深圳工作坊 2015年8月28日

How To Do High Quality Research And Write Acceptable Papers?

"内在美"与"外在美"的追求

Michael Rung-Tsong Lyu

吕荣聪

Computer Science & Engineering Department The Chinese University of Hong Kong 香港中文大学计算机科学暨工程系

Agenda 大纲

- How to do high quality research?
- 如何做高品质的研究?
- How to write acceptable papers?
- 如何写会被接受的文章?

Motivation: Why Should I Do Research?

为什么我要作科研?

- External drive 外在驱策
 - □ Award, degree and diploma 奖项、文凭、学位
 - □ Parents, teachers, friends 父母、老师、朋友
 - □ Peer pressure 同学压力
- Internal drive 内在动机
 - □ Sense of honor and responsibility 名誉与责任感
 - □ Strong ambition (self-expectation) 自我期许
 - □ Research interest (sense of achievement/fulfillment, curiosity) 兴趣, 成就感, 好奇心

3

Two Major Research Elements and How to Approach Them 研究要素

- Define a problem 定义问题
 - □ To find it 找到问题
 - □ To survey it 查阅问题
 - □ To express it 表达问题
 - □ To nurture it 深入问题
- Find the solution 找到答案
 - □ To derive it 推论答案
 - □ To validate it 证实答案
 - □ To complete it 完成答案
 - □ To elaborate it 推展答案

Research Problem Selection 选择问题

- Good research largely depends on the selected problem 好的研究多数取决于所选择的问题
 - 90% of a research job is done when you find a good problem.
 - □ A good problem is difficult to find 好的问题不好找
 - Not too easy or too difficult 不能太难也不能太容易
- How to select a problem? 如何找到问题
 - □ Is it an old problem or a new problem? 是新的还是旧的
 - Usually, new problems have more opportunities 新问题较有机会
 - □ Is it a significant problem? 有意义的问题吗?
 - Practically important yet technically challenging 实际重要且有科研上的挑战

5

The Key Ingredients of Research: Contribution (Where is the beef?) 贡献

- One major contribution is better than many small ones 一个重要贡献胜过许多小的贡献
- What is the contribution type?
 - Knowledge discovery 发现
 - □ Knowledge invention 发明
 - Knowledge integration 整合
 - Knowledge application 应用
- Idea! Idea! Idea! 创意!创意!创意!
- Identify, describe, and demonstrate the big idea 识别,描述, 展示你的创意
- Asking the right problem, then asking the problem right 用对的 方式问对的问题
- Innovation! Innovation! 回新,创新,创新,创新

The Right Problem and the Right Way to Ask Problem **用对的方式问对的问题**

- Asking the problem right can lead to asking the right problem 用对的方式问会引导出问对的问题
- Can we predict reliability? 预测可靠性?
- Can we predict reliability with models? 用模式 预测?
- Can we predict reliability of software? 软体可靠性可测?
- Can we predict reliability with user experience?
 以用户经验来预测?

Innovation! Innovation! **创新**,创新,创新

How to Find Research Problems?

如何找到研究问题?

1. New Solution to Old Problems

老问题,新答案

- New solution to a reduced problem 老问题,新答案
 - □ Fermat's last theorem 费玛最后定理
 - □ For all positive integers a, b, c and n, there is no solutions to $a^n + b^n = c^n$ when $n \ge 3$
 - □ Java and C alias/pointer analysis example (PLDI2013)
 - Title: DBSCAN Revisited: Mis-Claim, Un-Fixability, and Approximation (Gan and Tao), SIGMOD 2015

9

1. New Solution to Old Problems

老问题,新答案

- New solution from the same area 同一领域,新答案
 - Coolstreaming (INFOCOM 2015 Test of Time Paper Award)
- New solution from other areas 其他领域,新答案
 - Recommendation applied to Software Reliability Engineering (ICSE2010)

2. New Problems (Usually with a Twist) 新问题,加点更新

- Extension 扩展
 - □ M⁴ (Maxi-Min Margin Machine) work
- Summarization 总结
 - □ my thesis Confucius
- Variation 变化
 - linear combination model

11

2. New Problems (Usually with a Twist) 新问题,加点更新

- Refinement 更新
 - Refine existing problem: Concept of social recommendation
 - Refine existing approach: Missing data prediction with CF

Reliability Prediction of Web Services

- Approach 1: Neighborhood-based approach to consider users
- Approach 2: Model-based approach to consider data sparsity
- Approach 3: Time-aware approach to consider temporal factor
- Approach 4: Network coordinate based approach to consider spatial factor
- Approach 5: Ranking-based approach to consider ranking
- Approach 6: Reputation-aware approach to consider reputation

Reliability Prediction of Web Services

- Approach 1: Neighborhood-based approach to consider users [ICSE'10, ACM TOSEM]
- Approach 2: Model-based approach to consider data sparsity [IEEE TSC'13]
- Approach 3: Time-aware approach to consider temporal factor [ISSRE'11]
- Approach 4: Network coordinate based approach to consider spatial factor [ICWS'12]
- Approach 5: Ranking-based approach to consider ranking [TPDS'13]
- Approach 6: Reputation-aware approach to consider reputation [SCC'13]

