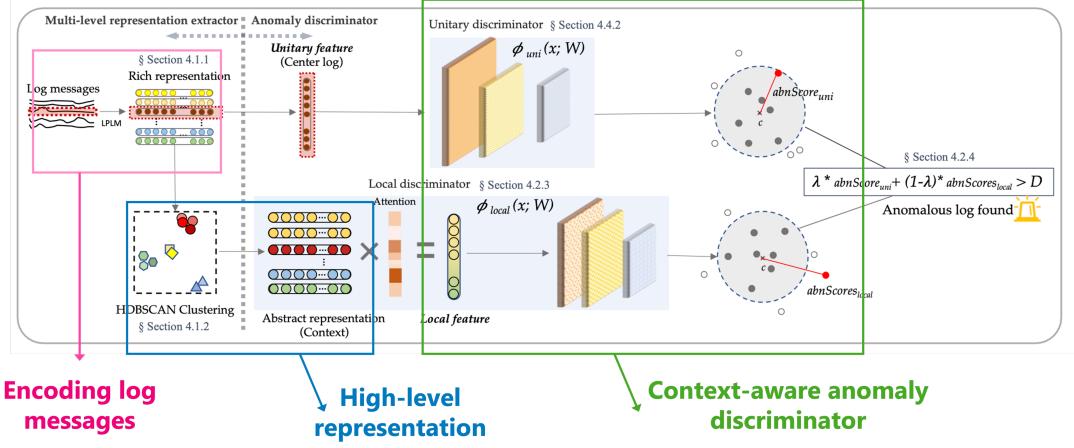
### Intuition

 Happy families are all alike; every unhappy famility is unhappy in its own way. Normal logs ----<<Anna Karenina>>



## Our approach: EvLog

- Our goal: Identifying anomalous logs over software evolution
- Our challenge: *Parsing error, evolving events, unstable sequences*



## Multi-level representation extractor

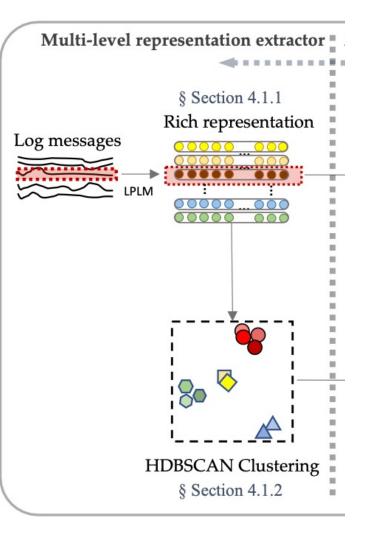
### Rich representation

- Using a pre-trained language model to obtain log semantics.
- Eliminating log parsing errors.

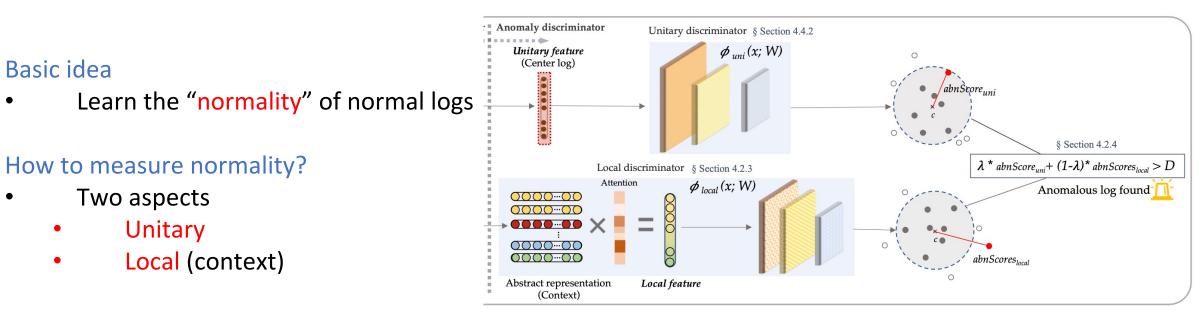
### Abstract representation

- Goal: extract a high-level semantic representation
- Cluster the rich representation by HDBSCAN
- Each log is represented by the centroid of its cluster

Paraphrased logs will not change their abstract representation  $\rightarrow \underline{stable \ over \ evolution}$ 



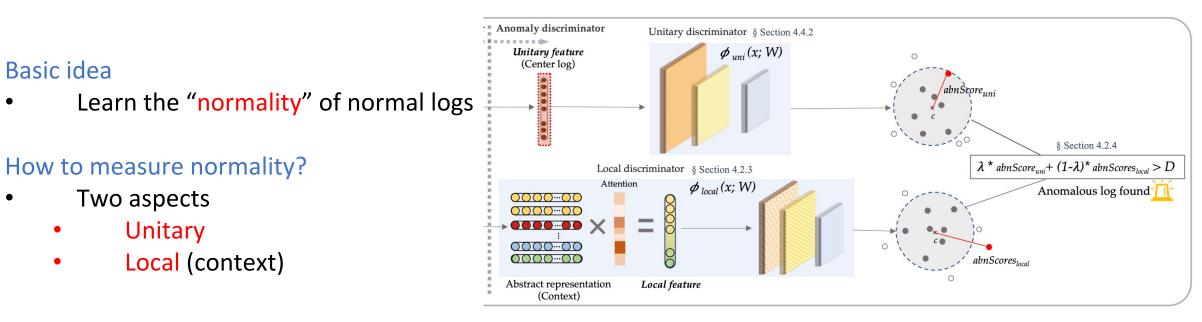
## Anomaly discriminator



### Unitary discriminator

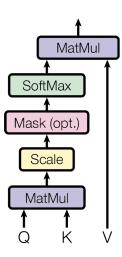
- Learn the single log feature
- The individual log with negative words ("failure") usually be anomalous.

## Anomaly discriminator

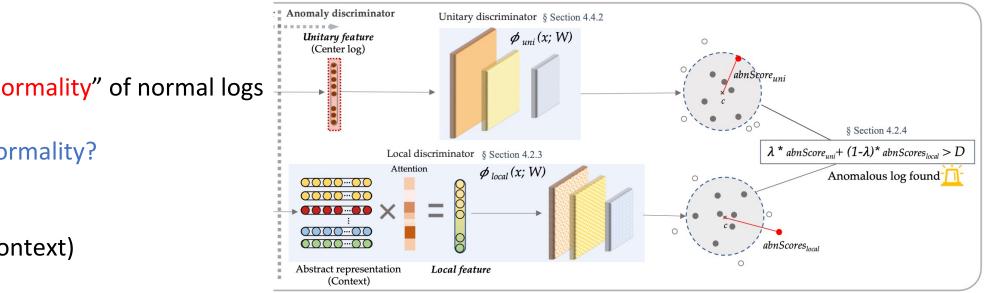


### Local discriminator

- Different logs, different importance
- Asynchronized log collection -> unstable sequences
- Apply the "attention mechanism" to learn the surrounding log context



## Anomaly discriminator



### Basic idea

Learn the "normality" of normal logs

### How to measure normality?

- Two aspects
  - Unitary
  - Local (context)

### How to integrate?

Consider the two discriminator jointly

$$J = \min_{W} \quad \frac{1}{n} \sum_{i=1}^{n} \|\phi(x_i; W) - c\|^2 + \frac{\alpha}{2} \|W\|^2$$

 $abnScore = \lambda * abnScore_{uni} + (1 - \lambda) * abnScore_{local},$  $abnScore_{i} = \|\phi_{i}(x; W_{i}) - c_{i}\|^{2}, i \in \{uni, local\}.$ 

## Experiments

### Dataset

- 2 widely-studied systems
  - Hadoop and Spark
- 2 version for each system
- 22 different workloads
- 18 typical failures

Categories	Workloads			
Micro task	Sort, Wordcount, etc.			
Machine learning	Bayes Classification, Gradient Boosted Trees, etc.			
SQL	Aggregation, Join, Scan etc.			
Websearch	Pagerank			
Graph	NWeight, Graph Pagerank			
Streaming	Repartition			

In total, 6,703,460 log messages with recognized 69,513 anomalous logs.



### Metrics

• (binary classification) Precision, Recall, and F1

Precision = True Positive + False Positive

Recall = <u>
True Positive</u> + False Negative

 $F1 = 2 \times \frac{Precision * Recall}{Precision + Recall}$ 

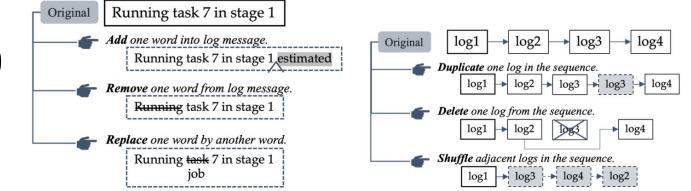
### Experiment results

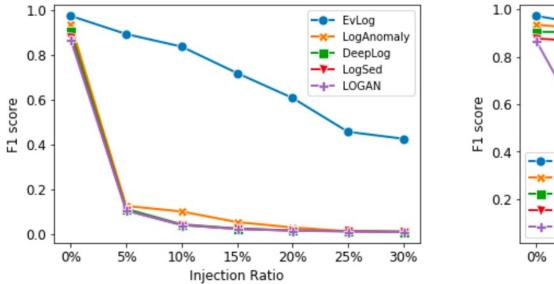
- $\circ~$  Effectiveness in localizing anomalous logs
  - ✓ *91.5% 97.2%* in F1 for intra-version
  - ✓ 79.5%-88.4% in F1 for inter-version

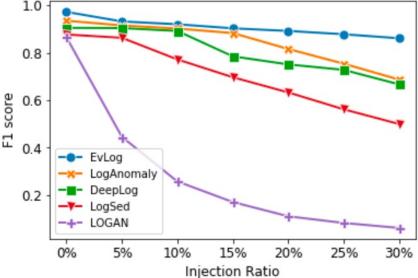
LOGEVOL-HADOOP												
Intra-version						Inter-version						
	Hadoop2 $\rightarrow$ Hadoop2 Hadoop3 $\rightarrow$ Hadoop3			Hadoop2 $\rightarrow$ Hadoop3 Hadoop3 $\rightarrow$ Hadoop				oop2				
Baseline	Precision	Recall	F1	Precision	Recall	_F1	Precision	Recall	F1	Precision	Recall	F1
LOGAN	0.894	0.995	0.942	0.899	0.988	0.942	0.360	0.988	0.528	0.376	0.995	0.546
LogSed	0.910	0.995	0.951	0.925	0.986	0.955	0.371	0.988	0.540	0.390	0.993	0.560
DeepLog	0.913	0.985	0.947	0.926	1.000	0.961	0.386	0.999	0.556	0.410	0.971	0.576
LogAnomaly	0.926	0.994	0.958	0.939	0.988	0.963	0.389	0.998	0.560	0.407	0.995	0.578
EvLog	0.945	0.982	0.963	0.952	0.988	0.970	0.770	0.941	0.847	0.857	0.913	0.884
					LOGEV	OL-SPAR	К					
			Intra-	version					Inter-	version		
	Spark2 $\rightarrow$ Spark2 Spark3 $\rightarrow$ Spark3			k3	Spark2 $\rightarrow$ Spark3 Spark3 $\rightarrow$ Spark2			k2				
Baseline	Precision	Recall	F1	Precision	Recall	F1	Precision	Recall	F1	Precision	Recall	F1
LOGAN	0.798	0.943	0.865	0.967	0.870	0.916	0.016	0.943	0.032	0.012	0.943	0.024
LogSed	0.842	0.914	0.877	0.907	0.923	0.915	0.013	0.917	0.026	0.010	0.914	0.020
DeepLog	0.862	0.952	0.905	0.858	0.976	0.914	0.017	0.947	0.032	0.014	0.909	0.026
LogAnomaly	0.931	0.939	0.935	0.898	0.947	0.922	0.020	0.923	0.038	0.017	0.948	0.034
EvLog	0.970	0.974	0.972	0.944	0.888	0.915	0.922	0.700	0.795	0.920	0.812	0.863

## Experiment results

- Robust for evolution types (blue line)
  - $\checkmark$  Evolving log events
  - ✓ Unstable log sequences

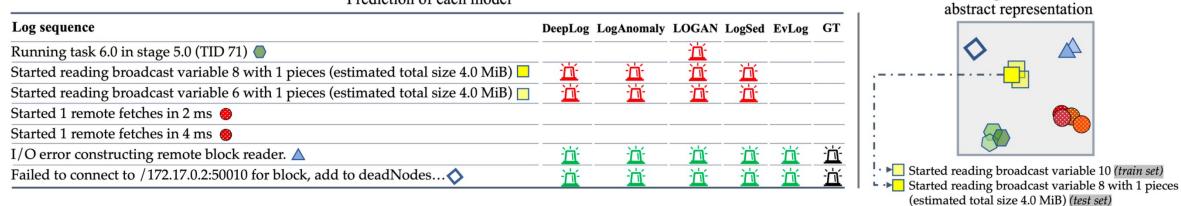






# Case study

#### Prediction of each model



EvLog: Cluster for

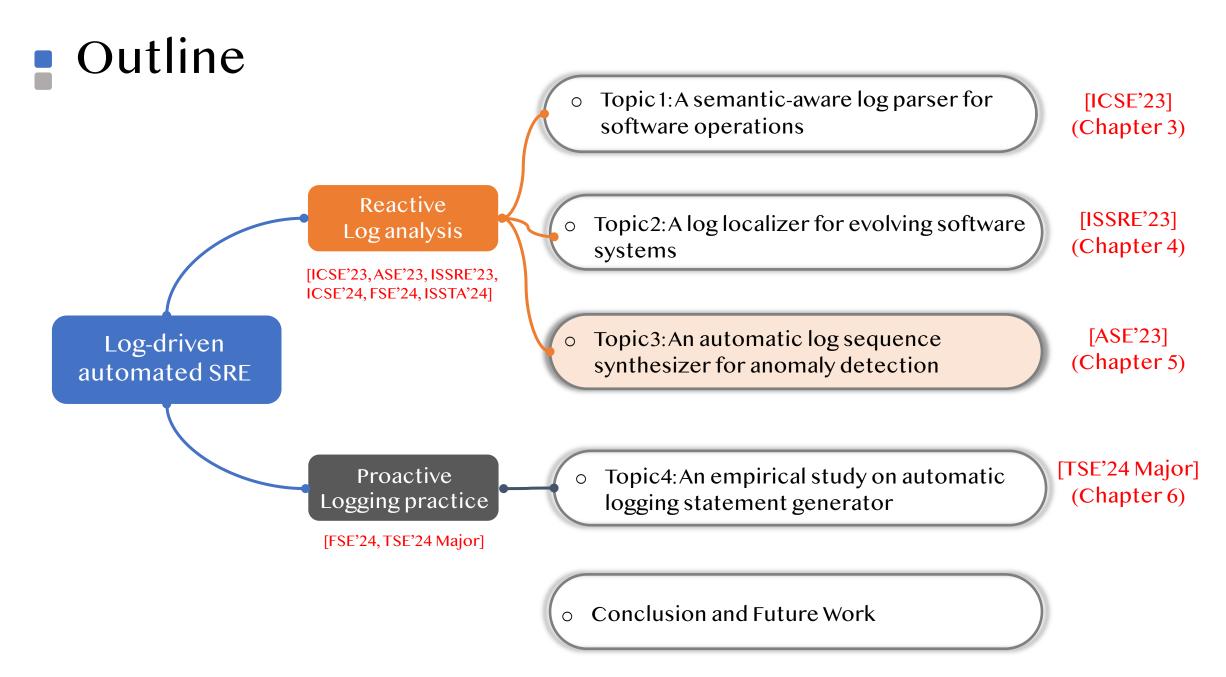
## Summary of Topic 2

 $\odot$  EvLog: an anomalous log localization framework for evolving software systems

✓ Motivation: Existing approaches rely on unchanged log events

✓ Revealing *three challenges from log evolution*.