2. New Problems (Usually with a Twist) 新问题,加点更新

- Generalization 通用
 - □ nonparametric work
- Specialization 专门
 - □ online algorithms

15

3. New Areas 新的领域

- Exploration 探索
 - Data Analytics research
- Cross Disciplinary Research 跨越领域
 - Machine Learning in Software Engineering and Distributed Systems
- Disruptive Research 颠覆性研究
 - □ Quantum computing (new theory)
 - □ Internet and WWW (new user experience)
 - □ Apple's revolution (new business model)

Good versus Bad Research Problems

好的研究问题及坏的研究问题

- Good research problem好问题
 - □ After the research, more people will be doing it you opened the door 人会继续做,因你开了一扇门
 - □ After the research, nobody can improve it you closed the door 没人可以再改进,因你关了一扇门
- Bad research problem 坏的问题
 - □ Nobody will follow the research 没人会跟进
 - Nobody really cares the research 没人在乎
- Research subject hotspot index: df(t)/dF(t)
 研究课题热点指标

f(t)=No. of top papers and F(t)=No. of total papers

More about Ambition **雄心**

- Principle of "aim high, accept low"
- Use problem selection as example
 - □ Aim high 期望高超
 - Do not patch a small hole left by leading researchers
 - Find a more fundamental problem which may have a long impact
 - □ Accept low 接纳妥协
 - If it is difficult to find a fundamental problem, then we need a compromise
 - Advice from professor/colleague is important

Literature Survey 文献考察

- Research lifecycle: imitating, remembering, analyzing and innovating 模仿,记忆,分析,创新
- Use tools 使用工具
 - Trace backward 回顾
 - Tutorial paper and reference list
 - Trace forward 前瞻
 - Use Google scholar to find papers that cite the current work
- Proactive vs. passive reading 积极及消极阅读
 - Reading with a critical attitude 评断/独立思考心态
 - Reading according to your own agenda 有自己的时间表
 - Reading between lines (not only what was said but what was not said) 读出字里行间的信息
- Form a study group 成立学习小组

19

Nurturing Good Taste 培养好的品味

- There are many mediocre papers published 平庸文章
 - □ Do not ruin your taste by poor-quality papers 不要破坏品味
- Read selectively 精选地读
 - Highly cited papers and papers from first-tier journals and top top-ranked conferences 被引用的文章,高水平的期刊及会议
- Classification of papers 文章分类
 - □ Type A: 80% understanding (main idea, solution method and main results) 理解思路,方法及结果
 - □ Type B: 50% understanding (idea & results) 理解思路及结果
 - □ Type C: 20% understanding (only introduction) 理解简介
- Learn to appreciate good papers and criticize poor papers 学会欣赏好的文章, 评断不好的文章

Monitoring Activities of Leading Research Group in Your Field **关注你的专业领域** 里面的主要研究团队

- Identify leading research groups in your field 找出你专业的主要研究团队
- Find out their recent research focus 跟进他们最近的研究课题

21

Two Major Research Elements and How to Approach Them 研究要素

Define a problem 定义问题

□ To find it **找到问题**

□ To survey it **查阅问题**

□ To express it 表达问题

To nurture it 深入问题

Find the solution 找到答案

□ To derive it 推论答案

To validate it 证实答案

□ To complete it 完成答案

□ To elaborate it 推展答案

How to Find the Solution?

如何找到解答

Five typical steps in scientific research

□ Observation/Exploration 观察/探索

□ Assumption/Proposition 假设/提议

□ Theory/Methodology 理论/方法

□ Verification/Experimentation <u>验证/实验</u>

□ Conclusion/Elaboration 结论/推演

■ Thinking outside the box 超越框框限制的思考

23

Research Environment 研究环境

- Large group can be a blessing 大组可以祝福你
 - More resourceful in terms of interaction (now) and networking (future) 目前多有互动,将来多有联结
- Senior students can be very helpful to junior students 学长对学弟妹很有帮助
 - Experience sharing & encouragements 分享和鼓励
 - More tolerant to mistakes 容忍错误
 - More accessible 容易交谈
- Good versus bad environments 好的环境和差的环境
- Each group has its own culture 各有文化
 - Each colleague is a teacher; learn from them 每个同事都是 老师
 - Every big project comes from a small team; find the ones who suit you 大的课题来自小组,找到适合你的课题

Guidance and Feedback 指导与回应

- Role of Advisor 导师的角色
 - □ Joint decision on problem selection 一起决定研究问题
 - □ Set up the research standard 设定研究水平
 - □ Help when students get stuck 卡住时的帮助
 - Find out why 找出为什么
 - Re-directing 重订方向
- Feedback on research results 研究成果的回应
 - □ Positive and negative feedback 正面及负面
- Help in oral presentation and written reports □头 报告及书面报告的帮助

25

Agenda 大纲

- How to do high quality research?
- 如何做高品质的研究?
- How to write acceptable papers?
- 如何写会被接受的文章?