✓ Building the *first evolution-adaptive log localizer via one-vs-all classification techniques.* 



# Inspired from the training process of LLMs

ChatGPT: Intelligent chatbot

Copilot: Smart programming assistant

Bing AI: Searching with AI

 $\mathbf{x} \in \mathbf{x}$ 

Large language models (ChatGPT as an exampe)

Continuing developing

Foundation: training with **a large amount of high-quality** dataset.

## Dataset is the core of data-driven models

What do we have for intelligent **log analysis**?

- Collecting logs from **real-world service providers**:
  - + Rich log events
  - -- Privacy issues
- Collecting logs from **laboratory environments**:
  - + Publicly available
  - -- Simplified log events

Collecting logs for open-source research is demanding yet challenging!

## Existing log datasets for anomaly detection

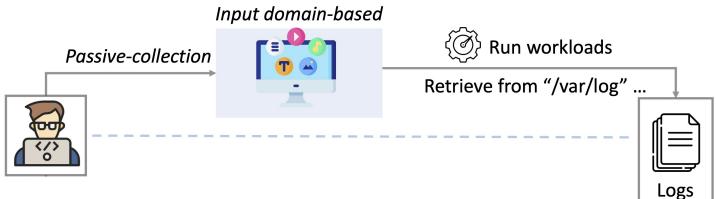
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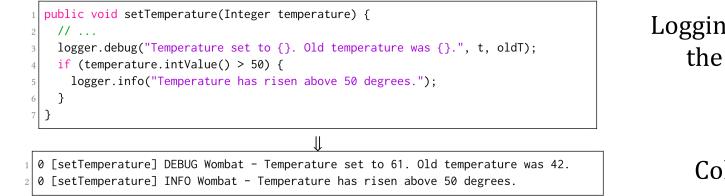
	One of the	most whitely-use	eu log ualasels, Lo	gilub.		
Dataset	# Log Event	# Workload	# Failure Type	# Me	ssage	Collection Tim
D-HDFS D-Hadoop D-BGL D-Zookeeper	30 242 619 77	NA 2 NA NA	11 3 NA NA	11,17 394, 4,747 207,	7,963	38.7 Hours NA 214.7 days 26.7 days
#1 <i>Comprehen</i> of log eve			<i>alability</i> erse systems			8 <b>Flexibility</b> f log utility
Limited number of workloads and failures. Unrealistic to simulate all kinds of system behaviors.		deploy new	<mark>nan efforts</mark> to systems. ystem diversity.			<mark>ntrollable</mark> for imit nt scenarios.

One of the most widely-used log datasets, LogHub.

# The idea of AutoLog



### How logs are generated?

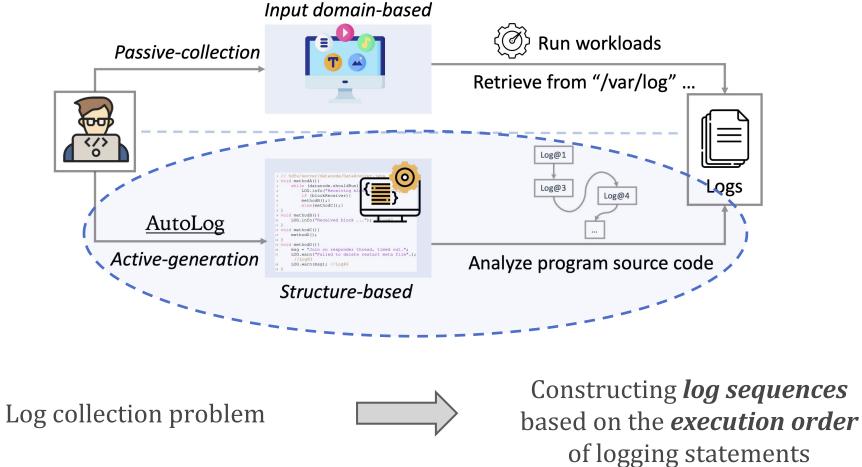


Logging statements in the source code

Collected logs

Logs are generated during the execution of logging statements in the source code.

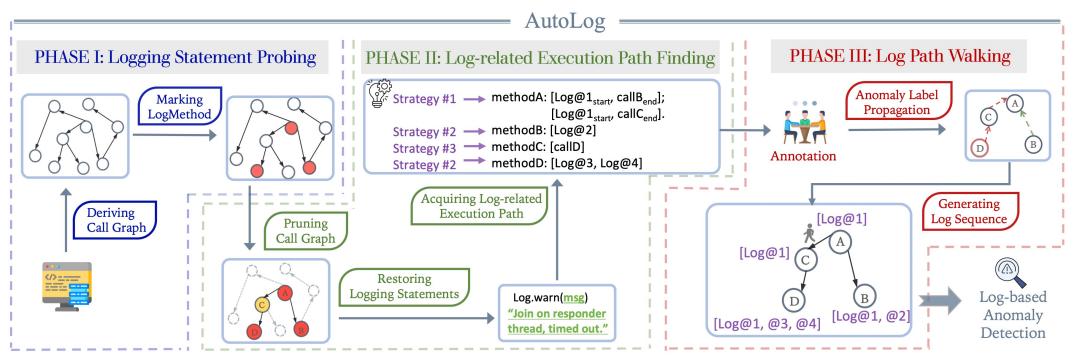
# The idea of AutoLog



Logs are generated during the execution of logging statements in the source code.

## AutoLog framework

**Goal:** Constructing execution paths related to logging statements in a program.

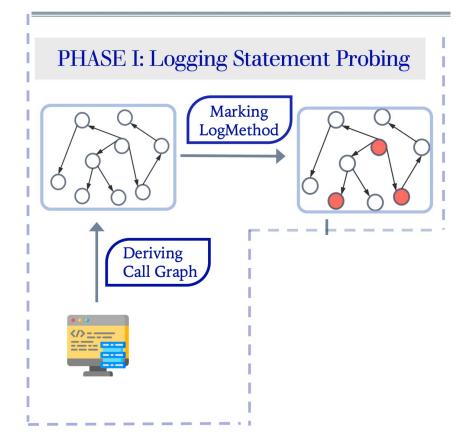


1. Exploring the methods with logging statements and their calling relationships.

2. Finding log-related execution paths over the program.

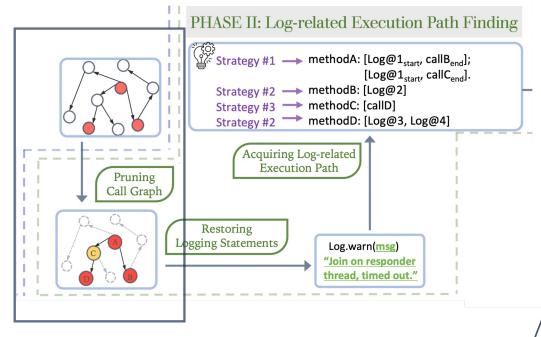
3. Traversing the execution paths to obtain normal log sequences and abnormal ones.

## Phase 1: Logging statement probing



Exploring logging statements in the whole program.

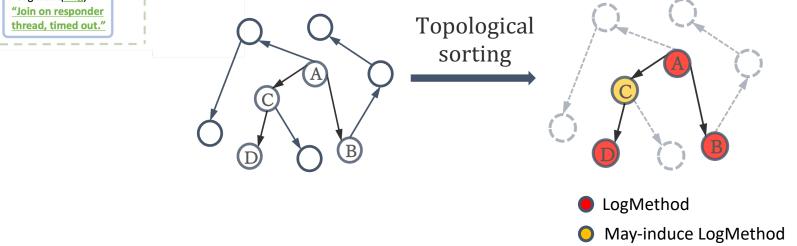
- Deriving call graphs.
- Marking methods containing logging statements (LogMethod).

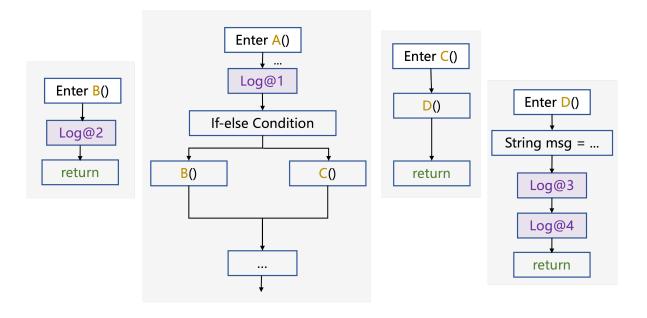


Constructing log-related execution path.

**Challenge**: Enumerating the paths in large-scale software is impractical.

Step1: Pruning call graph.





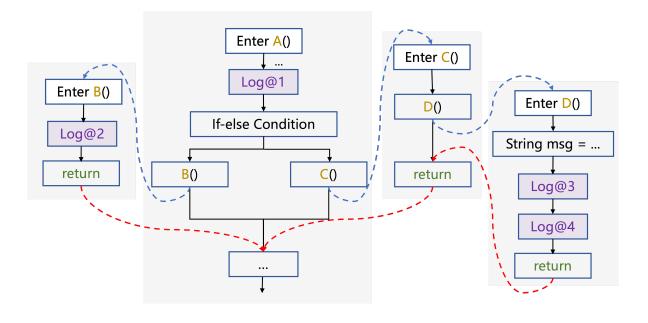
Constructing log-related execution path.

**Challenge**: Enumerating the paths in large-scale software is impractical.

Step2: Acquiring log-related execution paths (LogEPs).

Getting LogEPs

1. Constructing control flow graphs for intra-methods.



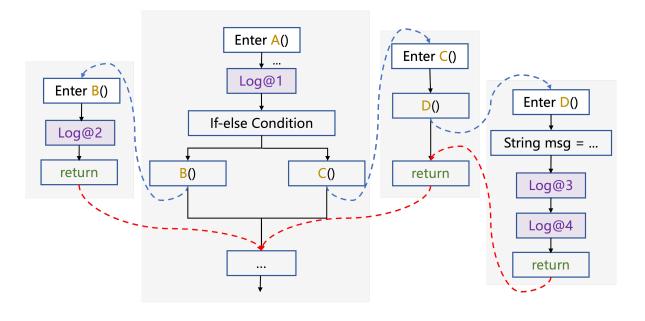
Constructing log-related execution path.

**Challenge**: Enumerating the paths in largescale software is impractical.

Step2: Acquiring log-related execution paths (LogEPs).

Getting LogEPs

- 1. Constructing control flow graphs for intra-methods.
- 2. Linking the invocations.



- methodA: [Log@1, callB]; [Log@1, callC]
- methodB: [Log@2]
- methodC: [callD]
- methodD: [Log@3, Log@4]

Constructing log-related execution path.

**Challenge**: Enumerating the paths in largescale software is impractical.

Step2: Acquiring log-related execution paths (LogEPs).

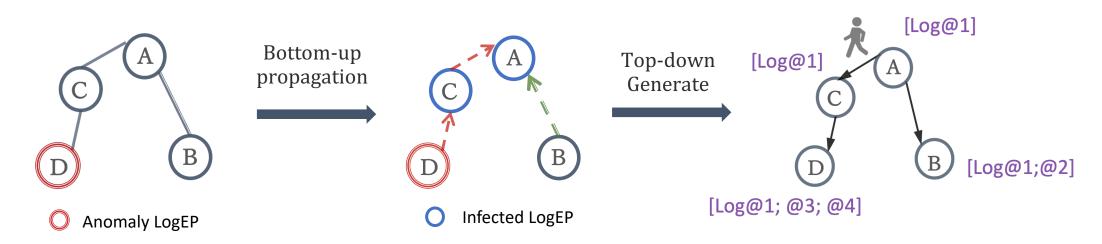
Getting LogEPs

- 1. Constructing control flow graphs for intra-methods.
- 2. Linking the invocations.
- 3. Recording the *invocations* and *logging statements*.

## Phase3: Log path walking

Generating normal log sequences and anomaly ones.

- 1. Annotating "seed" anomaly LogEPs.
- 2. Propagating labels to all LogEPs.
- 3. Generating log sequences by walking over LogEPs and their invocations.



*Efficient* annotation: *seed-propagation* 

## Experimental settings

### Can AutoLog generate quality log sequence? (RQ1, RQ2)

- Dataset
  - Same system as in LogHub
  - 50 most-popular Java projects from Maven
- Metrics
  - Coverage of all logging statements
  - Execution time

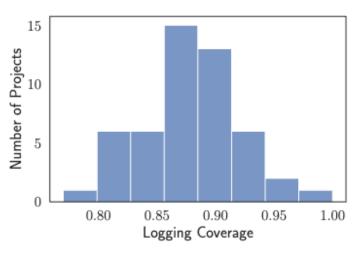
### Can such sequence benefit anomaly detection? (RQ3)

- Training resource
  - Train in AutoLog VS. Train in LogHub
- Benchmarking resource
  - Evaluating by AutoLog
- Metrics
  - Precision
  - Recall
  - F1

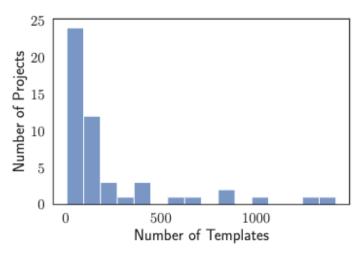
## **RQ1:** Comprehensiveness?

- Simulate comprehensive system behavior
  - ✓ 9x 58x on the number of log events
  - ✓ *Covers 87.8%* logging statements on average

System	Dataset	# Log Event	Logging Coverage	$\mathcal{D} ext{-}Coverage$	Increment (†)
Hadoop	D-Hadoop AUTOLOG-Hadoop	242 2879	242/3426 (7.1%) 2879/3426 (84.0%)	219/242 (90.5%)	12x
HDFS	D-HDFS AUTOLOG-HDFS	30 1367	30/1700 (1.8%) 1367/1700 (80.4%)	27/30 (90.0%)	58x
Zookeeper	D-Zookeeper AUTOLOG-Zookeeper	77 740	77/758 (10.2%) 740/758 (97.6%)	77/77 (100%)	9x
Apache Storm Flink Kafka	AUTOLOG-Apache Storm AUTOLOG-Flink AUTOLOG-Kafka	1754 1574 847	1754/1887 (93.0%) 1574/1711 (92.0%) 847/1002 (84.5%)	- - -	- - -



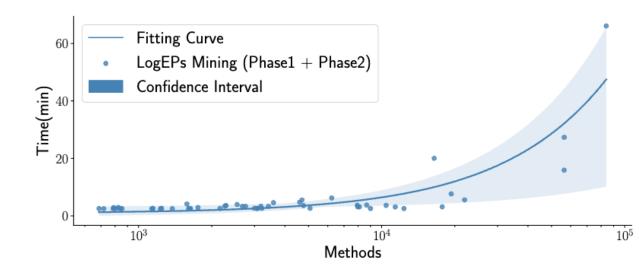
(a) Logging coverage histogram



(b) # Log event histogram

# RQ2: Scalable?

- Efficient and scalable approach
  - ✓ Shortens generation time (15x)
  - ✓ Execution within *60* mins for *50* projects



System	Dataset	# Message	Execution Time	# Messages/min (speed)	Acceleration (†)
Hadoop	D-Hadoop AUTOLOG-Hadoop	394,308 392,427	NA 3.41 hours	NA 1,918	-
HDFS	D-HDFS AutoLog-HDFS	11,175,629 11,376,233	38.7 hours <sup>†</sup> 2.62 hours	4,813 72,367	15x
Zookeeper	D-Zookeeper AUTOLOG-Zookeeper	207,820 211,425	26.7 days <sup>†</sup> 17 mins	6 12,436	2072x
Apache Storm Flink Kafka	AUTOLOG-Apache Storm AUTOLOG-Flink AUTOLOG-Kafka	1,001,245 1,003,416 1,002,629	1.28 hours 1.21 hours 39 mins	13,037 13,821 25,708	- -