Writing **写文章**

- Critical to the sale of your ideas/results
 很重要!明确地指出文章的卖点(想法/结果)
- Paper organization 架构:文字, 图表
 - Proper arrangement of texts, figures and tables
- Multi-pass writing style

1st pass: Detailed outline
 2nd pass: Rapid writing
 3rd pass: Fine Fine-tuning
 4th pass: cross-reading
 文叉阅读

27

Writing Procedure 写作过程

- Carefully determine the paper title 仔细决定题目
- Proper use of names and notations 正确引用
 - □ Refer to Irwin's website
- Tell them what you are going to do, tell them what you are doing, tell them what you have done. 你想作什么,正在作什么,已经作了什么
- Motivation! Motivation! 动机! 动机!

Title 文章标题

- A title needs to be catchy but precise
 - Like Likes Like
 - "All Models Are Wrong; Some Are Worse Than Others"
 - STELLAR: Spatial-TEmporaL LAtent Ranking for Successive Point-of-Interest Recommendation.
- Use acronyms
 - KEEP: The Knowledge and Education Exchange Platform

29

Motivation! Motivation! Motivation!

动机! 动机! 动机!

- The introduction is by far the most important section in the entire paper, especially for conferences. 简介最重要
- Reviewers are always very busy. 评审很忙
- If a reviewer can reject your paper without reading it all, it saves time! 可以不读就知道要拒绝,那很省时间
- The introduction is the first section they read, so make sure your paper does not get killed in Section 1. 不要在简 介一被读完就出局
- "5 years ago I used to write the introduction last. Now it is always the first section I write." 以前我是最后写简介, 现在我总是最先写"

Strong Statements Are Dangerous

强烈声明的危险

- Be very careful when you make strong statements about some research issue: there are people that think otherwise.
- Be especially careful when taking position on some hotly debated topics in the community, like: 尤其是会引起争论
 - Supervised learning vs. non supervised learning
 - Parametric vs. non parametric
 - Statistical vs. analytical
 - Partitioned vs global multiprocessor scheduling
 - Hard real-time wireless
 - Testing vs static analysis
 - □ Etc. etc. etc.
- Instead of saying "X is black", say "X is usually black, but in some cases that are not considered in this paper it is white". X通常是黑色,不在本篇考虑中的情况下也会是白色

... But If You Are Confident, Go For It!

但是,你若有信心。。。。。。

- However, high impact papers are those that successfully challenge existing preconceptions. 有影响力的文章常是 成功地挑战现存概念
- So do not be shy when you state the <u>main contribution</u> of your paper! 大胆声明本篇文章的主要贡献
 - If it is somehow controversial, you might have some troubles getting the paper accepted at first, but it is well worth in term of impact. 争论性的文章不一定被接受,但是引起反响
 - If it is not, you should still stress your contribution so the reviewer gets more interested in the paper. 强调你的贡献让评审有兴趣
- Just be sure to prove your point well enough; the keyword here is "successfully challenge." 成功地挑战

Criticize Your Writing in the Reviewer's View

从评审的眼光来读你的文章

- A main factor of your success is to know how others think and feel 知道别人怎么看怎么想
- Reviewers' mindset: "You are assumed guilty until proven innocent" 你若不能证明你是清白的,他们将对你充满怀疑
- Remind instead of assume, but don't humiliate their intelligence 提醒他们,不是想当然耳;但别把他们看扁了
- Proper use of citation 正确引用
- Clearly and articulately indicate your contributions 贡献
- Criticize yourself first, and leave reviewers no room for further criticism 自己先评断,使得他人没什么好批评
- Remember, our reviewers are hostile …假设评审是你的敌人
- But they should not be malicious …但他们不应心怀恶意

33

You Cannot Make Everybody Happy

不能使得每个人开心

- Different people are looking for different things. 不同人不同想法
- Also they are often biased. 他们常有偏见
- You must accept that it is simply impossible to make everybody perfectly happy; you are forced to make trade-offs. 不可能个个开心,你要取舍
 - For the same reason, take all people's reaction with a grain of salt.
- The key: two half glasses of water are better than one full and one empty glass here. 两瓶半杯水比较好
 - Just one negative review is enough to kill a conference paper.
- The lesson: bad results can turn out good, so don't loss your heart. 失败可以成为祝福,不要怀忧丧志

ł

Plagiarism 作弊/抄袭

- Academic honesty is everything; we can't emphasize it too much 学术诚实是一切
- Plagiarism is a severe problem 抄袭/作弊非常严重
- If you are not caught early, you will be caught eventually, only with a higher price to pay 迟早被抓, 越晚越糟
- Intentionally and un-intentionally 故意和不小心
 - □ Properly cite and paraphrase 适当地引用或重述
 - □ Always be critical 总是要小心

35

Conclusions 结论

- 对计算机科研而言,表达和内容一样的重要。所以外 在和内在美都要追求
- 好的科研成果要靠长期累积的功夫,不是一蹴可及
- 创新的想法有时来自灵感,但要经过具体实现和验证

"Remember, Red, hope is a good thing, maybe the best of things, and no good thing ever dies."

Andy Dufresne, in "The Shawshank Redemption"

继续努力,不要轻易放弃!