# **RQ3: Benefit anomaly detection?**

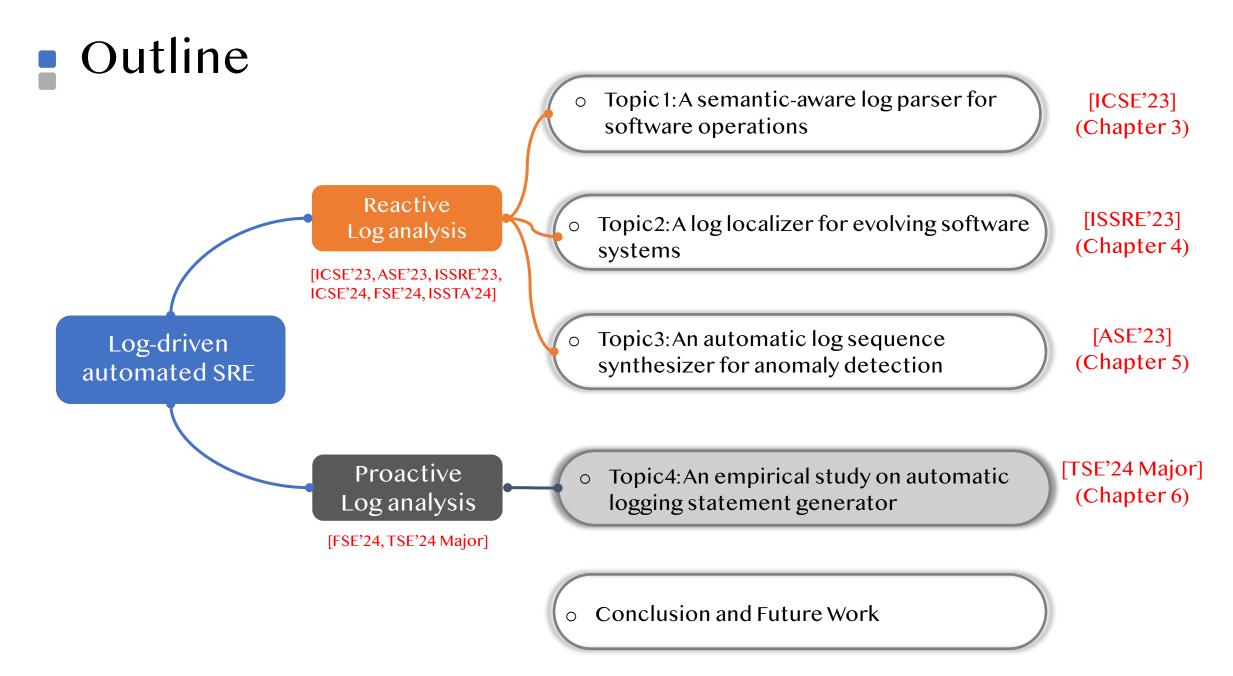
- Benefit anomaly detectors
  - ✓ Consistently improve (1.93%) performance consistently

	Train set	$ $ $\mathcal{D}$	AUTOLOG			
Test set	Approach	P R F1	P R F1	Log sequence in Datanode	Log sequence in Namenode	
$\mathcal{D}$	Transformer CNN LogRobust	0.889 0.904 0.896 0.936 0.995 0.965 0.942 0.994 <b>0.967</b>	0.892 0.996 <b>0.941</b> 0.959 0.997 <b>0.978</b> 0.947 0.988 <b>0.967</b>	 Received <*> size <*> from <*> blk_3317 terminating Deleted blk_3317 file /data//blk_3317	 BLOCK* allocate <*> updatePipeline <*> success updatePipeline <*> success DIR* completeFile: <*> is closed by <*>	
AUTOLOG	Transformer CNN LogRobust	† † †	0.723 0.755 0.739 0.697 0.790 0.741 0.673 0.875 0.761	$\mathcal{O}$ -HDFS $\checkmark$ AutoLog-HDFS	 Ø-HDFS Vatiolog-HDFS	

## Summary of Topic 3

 $\odot$  AutoLog: a code-guided log sequence synthesizer for anomaly detection

- ✓ Motivation: Existing public log datasets fall short of comprehensive events, scalability, and flexibility.
- ✓ Formulating the log sequence generation problem as an execution order acquisition task.
- ✓ Applying program analysis to automatically simulate log sequences.



## Logging statement generation

- Logging statements
  - Natural language descriptions
  - Program variables

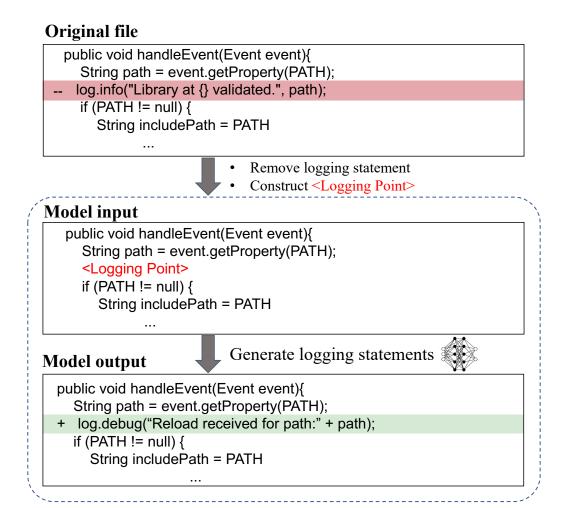


#### Generating human language

Generating programs



#### Generating logging statements?



# Study subjects

#### • 11 top-performing LLMs

- ✓ General-purpose LLMs
- Logging-specific LLMs  $\checkmark$
- ✓ Code-based LLMs

3 traditional logging models Ο

Model

DeepLV

WhichVar

LoGenText-Plus

Ingredient

Logging

levels

Logging

Variables

Logging

Text

Jeets	Model	Access	Description					Pre-trained corpus (Data size)	#Params	Year
				Gener	al-purpose LLM	5		(Data size)		
ing LLN/c	Davinci	API	Davinci is derived to generate texts y model by calling t		ons. We access the			-	175B	2022
ing LLMs ırpose LLMs	ChatGPT	API	ChatGPT is an enhanced version of GPT-3 models [23], with improved conversational abilities achieved through reinforcement learning from human feedback [29]. It forms the core of the ChatGPT system [30]. We access the GPT3.5-turbo model by calling the official API from OpenAI.			-	175B	2022		
ecific LLMs	Llama2	Model	Llama2 [3] is an open-sourced LLM trained on publicly available data and outperforms other open-source conversational models on most benchmarks. We deploy the Llama2-70B model provided by the authors.				Publicly available sources (2T tokens)	70B	2023	
d LLMs				Loggi	ng-specific LLMs	1				
	LANCE	Model	LANCE [13] accepts a method that needs one logging statement and outputs a proper logging statement in the right position in the code. It is built on the T5 model, which has been trained to inject proper logging statements. We re-implement it based on the replication package [32] provided by the authors.				Selected GitHub projects (6M methods)	60M	2022	
ging models				Co	de-based LLMs					
	InCoder	Model	InCoder [18] is a unified generative model trained on vast code benchmarks where code regions have been randomly masked. It thus can infill arbitrary code with bidirectional code context for challenging code-related tasks. We			rary	GitHub, GitLab, StackOverflow (159GB code, 57GB StackOverflow)	6.7B	2022	
Description				#Params	Venue	Year	n n.	GitHub code (158.7B tokens)	13B	2022
beeply [4] reverges synaptic context and message relatives of the logging						ıg 1s r-	The Stack (1T tokens)	15.5B	2023	
the model based on the replication package prov	•		emplement				ıg	Publicly available		
ThichVar [13] applies an RNN-based neural network with a self-attention mecha-					ie 1e	code	34B	2023		
nism to learn the representation of program tokens should be logged through a binary classifier. We its paper due to missing code artifacts*.				$40 \mathbf{M}^{\dagger}$	TSE	2021	of s, el	-	-	2022
models (NMT). It first extracts a syntactic template of the target logging text by					ıg nt el	-	-	2021		
NMT models. We reproduce the model based on by the authors.				22M	IOSEM	2023	»n in el	-	-	2022

### Experiment preparation

- LogBench-O
  - Crawling from GitHub
  - **2,420** files
  - o 3,870 methods
  - o 6,849 logging statements

 $\circ$  LogBench-T

 $\circ~$  Transforming from LogBench-O

✓ RQ1: How do different LLMs *perform* for logging statements generation?

- ✓ RQ2: How do LLMs compare to *conventional logging models* in logging ability?
- ✓ RQ3: How do the *prompts* for LLMs affect logging performance?
- ✓ RQ4: How do *external factors* influence the effectiveness in generating logging statements?
- ✓ RQ5: How do LLMs perform in logging *unseen code*?

#### Selected experiment results & Findings

- ✓ Existing models correctly predict levels for 74.3% of logging statements
- ✓ There is significant room for improvement in producing logging variables and logging texts.

	Logging Texts						
Model	BLEU-1	BLEU-2	BLEU-4	<b>ROUGE-1</b>	ROUGE-2	ROUGE-L	Semantics Similarity
General-purpose LLMs							
Davinci	0.288	0.211	0.138	0.295	0.127	0.286	0.617
ChatGPT	0.291	0.217	0.149	0.306	0.142	0.298	0.633
Llama2	0.235	0.168	0.102	0.264	0.116	0.261	0.569
Logging-specific LLMs							
LANCE <sup>†</sup>	0.306	0.236	0.167	0.162	0.078	0.162	0.347
Code-based LLMs							
InCoder	0.369	0.288	0.203	0.390	0.204	0.383	0.640
CodeGeex	0.330	0.248	0.160	0.339	0.149	0.333	0.598
TabNine	0.406	0.329	0.242	0.421	0.241	0.415	0.669
Copilot	0.417	0.338	0.244	0.435	0.247	0.428	0.703
CodeWhisperer	0.415	0.338	0.249	0.430	0.248	0.425	0.672
CodeLlama	0.216	0.146	0.089	0.258	0.103	0.251	0.546
StarCoder	0.353	0.278	0.195	0.378	0.195	0.369	0.593

<sup>†</sup> Since LANCE decides logging point and logging statements simultaneously, we only consider its generated logging statements with correct locations.

#### Selected experiment results & Findings

- Comment or non-comments?
  - Ignoring code comments results in an average 2.43% decrease in recommending logging texts.

	Logging Levels Logging Variables		Logging Texts				
Model	AOD	F1	BLEU-4	ROUGE-L	Semantics Similarity		
Davinci	0.834 (0.0%-)	0.587 (3.1%↓)	0.133 (3.6%↓)	0.283 (1.0%↓)	0.608 (1.5%↓)		
ChatGPT	0.833 (0.2%↓)	0.592 (2.0%↓)	0.149 (0.0%-)	0.294 (1.3%↓)	0.614 (3.0%↓)		
Llama2	0.789 (1.3%↓)	0.574 (1.2%↓)	0.099 (2.9%↓)	0.255 (2.3%↓)	0.544 (4.4%↓)		
InCoder	0.789 (1.4%↓)	0.674 (1.2%↓)	0.201 (1.0%↓)	0.377 (9.2%↓)	0.622 (2.8%↓)		
CodeGeex	0.848 (0.8%↓)	0.617 (6.1%↓)	0.149 (6.9%↓)	0.306 (8.1%↓)	0.578 (3.3%↓)		
TabNine	0.876 (0.5%↓)	0.690 (1.1%)	0.239 (1.2%↓)	0.412 (0.7%↓)	0.655 (2.1%↓)		
Copilot	<b>0.878</b> (0.5%↓)	0.696 (2.2%↓)	0.241 (1.2%↓)	<b>0.419</b> (2.1%↓)	<b>0.689</b> (2.0%↓)		
CodeWhisperer	0.877 (0.7%)	<b>0.718</b> (0.7%↓)	<b>0.244</b> (2.0%↓)	$0.418(1.6\%\downarrow)$	$\overline{0.661}$ (1.6%)		
CodeLlama	0.804 (1.2%↓)	$\overline{0.581}$ (2.0%)	$\overline{0.087}$ (2.2%)	0.247 (1.6%↓)	0.544 (0.3%↓)		
StarCoder	0.823 (0.7%↓)	0.647 (0.9%↓)	0.193 (1.0%↓)	0.369 (2.4%↓)	0.591 ( <b>0.3%</b> ↓)		
Avg. $\Delta$	0.835 (0.8%↓)	0.638 (2.1%↓)	0.173 (2.2%↓)	0.338 (3.0%↓)	2.1%↓		

### Selected experiment results & Findings

- Function-level or file-level?
  - ✓ Incorporating file-level programming contexts leads to a great improvement
  - ✓ More helpful than comments

	Logging Levels	Logging Variables	Logging Texts		ts	
Model	AOD F1		BLEU-4 ROUGE-L		Semantics Similarity	
Davinci	0.854 (2.6%)	0.638 (5.3%)	0.156 (13.0%)	0.318 (11.2%)	0.635 (2.9%↑)	
ChatGPT	0.858 (2.8%)	0.650 (7.6%)	0.253 (51.5%)	0.389 (30.5%)	0.704 (11.2%)	
Llama2	0.832 (4.1%)	0.617 (6.2%)	0.149 (46.1%)	0.392 (50.2%)	0.669 (17.6%)	
InCoder	0.815 (1.9%)	0.745 (9.2%)	0.307 (51.2%)	0.521 (35.3%)	0.734 (11.7%)	
CodeGeex	0.869 (1.6%)	0.696 (5.9%)	0.241 (50.6%↓)	0.395 (18.6%)	0.644 (7.7%)	
TabNine	0.912 (3.6%)	0.767 (9.9%)	0.375 (55.0%)	0.530 (27.7%)	0.783 (17.0%)	
Copilot	<b>0.916</b> (3.9% <sup>†</sup> )	0.742 (4.2%)	0.346 (41.8%)	0.522 (22.0%)	<b>0.816</b> (16.1% <sup>†</sup> )	
CodeWhisperer	0.913 (3.6%)	<b>0.792</b> (9.6% <sup>†</sup> )	<b>0.401</b> (61.0% <sup>†</sup> )	<b>0.559</b> (31.5% <sup>†</sup> )	$\overline{0.811}$ (20.7% <sup>+</sup> )	
CodeLlama	0.817 (0.4%)	$\overline{0.607}$ (2.4% <sup>+</sup> )	$\overline{0.144}$ (61.8% <sup>†</sup> )	$\overline{0.378}$ (50.6% <sup>+</sup> )	0.642 (17.6%)	
StarCoder	0.847 (2.2%†)	0.714 (9.3%†)	0.314 (61.0%†)	0.517 (40.1%)	0.679 (14.5%)	
Avg. $\Delta$	2.7%↑	6.9%↑	49.3%↑	31.8%↑	13.7%↑	

### Summary of Topic 4

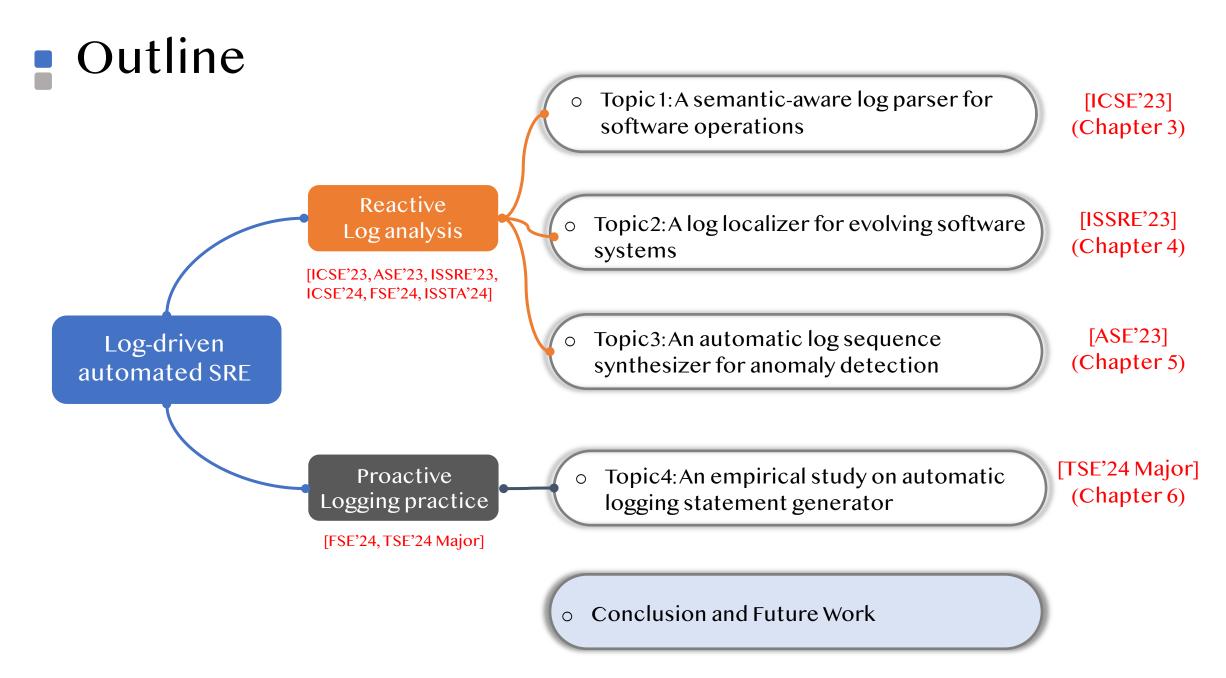
 $\circ$  An empirical study on LLM-powered logging statement generation

✓ Motivation: To what extent can LLMs produce correct and complete logging statements for developers?

✓ Two benchmarks for evaluating logging statement generation.

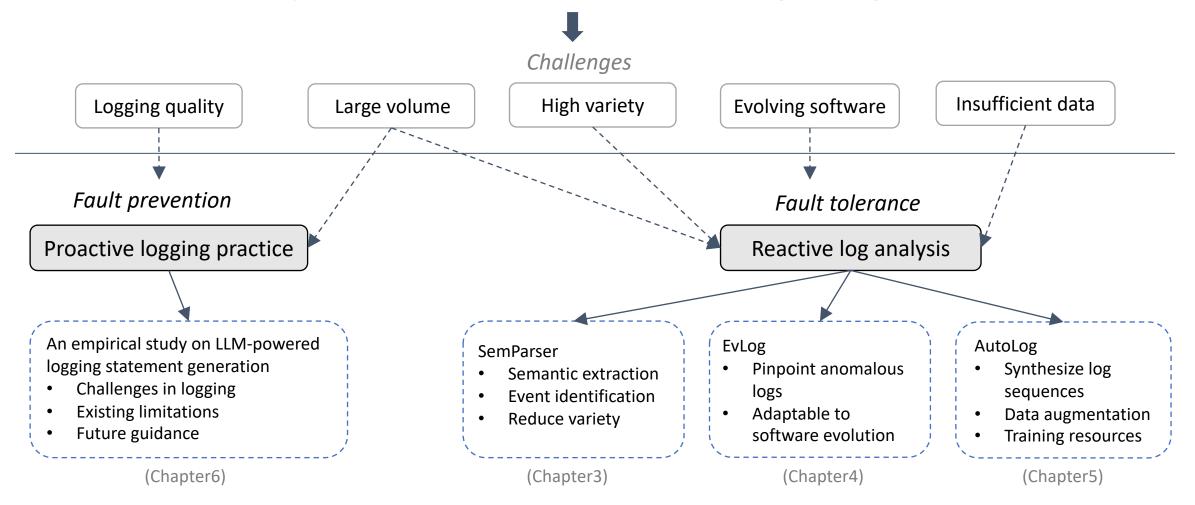
#### ✓ Eight findings and five implications

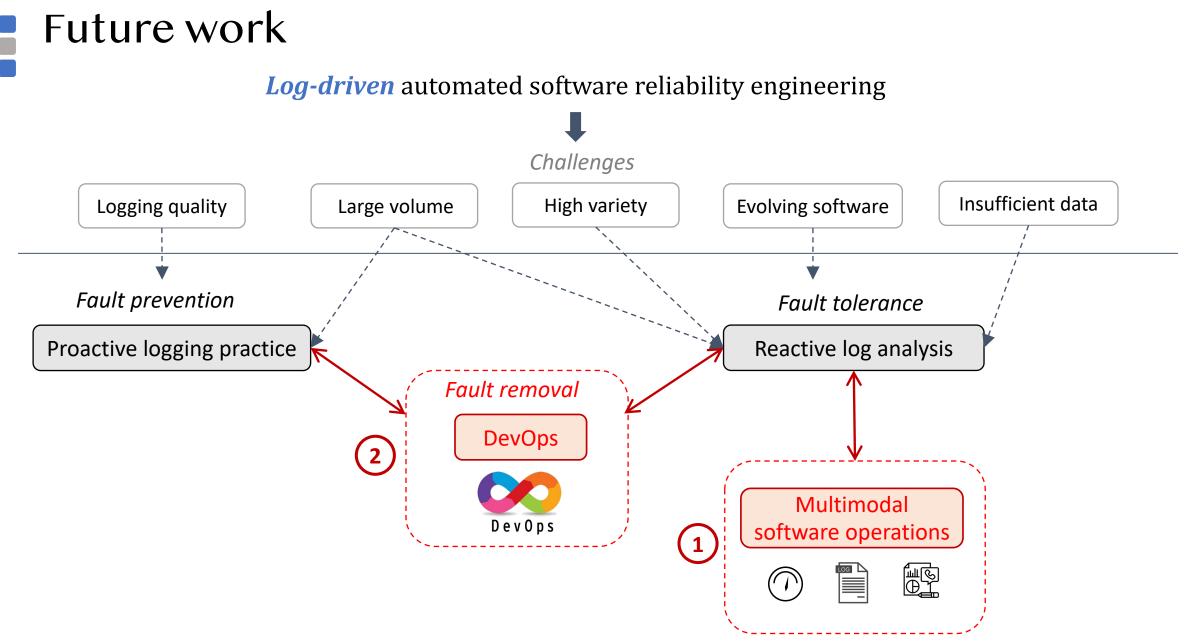
- $\checkmark\,$  File-level context incorporation
- $\checkmark\,$  Generalizability for unseen code

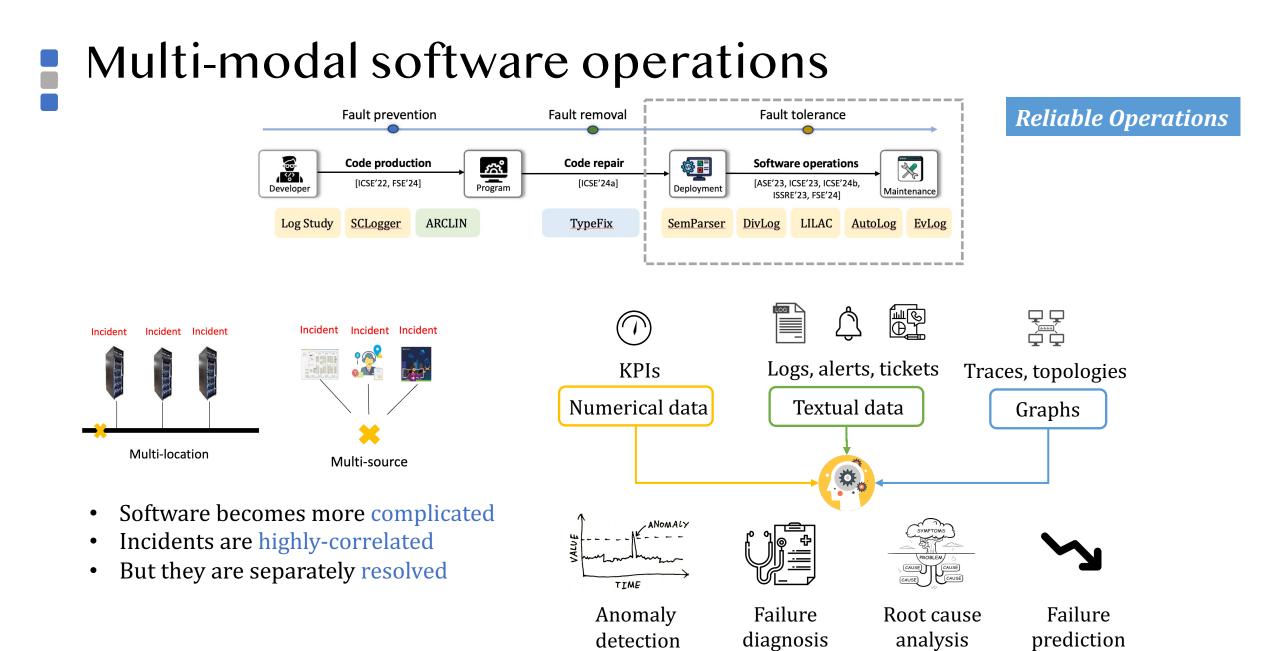


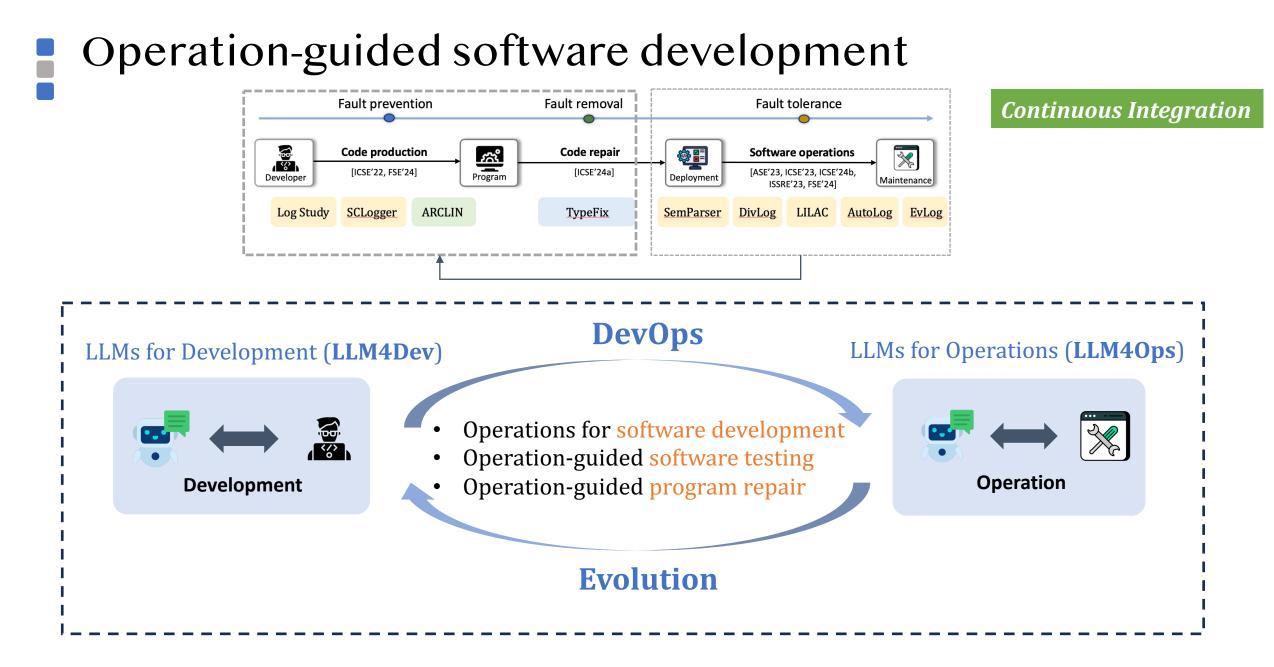


*Log-driven* automated software reliability engineering









### Publications (1)

- 1. ARCLIN: Automated API Mention Resolution for Unformatted Texts **Yintong Huo**, Yuxin Su, Hongming Zhang, Michael R. Lyu. *Proceedings of 44th International Conference on Software Engineering (ICSE), 2022*.
- LogVM: Variable Semantics Miner for Log Messages Yintong Huo, Yuxin Su, Michael R. Lyu. Proceedings of 33rd International Symposium on Software Reliability Engineering (ISSRE), 2022
- SemParser: A Semantic Parser for Log Analytics Yintong Huo, Yuxin Su, Baitong Li, Michael R. Lyu. Proceedings of 44th International Conference on Software Engineering (ICSE), 2023
- EvLog: Identifying Anomalous Logs over Software Evolution Yintong Huo, Cheryl Lee, Yuxin Su, Shiwen Shan, Jinyang Liu and Michael R. Lyu. Proceedings of 34th IEEE International Symposium on Software Reliability Engineering (ISSRE), 2023
- AutoLog: A Log Sequence Synthesis Framework for Anomaly Detection Yintong Huo<sup>#</sup>, Yichen Li<sup>#</sup>, Yuxin Su, Pinjia He, Zifan Xie, and Michael R. Lyu. Proceedings of 38th IEEE/ACM International Conference on Automated Software Engineering (ASE), 2023.
- 6. Domain Knowledge Matters: Improving Prompts with Fix Templates for Repairing Python Type Errors Yun Peng, Shuzheng Gao, Cuiyun Gao, **Yintong Huo**, Michael R. Lyu. *Proceedings of 44th International Conference on Software Engineering (ICSE), 2024*

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### Publications (2)

- 7. Enhancing LLM-based Coding Tools Through Native Integration of IDE-Derived Static Context Yichen Li, Yun Peng, **Yintong Huo**\*, and Michael R. Lyu. *To appear in the IEEE/ACM International Conference on Software Engineering Workshop on Large Language Model for Code* (ICSE-LLM4Code), 2024
- 8. LILAC: Log Parsing using LLMs with Adaptive Parsing Cache Zhihan Jiang, Jinyang Liu, Zhuangbin Chen, Yichen Li, Junjie Huang, **Yintong Huo**, Pinjie He, Jiazhen Gu, and Michael R. Lyu. *To appear in the ACM International Conference on the Foundations of Software Engineering* (FSE), 2024
- Go Static: Contextualized Logging Statement Generation
   Yichen Li, Yintong Huo\*, Renyi Zhong, Zhihan Jiang, Jinyang Liu, Junjie Huang, Jiazhen Gu, Pinjie He, and Michael R.
   Lyu. To appear in the ACM International Conference on the Foundations of Software Engineering (FSE), 2024
- A Large-scale Evaluation for Log Parsing Techniques: How Far are We?
   Zhihan Jiang, Jinyang Liu, Junjie Huang, Yichen Li, Yintong Huo, Jiazhen Gu, Zhuangbin Chen, Jieming Zhu, and Michael R.
   Lyu. To appear in the ACM International Symposium on Software Testing and Analysis (ISSTA), 2024
- *11. (Preprint)* Exploring the Effectiveness of LLMs in Automated Logging Generation: An Empirical Study Yichen Li#, **Yintong Huo**#, Zhihan Jiang, Renyi Zhong, Pinjia He, Yuxin Su, Lionel C. Briand, and Michael R. Lyu.
- *12. (Preprint)* Automatically Generating UI Code from Screenshot: A Divide-and-Conquer-Based Approach Yuxuan Wan, Chaozheng Wang, Yi Dong, Wenxuan Wang, Shuqing Li, **Yintong Huo\***, and Michael R. Lyu.

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### THANK YOU Q&A